

COUNTY GOVERNMENT OF UASIN GISHU

HUMAN RESOURCE POLICY & PROCEDURES MANUAL

2015



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PREAMBLE

This Human Resources manual will facilitate the effective management of human resources in

the County. It provides procedures on various aspects of HRM function that will guide the

management of Human Resources in the County Government of Uasin Gishu. The manual is in

tandem with best human resource practices and incorporates the provisions of the Constitution of

Kenya (2010). The County Government Act (2012), Labour Laws and other relevant legislation

that govern various aspects of employee/employer relationship in the Public Service. The

Regulations are also anchored on other policies and guidelines governing the management of the

Public Service.

It is important to note that this Manual is not exhaustive and should be read together with all

relevant legislations and regulations governing devolution and the Public Service.

The manual will apply to the County Government of Uasin Gishu, and will be updated from time

to time to reflect policy changes affecting human resource management in the Public Service.

Where clarification on any part of this Manual is required, it should be sought from the Chief

Officer, Public Service Management.

M. Chepkwony

County Executive Committee Member

Public Service Management - Uasin Gishu County

CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION

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DEFINITION OF TERMS

Accounting Officer - Means a public officer appointed to take full responsibility on the finances, assets and liabilities of the respective county department.

Authorized Officer - Means a county public officer to whom a County has delegated its functions and exercises supervision over a county department.

Basic Salary - Means an officer's salary excluding allowances.

Bondee - Means an employee who is serving a training bond obligation.

Cabinet Secretary - Means a person holding office of a Cabinet Secretary of the Government of Kenya established under Article 152 of the Constitution.

Chief Officer – Means a county chief officer responsible for a county department.

Children - Means the biological offspring or legally adopted child under the age of twenty two (22) Years who are unmarried and are wholly dependent on the officer. For purpose of records, the names of the children must be declared at the time of birth or adoption if it occurs during employment in the Civil Service. Copies of birth certificates or legal adoption papers will be required in either case.

Consultative Committee – Means a forum for co-operation and negotiation between the government, as an employer, and trade union.

Constitution – Means the Constitution of Kenya, 2010

County public servant or officer - Means employee of the county Public Service

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ORIGINAL VERSION

County public service – Means the collectivity of all individuals performing functions within any department of the county government or its agency but does not include the governor, deputy governor, members of the county executive committee and members of the county assembly.

County – Means a County established under Article 6 (1) of the Constitution and specified in the First Schedule.

County Governor - Means the Chief Executive Officer of a County in a County Government

Extended Family - Includes parents, brothers and sisters of the officer.

Immediate Family - Includes the officer, spouse, children and the officer's parents.

Leave Year - Means the period commencing 1st January to 31st December of each year.

Next-of-Kin - Means the name of the person provided by the officer for the purpose of contact during emergency and in case of death.

Nuclear Family - Means the officer, spouse and children.

Pro-rata - Means computation of benefits for the period served in relation to the full term entitlement.

Public Service – Means the collective of all individuals, other than State Officers, performing a function within a State Organ.

Reimbursable Allowances - Means those allowances where an officer gets reimbursement on the expenditure incurred in the course of duty.

Remunerative Allowance - Means those allowances paid to an officer, in addition to salary as a form of compensation for additional responsibilities.

Spouse - Means legal marriage partner of an officer specified under the Marriage Act or in accordance with the relevant Customary Law.

State Officer - Means a person holding a State Office.

Surety - Means a person who formally accepts responsibility to locate a bondee and/or redeem the bond obligation of a bondee who defaults or appears in a court of law in respect of the same.

Tour of Service - Means the period of employment contract in the Civil Service awarded to a foreign employee.

Training Bond - Means formal agreement between the County Government and its employees who are selected for approved training that oblige them to serve in the county public service for a specific period of time on completion of training.

This manual is formulated with due regard to gender; thus where reference is made either to 'he' or 'she' only, it shall be construed to mean and include reference to both 'he' and 'she' and where reference is made to spouse, it shall be construed to mean and include reference to both 'husband' and 'wife' where applicable and vice versa.

LIST OF ACROYNYMS

CBA Collective Bargaining Agreement

CPSB County Public Service Board

LAPF Local Authority Pension Fund

NSSF National Social Security Fund

PSC Public Service Commission

TA Transition Authority

SRC Salaries Remuneration Commission

WCPS Widows and Children Pension Scheme

CGA 2012 County Government Act 2012



SECTION A: ORGANIZATION OF THE COUNTY

County Values and Principles of Governance

- i. Integrity
- ii. Professionalism
- iii. Equity & diversity
- iv. Team spirit
- v. Transparency and accountability
- vi. Creativity and innovation

Organization of County Governments

The county governments have an executive arm of government and a legislative arm. The executive arm of county governments comprises the governor and the county executive committee appointed by the governor and approved by the county assembly. The county public service shall be headed by the County Secretary who shall also be secretary to the county executive committee. The County Public Service Board (CPSB) is as outlined in section 59 of the CGA 2010.

SECTION B: TERMS AND CONDITIONS OF EMPLOYMENT

B.1 Introduction

This Section provides for rules governing recruitment, appointment, confirmation and promotion of county public officers. It also provides guidelines on remuneration, schemes of service, transfer of service and secondment of officers from one organization to another and other related matters within the County Government.

B.2 Categories of Appointment

- (1) Appointments in the County Government are divided into the following main categories:
 - a) Permanent and Pensionable;
 - b) Medium Term Appointment (1 5 years renewable contract); and
 - c) Short-Term Appointment (Casual, hourly or daily paid).

B.3 Powers of Appointment and Procedures to be followed

- (1) The authorities empowered to make appointments and promotions in the County Government are:
 - a. The Governor, with the approval of the County Assembly is empowered to appoint:
 - i. County Executive Committee Members
 - ii. County Secretary
 - iii. County Chief Officers Governor to nominate qualified and experienced chief officers from among persons competitively sourced and recommended by CPSB
 - iv. Chairperson of County Public Service Board
 - v. County public Service Board Members
 - vi. Secretary to the County Public Service Board
 - b. County Executive Committee with the approval of County Assembly is empowered to appoint:
 - i. City Board Members
 - ii. Municipality Board Members
 - iii. Town Committee Members
 - c. County Public Service Board will appoint and promote:
 - i. City/Municipality Managers
 - ii. Sub-County Administrators
 - iii. Other staff of the County Public Service



- d. Other authorities/officers/institutions to which the County shall delegate its functions is provided for in Section 86 of the County Government Act. 2012.
- (2) The procedures to be followed in appointing persons to hold offices within the scope of the County Government are provided for in the County Government Act, 2012 and laid down regulations as may be reviewed from time to time.

B.4 Salaries and Remuneration

- (1) The Salaries and Remuneration Commission will based on recommendation of the County Public Service Board, advice and regularly review the salary and allowances applicable to officers in the county government, amongst other public officers. Salaries and Remuneration Commission will be guided by the following principles:
 - i. The need to ensure that the total public compensation bill is fiscally sustainable;
 - ii. The need to ensure that the county government is able to attract and retain the skills required to execute its functions;
 - iii. The need to recognize productivity and performance;
 - iv. Transparency and fairness; and
 - v. Equal remuneration to persons for work of equal value.

B.5 Reporting Vacancies

- (1) All vacant posts will be declared to the County Public Service Board in accordance with the procedures set out in the County Government Act 2012.
- (2) All vacancies shall be declared in a prescribed format which shall include: the number of vacancies; when the vacancy occurred; whether the vacancy is within the authorized establishment and other relevant details.



B.6 Recruitment

- (1) Recruitment will be guided by the values and principles of the public service spelt out in Article 232 of the Constitution.
- (2) In recruitment, the county government will ensure that the staff appointed has the necessary skills, knowledge and attitude to deliver efficient and effective services.
- (3) In the recruitment process, due consideration will be given to:
 - i. appropriate organizational structure in each Department;
 - ii. optimal staffing levels;
 - iii. schemes of service and career progression guidelines

B.7 Matters to Take into Account during Appointments

- (1) In selecting candidates for appointment, the CPSB will consider:
 - i. the standards, values and principles set out in Articles 10, 27 (4), 56 (c) and 232(1) of the Constitution;
 - ii. the prescribed qualifications for holding or acting in the office;
- iii. the experience and achievements attained by the candidate;
- iv. the conduct of the candidate in view of any relevant code of conduct, ethics and integrity;
- v. the need to ensure that at least thirty percent of the vacant posts at entry level are filled by candidates who are not from the dominant ethnic community in the county;
- vi. the need for open and transparent recruitment of public servants; and
- vii. Individual performance.
- (2) In determining whether an appointment, promotion or re-designation has been undertaken in a fair and transparent manner, the overriding factors shall be merit, fair competition and representation of the diversity of the county.



B.8 Recruitment of Non-Citizens

(1) It is the policy of the Government not to employ non-citizens where there are citizens with the appropriate qualifications available. Approval to recruit a non-citizen will be granted by the CPSB, subject to the guidelines provided by the state department responsible for labour and existing protocols.

B.9 Application Forms for Employment

(1) A candidate applying for employment must complete the prescribed application form detailing personal details, employment history, qualifications, medical record etc.

B.10 Offer of Appointment

- (1) A person appointed to any office will be given the appropriate letter of offer of appointment, as the case may be, which may be accepted or rejected by the candidate within the stipulated time.
- (2) A written contract of service shall state particulars of employment which Include, the name and address of the employee, job description, date of commencement of the job, form and duration of the contract, place of work, hours of work, remuneration, terms and conditions of employment which the employee is entitled to such as:
 - i. Annual leave entitlement;
 - ii. Pensions and pension schemes; and
 - iii. The length of notice which the employee is obliged to give and entitled to receive to terminate his contract of employment.

B.11 Letters of Appointment

(1) An officer appointed in any of the categories must be issued with a letter of appointment indicating the terms and conditions of service.

B.12 Office Hours

(1) Officers are required to work 40 hours spread over 5 days in a week as follows: CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION



Monday to Friday:

8.00 a.m. to 1.00 p.m.

2.00 p.m. to 5.00 p.m.

(2) Though the general office hours will be as stated above, Heads of Departments will not be restricted to utilizing their staff outside these hours when there is any cause requiring their services either earlier or later.

B.13 Probation

- (1) An officer shall be put on probation for a period of 6 months as provided for in the employment Act, 2007.
- (2) An officer appointed on probation must be regarded as being on trial with a view to learning his work and being tested as to his suitability for it. It is the duty of the senior officers to ensure that every officer on probation is given adequate opportunities to qualify for confirmation in appointment.
- (3) Within reasonable time before the expiry of the probationary period, the Authorized Officer should consider in the light of the reports on the officer's conduct, capabilities and performance whether or not the officer is suitable for confirmation.
- (4) Where an officer's conduct and performance are unsatisfactory, he shall be informed in writing and the probation period may be extended to a maximum period of three (3) months subject to the category of employment.
- (5) Should the officer's performance fail to improve on expiry of the extended probation period, his services shall be terminated.



(6) An officer appointed to the County Public Service in a pensionable post will be confirmed in appointment and admitted into the permanent and pensionable establishment on completion of the probationary period.

B.14 Confirmation in Appointment

(1) The power of confirmation of all officers in the appointments and extension or termination of their probationary appointments lies with County Public Service Boards or authorized officers under delegated powers.

B.15 Medium-Term Appointment

- (1) Where vacancies cannot be filled on permanent and pensionable terms because of non-availability of suitable persons or where vacancies exist in the non-pensionable establishment (such as in development projects), candidates may be recruited to fill such vacancies on medium-term contract appointment.
- (2) An officer serving on medium term contract, and whose services are still required, will be informed in writing at least three (3) months before the expiry of the contract. On acceptance of the offer, the case will be referred to the County (CPSB) for renewal.
- (3) Contract employment will be kept to a maximum period of five years. Contracts can be renewed for another period of five years once.

B.16 Employment of Casuals

(1) Casual workers shall be engaged only on urgent short-term tasks with the approval of the County Public Service Board. Casual workers shall not be engaged for more than three months, as stipulated in the Employment Act (2007).



(2) The Casual Workers shall be hired on piece rate, time rate jobs and should be paid in accordance with statutory wages Regulations Orders issued by the Government from time to time.

B.17 Certificate of Service

(1) Certificate of Service will be given on request to an officer, upon his retirement, resignation, dismissal or termination of appointment. This may be used as a reference covering the officer's period of County Government Service.

B.18 Testimonials and Letters of Commendation

(1) Testimonials and letters of commendation may be given by supervisors as a way of motivating their staff for exemplary service.

B.19 Schemes of Service

(1) The grading structure, qualifications and other requirements for recruitment into the County Public Service are laid down in various Schemes of Service. The Schemes of Service must be followed in processing appointments and promotions of officers in their respective fields of employment.

B.20 Promotions

- (1) In selecting candidates for promotion, regard will be given to merit and ability as reflected in work performance and results, as well as seniority, experience, and academic or professional qualifications.
- (2) Recommendations for promotion shall be made by the candidate's supervisor to the CPSB.



B.21 Dates of Promotion

- (1) The effective date of the officer's promotion will be the date of the CPSB letter authorizing the promotion, or the date the officer qualifies for promotion for the common cadre posts.
- (2) If an officer has been appointed by CPSB to act in a post and is subsequently promoted to it without any interval between the appointment in an acting capacity and the date of his substantive promotion, the effective date of promotion will be the date on which he commenced to act.
- (3) The principles set out in this regulation will apply to posts on which the Board has delegated its powers to Authorized Officers.

B.22 Re-designation

- (1) Where an officer is re-designated from one cadre to another, the re-designation will be on a continuous basis to avoid loss of seniority. The period served in the previous grade will be considered as qualifying period for the purpose of promotion to the new cadre.
- (2) All cases of re-designation will be handled in accordance with the provisions of various schemes of service.
- (3) In selecting public officers for re-designation, the criteria for appointment as prescribed under paragraph B.7 shall apply.

A public officer shall not be re-designated to hold or act in a public office if:-

- i. the office is not vacant;
- ii. the public officer does not meet all the qualifications, except for experience at a lower grade in the relevant cadre, attached to the public office;
- iii. the decision to re-designate the officer may disadvantage any public officer already serving in the relevant cadre; or
- iv. The officer has not consented to the re-designation.



(4) If a public officer is re-designated, the officer shall not in any way suffer reduction in remuneration.

B.23 Transfer of Service

- (1) Transfer of service to and from one County Public Service to another or other Administrations shall be approved by the Public Service Commission and/or County Public Service Board as the case may be.
- (2) An officer can only be considered for a transfer if the service of the other administration in which he has applied for appointment, has been declared to be 'Public Service' for the purposes of the Pensions Act.
- (3) Where an officer is appointed to a pensionable post under the Public Service Superannuation Scheme, the officer will be eligible for pension benefits as provided for in the Public Service Superannuation Act

B.24 Secondment of Officers to Statutory Boards or Similar Organizations

- (1) Secondment of officers from the county to other organizations will be arranged for periods not exceeding three (3) years without renewal and will be confined to pensionable officers.
- (2) Approval for secondment will be granted by the County Public Service Board.
- (3) To maintain the officers pensionable status, the officers or the organization to which the officer is seconded will be required to make pension contribution at the rate of 31 percent of an officer's basic salary in the Government during the term of his secondment, due account being taken of any notional annual increments to which he may be entitled.



(4) Officers on secondment will be paid their salaries and other allowances by the organizations in which they are seconded.

B.25 Secondment from Other Organizations to the County Public Service

(1) Secondment of officers from other organi zations to the county will be approved by the CPSB.

B.26 Non Discrimination

The County Government shall:-

- (1) Promote equality of opportunity in employment and will not discriminate directly or indirectly against an employee on the grounds of race, colour, sex, language, religion, disability, pregnancy, mental status, HIV status, and in respect of recruitment, training, promotion, terms and conditions of employment, termination of employment or any matters arising out of employment.
- (2) Ensure that not more than two-thirds of vacant posts are filled by either gender in the county public service.
- (3) Ensure that at least thirty percent of the vacant posts at entry level are filled by candidates who are not from the dominant ethnic community in the county.

B.27 Rights and Privileges of Persons with Disabilities



- (1) Employees with disability, and are registered with the National Council for Persons with Disabilities, shall be entitled to exemption from tax on all income accruing from employment.
- (2) Affording adequate and equal opportunities for appointment, training and advancement for persons with disabilities.
- (3) The County Government shall provide facilities and effect such modification, whether physical, administrative or otherwise, in the workplace as may be reasonably required to accommodate persons with disabilities.

SECTION C: CODE OF CONDUCT

C.1 Introduction

This Section contains general rules of conduct to be observed by public officers so as to maintain integrity and loyalty to the county government and also uphold the dignity of the public office to which they have been appointed. The Section also outlines procedures to be followed in cases of breach of discipline. It is, therefore, imperative that every public officer in the county government adheres to these rules of conduct, and such other rules which may be introduced from time to time.

C.2 Conduct of Public Officers in the Service of the County Government

- (1) A public officer should ensure that his or her conduct both in public and in private life does not bring the Public Service into disrepute.
- (2) A public officer who contravenes paragraph (1) is liable to disciplinary action stipulated in this Section.



C.3 Leadership and Integrity

(1) A public officer appointed to a public office will be required to demonstrate respect for the people; bring honour to the service and dignity to the office; and promote public confidence and integrity, as stipulated in Chapter Six of the Constitution of Kenya (2010).

C.4 Personal Interests

- (1) It is a requirement that all officers disclose their personal interests. For the purpose of this regulation, "personal interest" includes the interest of a spouse, relative or business associate which may conflict with official duties. A public officer shall therefore:-
 - a) not hold shares, corporate or partnership of another body directly or through another person, if holding those shares or having that interest would result in the public officer's personal interests conflicting with his official duties;
 - b) declare the personal interests to his superior or other appropriate body and comply with any directions to avoid the conflict and also refrain from participating in any deliberations with respect to the matter where such interests conflict with the official duties;
 - c) not award a contract or influence the award of the contract to self, spouse, close relative, business associate, or a corporation, partnership or other body in which the officer has an interest;
 - d) not use the office to improperly enrich self or another person or improperly use the office to acquire land and other property for self or another person, whether or not the land or property is paid for, for personal benefit or for another;
 - e) not use or allow the use of information acquired in connection with the public officer's duties that is not public for own benefit or others;
 - f) not engage in a full-time employment in another organization;
 - g) not hold office in a political party;
 - h) not undertake any private agency in any matter related to official duties; and
 - i) not be an agent for a foreign Government, organization or individual in a manner that may be detrimental to the security interests of Kenya.



C.5 Post Retirement Engagement

- (1) A retired public officer who is receiving a pension from public funds shall not hold more than two concurrent remunerative positions as chairperson, director or employee of:
 - a) Company owned or controlled by the government at any level;
 - b) State organ.
- (2) A retired public officer shall not receive remuneration from public funds other than as contemplated in paragraph (1) above.

C.6 Declaration of Income, Assets and Liabilities

- (1) Every officer shall on 1st appointment and bi-annually thereafter submit a declaration of income, assets and liabilities of self, spouse(s) and dependent children under eighteen (18) years to the Board.
- (2) A public officer who fails to submit a declaration or clarification as required, or who submits information that the officer knows is false or misleading, will be liable to disciplinary action.
- (3) If an investigation discloses that the public officer has contravened the Code of Conduct and Ethics, the appropriate disciplinary action will be taken against the officer; or if the Board is of the view that civil or criminal proceedings ought to be considered, the matter may be referred to the Director of Public Prosecutions.

C.7 Abuse of Office

(1) A Civil Servant who uses his office to enrich self or confer a benefit to a third party is guilty of an offence in accordance with the Anti- Corruption and Economic Crimes Act.

C.8 Management of Newspapers, Publications and Interviews on Questions of Public Policy

(1) A public officer shall not act as the editor of any newspaper or take part directly or indirectly in the management thereof or publish anything which may be reasonably regarded as of a



- political or administrative nature without the express permission of the relevant authority in the county government.
- (2) A public officer shall not, under any circumstances, communicate with the media either in writing or by granting interview and making statements on matters affecting county government programs or policies without the specific authority of the relevant authority in the county government. Where such authority has been obtained, the officer shall communicate with the press through the respective Chief Officer.
- (3) While it is not desired to interfere with a public officer's freedom of speech, any lack of discretion on the part of the officer is likely to embarrass the county government and may result to serious consequences to the officer responsible.

C.9 Confidentiality

- (1) A public officer is bound to uphold confidentiality as stipulated in the Official Secrets Act (CAP 187) on appointment and on leaving the service of the county government.
- (2) Chief Officers should bring to the attention of all the employees, the provisions of the Official Secrets Act (CAP 187) on appointment and at any other time as may be deemed necessary.

C.10 Supply of Information and Communication with Members of the County Assembly

(1) A Chief Officer may give information on technical issues related to the duties and activities of a county department for which the officer is responsible to members of the County Assembly through the respective Executive Committee Member.

C.11 Hospitality from Foreign Diplomatic Representatives

(1) A public officer may not accept hospitality from any Foreign Diplomatic Representative, without the prior permission of the County Secretary.

C.12 Membership to Political Associations

(1) Public Officers are not permitted to be active members of any associations of which the objects and functions are in any way political. This does not imply that the Government considers membership of such associations improper or undesirable, but merely that it considers that membership of a political association is not consistent with the duties and obligations of a public officer who is required to carry out the county government's policy, unbiased by any political view held.

C.13 Political Neutrality

- (1) Whereas, it is the constitutional right of any officer to be involved in political party activities, a public officer shall not use the office held to:
 - a) Act as an agent for, or so as to further the interest of a political party;
 - b) Indicate support for or opposition to any political party or candidate in an election; and
 - c) Engage in political activities that may compromise or be seen to compromise the political neutrality of the office held.
- (2) A public officer should refrain from active participation in politics. In any dealings which the officer may have with members of the County Assembly, the discussions should be restricted to subjects of general interests and county government affairs which fall under the officer's sphere.
- (3) A public officer must at all times preserve the tradition of loyalty to the Government without which no public service can properly function. The officer should also bear in mind the provisions of the Official Secrets Act and the penalties which can result due to contravention.
- (4) A public officer who may wish to contest for a political seat will be required to resign the service unless the officer is eligible for retirement under the Pensions Act.



C.14 Inclusiveness

- (1) The county government will strive to eradicate all forms of discrimination and harassment in order to promote inclusiveness and representation of Kenya's diverse communities and ethnic groups. It will therefore be an offence for officers who will be found to:
 - a) Discriminate against a person by applying a requirement or a condition that has an effect of segregating a person on ethnic grounds;
 - b) Discriminate another person by way of victimization through any act that is injurious to the person's well-being and esteem by treating the person less favorably.
- (2) To ensure that the county public service reflects diversity of the Kenyan communities, the county Government will endeavour to address inclusiveness through recruitment, deployment, training and promotion.
- (3) A public officer is liable to be posted to any station within the service of the county government.

C.15 Sexual Harassment

- (1) A public officer should not harass another officer sexually through; direct or indirect request for favors, use of language whether written or spoken of a sexual nature, use visual material of a sexual nature and show physical behavior of a sexual nature which directly or indirectly subjects the person to behavior that is unwelcome or offensive.
- (2) Disciplinary action will be taken against a public officer for harassing another person.

C.16 Gifts

(1) A public officer is prohibited from receiving valuable presents (other than gifts from personal friends and relatives) whether in the form of money, goods, free passages or other personal benefits and from giving such presents.





- (2) A gift or donation to a public officer on a public or official occasion will be regarded as a gift or donation to the county government and shall be delivered to that county treasury unless exempted under an act of Parliament.
- (3) When presents are exchanged between officers acting on behalf of the county government in ceremonial occasions with other governments or their representatives, the presents received will be handed over to the county government and any present in return will be given at the county government's expense.

C.17 Financial Probity

- (1) A public officer shall not:
 - a) maintain a bank account outside Kenya except in accordance with the relevant Act of Parliament; or
 - b) Seek or accept a personal loan or benefit in circumstances that compromise the integrity of the public officer.
- (2) A non-Kenyan public officer shall not enter into any private arrangement with any bank or financial institution in the country for the purposes of getting any loan without prior approval of his Authorized Officer. In signifying the approval in this regard, the Accounting Officer will ensure that the officer will be able to honour the obligations in full during the tour of service in which the loan is to be granted.
- (3) Before leaving the country on completion of the tour, a non-Kenyan public officer shall be required to certify to the Accounting Officer that financial and other obligations have been cleared.

C.18 Sale of Property to the Government

(1) If a public officer wishes to dispose personal property or effects by sale to the county government, the same should be in accordance with the Government Procurement Procedures.



C.19 Undue Influence

- (1) Officers should be made aware that the practice of seeking the influence of members of the County Assembly or other persons as a means of bringing their services to the notice of the county government with a view to consideration for promotion or other favors is discouraged. Any such attempt to obtain such favors is considered irregular and may actually be detrimental to the officer's interests.
- (2) A public officer is not permitted to make representations to a Member of the County Assembly on matters affecting his/her individual terms and conditions of service. Proper channels of communications exist to enable officers to make representations regarding their personal affairs.

C.20 Absence from Duty without Leave

- (1) Where an officer is absent from duty without leave, reasonable or lawful cause, the following steps will apply:
 - a. Establish the exact period with dates the officer has been absent from duty. If not traced within a period of ten (10) days from the commencement of such absence, salary will be stopped with effect from the date of absence.
 - b. A show cause letter will be addressed to the officer through his or her last known address by registered mail, giving a reasonable period within which to respond but not less than ten (10) days, stating clearly the nature of the offence and the contemplated action.
 - c. If the officer does not respond, the case will be submitted to the relevant committee which shall make a recommendation to the CPSB for summary dismissal.
 - d. If the officer responds, investigations will be carried out on the issues raised in the show cause letter and in the officer's defense; an investigation report prepared; and submitted to the relevant committee for recommendation. Thereafter the case will be submitted to the CPSB for a decision.



- e. The decision will be communicated to the officer informing him or her of the right of appeal, or review to the County Public Service Board within six weeks from the time of the decision.
- f. If the officer is not satisfied with the decision of the Board, the officer may appeal to the PSC, in accordance with PSC regulations and section 77 of CGA.
- (2) Where the officer has been absent from duty without permission and has continued to be paid leading to overpayment, the amount in question may be recovered from the salary or any other monies due to the officer from the Government.
- (3) Where the overpayment is not as a result of a public officer's negligence, the officer who occasioned the overpayment should be held liable.

C.21 Absence from Duty on Grounds of Illness

(1) A public officer who absents self from duty on the grounds of illness must produce proof of sickness/sick leave certified by a medical practitioner. Should the officer fail to produce a medical certificate, the officer shall be considered to be absent without leave and his case shall be dealt with in accordance with the relevant disciplinary procedure.

C.22 Pecuniary Embarrassment

- (1) Pecuniary embarrassment from whatever cause, will be regarded as necessarily impairing the efficiency of a public officer and rendering him liable to disciplinary proceedings.
- (2) A public officer will be expected to retain a net salary of not less than 1/3 of his basic salary per month.
- (3) Pecuniary embarrassment, involving both lending and borrowing of money at usurious rates of interest, will be regarded as an offence affecting both the respectability of the service and trustworthiness of the individual and may be held to be a bar to promotion or further retention in the service.



- (4) It is the policy of the county government to give officers who are in debt every possible opportunity to extricate themselves from their financial embarrassment, but there must be a limit beyond which a public officer cannot be retained in the service of the county government, in which case he must be retired in the public interest. In certain circumstances, it might even be necessary to consider dismissal, but this step will only be taken in case of a most serious nature and where indebtedness is not the only factor involved.
- (5) The department responsible for human resource matters will institute disciplinary action in accordance with laid down disciplinary procedures.
- (6) When a public officer is seriously indebted to the extent of more than six (6) months' salary, consideration should be given for removal from the service. The officer responsible for human resource will issue a show cause letter for the officer to explain why disciplinary action should not be instituted against him on account of indebtedness. The officer shall be required to submit quarterly reports showing exactly the state of his finances and the amounts which have been liquidated during the three (3) months previous to the date on which the report is submitted.
- (7) When a public officer's indebtedness requires that the officer should no longer carry out duties which may tempt the officer to appropriate public funds or stores for own use, it may be necessary either to retire the officer in the public interest or to redeploy the officer. Any officer, therefore, holding an office of this nature and who shows a tendency to run into debt should be warned in writing of this position.

C.23 Report in Cases of Bankruptcy, Judgment-Debtors

(1) A public officer, who is declared bankrupt, becomes insolvent or seriously indebted shall at once report the matter to the county chief officer. Failure to report such matter shall be regarded as a serious offence rendering the public officer liable to disciplinary action being taken.



- (2) A public officer who has reported bankruptcy, insolvency or indebtedness shall without delay submit a complete statement of facts of the case to the Chief Officer who will decide whether the circumstances would necessitate disciplinary proceedings being instituted.
- (3) In no circumstances shall a bankrupt, insolvent or seriously indebted officer be permitted to be employed on duties involving the handling of public funds.
- (4) Registrars of the High Court and Magistrates will report to the Authorized Officer, every instance in which a public officer becomes a judgment-debtor or whenever proceedings are taken against a public officer in bankruptcy.

C.24 Improper use of County Government Stores

(1) Government stores should not be converted for personal use. Disciplinary action will be taken against a public officer making or receiving unauthorized issues.

C.25 Losses of Public Funds

- (1) Losses of Public Funds include:
 - a) actual loss or destruction of, or damage (other than fair wear and tear) to, or failure to account for the disposal of public monies, stamps, securities, or property movable or immovable (including any money or other property, not belonging to the county government which is held or used by an officer in his official capacity, either alone or jointly with any other person);
 - b) non collection of any monies due or belonging to the county government, or for the collection of which the county government is responsible;
 - payments made or liabilities incurred without or in excess of any statutory, administrative
 or other authority, including nugatory and similar payments and payments arising from
 incorrect certificates; and irregular or excess issues of stores, rations, etc.;
 - d) unauthorized use of county government stores, vehicles, buildings, equipment, or any other property, or of service (e.g. repair workshop) provided for official purposes; and
 - e) Compensation and similar payments (including third party claims in respect of vehicle accident), legal and court costs, and any other additional expenditure or liability incurred which was avoidable and need not have been incurred.



C.26 Loss of Public Funds through Neglect or Fault

- (1) If at any time public funds are lost in consequence of the neglect or fault of an officer, the officer will be held to have incurred a pecuniary liability in respect of the loss, and if a satisfactory explanation or offer of restitution is not forthcoming, the officer may be required to meet this liability in whole or in part. The amount in question may be recovered from his salary or any other monies due to him from the county government, or may be sued for and recovered in any court of law.
- (2) Such a recovery is not imposed as a fine or punishment but as a means of compensating the public purse of losses sustained as a consequence of an officer's dereliction of duty. It is in no way precluded by any criminal or disciplinary proceedings in respect of the same occurrence, irrespective of the result.
- (3) Reckless indifference to the probable consequences of any neglect or fault will result in those consequences being deemed to have been intended.
- (4) Any act or omission which facilitates or makes a loss possible, even though it was not the immediate cause of it, may be regarded as a contributory cause and may involve a consequential liability, provided that full recovery from the person whose neglect or fault, including a criminal act, was the immediate cause.
- (5) Two or more officers may be held to have incurred a joint liability and recovery of all or part of the loss may be apportioned between them.

C.27 Recovery of Losses of Public Funds

(1) The procedure to be followed in reporting and dealing with losses is set out in the Public Finance Management Act and Regulations.



- (2) An Accounting Officer may require an officer to make good the loss of public funds, unless the loss is one which he is authorized by Public Finance Management Regulations (PFMR) and procedures to write off. In all cases, the Executive Committee Member responsible for Treasury may require an officer to make good the loss of public funds after he has considered the recommendations of the Accounting Officer.
- (3) Except as provided for in Public Finance Management Regulations and Procedures, no loss may be written off to public funds without the authority of the county treasury. All losses not within the authority of an Accounting Officer to write off must be reported to the county treasury, who may request any officer who appears to have incurred a pecuniary liability in respect of a loss to explain within a stated time why he should not be required to make good the loss in whole or in part. If a satisfactory explanation is not forthcoming, the executive committee member responsible for Treasury will review the circumstances of the loss and, after taking into consideration the officer's general conduct and financial circumstances, any other action which may have been taken against him, will decide whether the officer will be required to make good the loss, and if so to what extent. Similar action will be taken by an Accounting Officer in respect of the cases falling within his authority.
- (4) The executive committee member responsible for county Treasury or the Accounting Officer, as the case may be, will, when recording his decision, give directions as to the method of recovery. The normal method will be deductions from the officer's salary, if necessary in monthly installments, in amounts not exceeding 25 percent of his monthly salary. If, however, the officer's appointment has been or is shortly to be terminated, deductions from any sums due to him from county government will be made in such amounts as may be necessary to effect full recovery before termination of his service.
- (5) The executive committee member responsible for county Treasury or the Accounting Officer, as the case may be, may at any time, if a satisfactory explanation is received or for any other sufficient reasons, relieve an officer in whole or in part of his pecuniary liability, and may



direct that sums recovered from him in connection with the loss in question be repaid, in whole or in part.

- (6) An officer who is dissatisfied with a decision to recover from him may appeal within one (1) month (or such longer period as the executive committee member responsible for county Treasury may in any particular case authorize) against a decision of an Accounting Officer.
- (7) Where an officer has received any erroneous payment, he shall be liable to refund to the county government the amount of such payment and paragraphs (2) to (5) of this Section shall apply.
- (8) Paragraph(7) shall not be deemed to relieve any other officer from any pecuniary liability in respect of the erroneous payment and the officer responsible for such payment may be held to have incurred a joint liability and recovery of all or part of the amount of such payment may be apportioned between them.
- (9) For the purpose of this Section:
 - a) "erroneous payment" means the payment of any salary, gratuity or allowance or the grant of any benefit in respect of which the county government incurs any expenditure or loss, to an officer to which he was not entitled or in excess of his entitlement;
 - b) It is immaterial whether an erroneous payment was made under a mistake of fact of law.
- (10) In a genuine case where the recovery of an erroneous payment is likely to impose very considerable financial hardship on an officer, such a case should be submitted to the County Public Service Board giving full details of the circumstances in which the erroneous payment was made and making an appropriate recommendation for the grant of any relief. Similarly, where an erroneous payment involves a written contractual obligation between the county government and the officer concerned, the matter should be referred immediately to the CPSB for advice.
- (11) In addition to the above provisions, disciplinary action which may lead to dismissal may be taken against an officer on account of loss of public funds.

C.28 Disciplinary Powers and Procedures

(1) The powers of disciplinary control and removal of county public officers from the service are vested in the CPSBs or Authorized Officers as specified in the County Government Act.

C.29 Principles Guiding Exercise of Disciplinary Control

- (1) Adherence to the principles of natural justice:
 - a) A person affected by a decision must be given an opportunity to be heard; and
 - b) the person making the decision must not be biased.
- (2) Respect for the rule of law:
 - a) everybody should be treated equally;
 - b) no one is above the law;
 - c) no one is exempt from law;
 - d) no one can grant exemption to the application of the law;
 - e) acting within legal authority; and
 - f) a person making a decision affecting a public officer must ensure that he or she is acting within legal authority and in accordance with the prescribed procedure.

C.30 Forms of Punishment

- Recovery of the cost or part of the cost of any loss or breakage caused by default or negligence, provided no such cost has been recovered by surcharge action under the appropriate financial instructions or regulations;
- b) reprimand (including severe reprimand);
- c) deferment of increment;
- d) withholding of increment;
- e) stoppage of increment;
- f) reduction in rank or seniority; and
- g) Dismissal.

C.31 Interdiction

(1) The interdiction process entails the following: CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION



- a) An officer may be interdicted where misconduct which is likely to lead to dismissal is reported or a report that an officer has been charged in criminal proceedings is received.
- b) If the case relates to a criminal charge the officer is served with an interdiction letter. If the misconduct is one which can lead to dismissal but is not of criminal nature the officer will be served with a show cause letter which shall also contain a communication on interdiction.
- c) Where the misconduct is likely to lead to a dismissal and is also the subject of a criminal charge, the procedure in (b) will prevail.
- d) A county public officer who is interdicted shall receive half basic salary and full house allowance but other benefits shall be withheld by the authorized officer: Provided that an officer on suspension shall be paid medical allowance or medical insurance premium remitted whichever is the case.
- e) If in any case an authorized officer is satisfied that the public interest requires that a county public officer should cease forthwith to exercise the powers and functions of the county public office, the authorized officer may interdict the county public officer from the exercise of those powers and functions, provided proceedings which may lead to the public officer's dismissal are being taken or are about to be taken or that criminal proceedings are being instituted against the county public officer.
- f) A county public officer who is under interdiction may not leave the duty station without the permission of the authorized officer or of any public officer who is empowered to give such permission on behalf of the authorized officer.
- g) A public officer whose interdiction has been lifted shall promptly be served with a decision letter.
- (2) Under this Part, "salary" refers to basic salary and, where applicable, includes incentive or overseas allowance.

C.32 Suspension



- (1) Where a public officer has been convicted of a serious criminal offence, other than proceedings for minor offences, an authorized officer may suspend the public officer from the exercise of the functions of the public office pending consideration of the public officer's case under these Regulations.
- (2) An authorized officer may suspend from the exercise of the functions of the public office a public officer against whom proceedings for dismissal have been taken if, as the result of those proceedings, the authorized office considers that the public officer ought to be dismissed.
- (3) A public officer who is suspended shall receive a quarter basic salary and full house allowance but other benefits shall be withheld by the authorized officer: Provided that an officer on suspension shall be paid medical allowance or medical insurance premium remitted whichever is the case.
- (4) Where a public officer has been suspended and such public officer is neither dismissed nor otherwise punished under these Regulations, any salary, remunerative allowances or any other benefit withheld under this paragraph shall be restored to the officer upon termination of such proceedings.
- (5) Where a public officer has been suspended and such public officer is not dismissed but any of the other punishments under these Regulations is imposed, any salary, remunerative allowances or any other benefit withheld under this paragraph shall not be restored upon termination of such proceedings:
- (6) A public officer who is suspended may not leave the duty station without the permission of the authorized officer or of any public officer who is empowered to give such permission on behalf of the authorized officer.



- (7) Where a public officer has been interdicted or suspended, the public officer may in writing request the authorized officer to communicate the progress and action taken towards the conclusion of the disciplinary case.
- (8) Where a public officer has made a request in accordance with paragraph 7 above, the authorized officer shall make the appropriate response by writing to the officer within thirty days from the date of receipt of the officer's request.
- (9) Where a public officer has made a request in accordance with this paragraph and the authorized officer has failed to respond within the prescribed thirty days or the public officer is dissatisfied with the response, the officer may apply to the CPSB for the lifting of the interdiction or suspension and the Board shall make an appropriate decision which may include the termination of the disciplinary proceedings.

C.33 Officer convicted of criminal offence.

(1) If a county public officer is convicted of a criminal offence which, in the opinion of the authorized officer, warrants imposition of any of the prescribed punishments, the authorized officer shall forward a copy of the charge and any judgment or order made on appeal or revision to the CPSB for consideration, the CPSB shall decide whether the public officer should be dismissed or subjected to any of the other punishments mentioned in these Regulations or other legislation, and, in making a decision the Board shall rely solely on the court record leading to the conviction.

C.34 Appeals

- (1) Any person dissatisfied or affected by a decision made by the CPSB or a person in exercise or purported exercise of disciplinary control against any county public officer may appeal to the Public Service Commission against the decision.
- (2) The appeals may be on any decision relating to employment of a person in a county government including a decision in respect of—
- a) recruitment, selection, appointment and qualifications attached to any office; CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION



- b) remuneration and terms and conditions of service;
- c) disciplinary control;
- d) national values and principles of governance, under Article 10, and values and principles of public service under Article 232 of the Constitution;
- e) retirement and other removal from service;
- f) pension benefits, gratuity and any other terminal benefits; or
- g) any other decision the Commission considers to fall within its constitutional competence to hear and determine on appeal in that regard.
- (3) The Commission shall not entertain an appeal more than once in respect to the same decision.

C.35 Reviews

- (1) Any person dissatisfied or affected by a decision made by the Commission on appeal in a decision made in a disciplinary case may apply for review and the Commission may admit the application if:
 - a) the Commission is satisfied that there appear in the application new and material facts which might have affected its earlier decision, and if adequate reasons for the non-disclosure of such facts at an earlier date are given; or
 - b) There is an error apparent on record of either decision.
- (2) An application for review shall be in writing and made within the time prescribed by the Commission in regulations governing disciplinary proceedings, but the Commission may entertain an application for review later if, in the opinion of the Commission, the circumstances warrant it.

C.36 Defense of Officers in Civil Suits

(1) When a civil suit is instituted against a public officer as a result of his official position or of an act done by him in the course of official duties, the officer may apply to the County Attorney through the County Secretary for assistance in the defense. The decision as to whether the Government will undertake the defense will be taken by the Attorney General who may consult the Treasury before reaching a decision.



- (2) It is emphasized that speed is also essential in these cases, and a County Secretary must consult the Attorney General and submit an application for assistance to him at the earliest possible moment.
- (3) In cases where the county government assumes responsibility for the defense of a public officer on the advice of the Attorney General, the later will provide free legal defense, by instructing a state counsel or by briefing a private advocate and the cost of such defense will be a charge on the vote of the Office of the Attorney General. If the Attorney General briefs an advocate to represent a public officer, this will be done in consultation with the officer. Whether any damages and/or costs awarded against a public officer in a civil suit will be met from public funds depends on the facts of each case. If the action is only nominally against the officer and is, in substance and in fact against the county government, the county government will take responsibility and meet all the damages and the costs awarded. The question of so indemnifying a public officer is one for specific decision in each case, and will be decided only after consultation between the Attorney General, the County Treasury and the county department concerned. When the county government accepts liability for damages and/or costs, the county department concerned will be responsible for meeting such liability out of its vote.
- (4) County Officers desiring the county government to assist in their defense in civil suits must apply to the Attorney General through their County Secretary as soon as they become aware that a suit may be instituted against them. They should not wait until summons is served upon them. They must not brief an advocate in their defense and subsequently apply for county government assistance. Unless the proper procedure is followed, the county government may decline to pay any part of the cost of defense or of any damages or costs which may be awarded against a public officer.

C.37 Administrative Arrangements

(1) In order that the defense of officers who apply for legal aid in civil suits, may not be prejudiced by any delay occasioned by the necessity for referring applications and to enable CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION



the Attorney General, take any procedural step which may be immediately necessary in order to protect the position of the officer pending a decision on the application but without committing the county government to accede to the application, a County Governor on forwarding such an application, should send a copy of the officer's statement of defense to the office of the Attorney General.

C.38 Civil Proceedings by Officers for Defamation

- (1) Where a public officer has been defamed in respect of matters arising out of his official position, e.g. in the press or at a political meeting, it may be that the county government is also defamed by implication, and may, therefore, agree to give legal aid to the officer. Where such a case occurs, the officer should apply for legal aid through his Accounting Officer to the Attorney General. Legal aid will not be granted unless:
 - a) The county government has a substantial interest in seeing that the defamatory statement is repudiated; and
 - b) There is, in the opinion of the Attorney General, a good prospect of success in the action.
- (2) The consent of the Attorney General must be obtained before proceedings are commenced. A private advocate, not a state counsel, will normally be employed, and the Attorney-General will select, in consultation with the officer, the advocate, or firm of advocates, to be employed. Legal aid will cover either the whole of the plaintiff's expenses, including costs awarded against him if he is unsuccessful in his suit, or any unrecovered costs or a part of such costs, as may be specified, if he succeeds, and will be subject to repayment in proportionate costs or damages are recovered. Any expenses ultimately chargeable to public funds will be met from the vote of the Office of the Attorney General.

C.39 Consent to Institute Civil Proceedings

(1) No officer shall institute civil proceedings arising out of any circumstances related with the official position, or with any activity in which he has been engaged in the course of his official duties, without first obtaining the written consent of the Attorney General to the institution of such proceedings, whether or not he desires legal aid. In purely private proceedings, unconnected with their official position or duties, officers do not require the consent of the Government. In such private litigation, the question of legal aid by

Government does not arise.



SECTION D: SALARIES AND ADVANCES

D.1 Introduction

This Section deals with salary related issues including; determination of salary on promotion, criteria for the grant of annual increments, incremental credits for previous approved experience and determination of the relative seniority between officers.

The Salaries and Remuneration Commission will be responsible for the review of the Civil Service salaries and allowances.

D.2 Date of Initial Payment of Salary on Appointment

- (1) A person engaged will be paid full salary from the date of assumption of duty.
- (2) All officers will be paid salary in Kenya currency through their bank accounts.

D.3 Salary Structure

(1) The County salary structure will be based on the grading levels spelt out in the various schemes of service and will be reviewed from time to time taking into consideration economic performance, availability of funds, performance level of staff and collective bargaining agreements.

D.4 Determination of Salary on Promotion/Upgrading

- (1) If the salary of an officer who is promoted to a higher Job Group is less than the minimum of the salary scale attached to the higher Job Group, he will enter the scale of the higher Job Group at the minimum point of the scale on the effective date of his promotion/upgrading. His future incremental date will be the following year on the 1st date of the month in which the officer was promoted.
- (2) If, however, the salary of an officer on the day preceding the effective date of his promotion is one point immediately below the minimum of the salary scale attached to his new Job CGUG HUMAN RESOURCE MANUAL 2015 DEPARTMENT OF PUBLIC SERVICE MANAGEMENT ORIGINAL VERSION



Group and if that officer was earning an annual increment, he will receive the minimum salary scale attached to his new Job Group on the effective date of his promotion and retain his former incremental date.

- (3) If on the effective date of promotion/upgrading the officer was already within the higher salary scale but the officer had not attained the maximum point of his current salary scale, the officer will enter the higher salary scale, at the point next above his current salary on the effective date of his promotion/upgrading and the officer will retain his former incremental date, i.e. incremental date immediately prior to his promotion.
- (4) If on the day preceding the effective date of his promotion he had attained the maximum point of the salary scale attached to his former Job Group and his service at that salary amounted to less than one year, he will enter the salary scale attached to his new Job Group from the effective date of his promotion at the point next above his salary. His future incremental date will be the 1st date of the month in which the officer was promoted.
- (5) If on the effective day of the promotion an officer had served at the maximum salary point of his present salary scale for one (1) year but less than two (2); the officer will enter the next salary point above his salary and will retain his former incremental date prior to reaching his maximum salary point.
- (6) If on the effective day of the promotion an officer had served at the maximum salary point of his present salary scale for two years but less than three years, the officer will be granted two (2) salary increments at the point above his salary. His future incremental date will be the 1st date of the month in which he was promoted.
- (7) If on the effective day of the promotion an officer had served at the maximum salary point of his present salary scale for three years and above, the officer will be granted three (3) salary increments at the point above his salary. His future incremental date will be the 1st date of the month in which he was promoted.



D.5 Determination of Salary of an Officer transferred from another Administration

- (1) The salary of an officer who is transferred from another administration will be determined by application of the provisions of Regulation E.4.
- (2) However, where an officer from another Government organization has been identified for a particular assignment and his salary is higher than the salary applicable to the relevant grade, the case will be referred to the County Public Service Board for determination.

D.6 Determination of Incremental Dates on Appointment or Promotion/Upgrading

(1) Each 1st date of the month is an incremental date. Officers' incremental dates will be the 1st date of the month they are appointed, promoted or upgraded subject to the provisions of Regulation E.4.

D.7 Conditions for the Grant of Annual Increments

(1) An officer serving on an incremental scale is not entitled to receive an increment as a right.

An increment will only be granted based on the performance of the officer.

D.8 Increments for Approved Experience

- (1) Except where otherwise provided, an officer appointed on permanent or contract terms may be given incremental credit for previous approved experience at the rate of one increment for each complete year of approved experience provided the maximum salary of the Job Group assigned to the post is not exceeded. The grant of increments for approved experience will be subject to the following rules:
 - a. Incremental credit will only be granted in respect of approved experience gained after acquiring the requisite minimum qualifications for the grade. In granting incremental credit, any period of service or experience stipulated as a basic requirement for appointment or promotion to a particular grade would be excluded as this constitute the minimum requirement specified for appointment to that grade;



- b. Incremental credit may not be given on appointment to promotional posts, i.e. posts to which an officer would not normally be appointed if he had joined the Government Service immediately after completing his education; and
- c. Incremental credit may be given for the number of years of aggregate approved experience, periods in excess of full years being ignored. Thus, if an officer has approved experience for three periods each of 1½ years, since the total amounts to 4½ years he will be eligible for four (4) increments.
- (2) Where an officer on appointment is placed at a salary below the point in the scale at which he would normally enter by virtue of the incremental credit for which he is eligible, an adjustment should be made with retroactive effect from the date of his appointment.

D.9 Seniority

- (1) In accordance with the CPSB regulations, "Seniority" of county public officers shall be determined as follows:
 - a. As between county public officers of the same grade:
 - i. By reference to the dates on which they respectively entered the grade.
 - ii. If the county public officers entered that grade on the same day, by reference to their seniority on the day immediately preceding that day.
 - iii. if any county public officers who entered the same grade on the same day did so by appointment and not by promotion (excluding promotion from a non-pensionable to a pensionable grade), then seniority relative to each other shall be determined by reference to their respective ages.
 - b. As between public officers of different grades on the same salary scale or the same flat rate of salary, by reference to the dates on which they respectively entered their grades.
 - c. As between county public officers of different grades on different salary scales, by reference to the maximum point on their salary scale, a flat rate of salary being regarded for this purpose as a salary scale with a maximum point equivalent to the flat rate.



D.10 Advance of Salary

- (1) An advance of not more than one month's salary may be granted by an Accounting Officer to an officer on permanent and pensionable or contract establishment when the officer, owing to circumstances beyond his control, is placed in a difficult financial position requiring assistance from the county government.
- (2) In applying for the advance, the officer should explain in detail the circumstances leading to the situation which he could not have foreseen and therefore planned.
- (3) An advance under this regulation may be granted only when an officer has no other outstanding salary advance. In very exceptional circumstances, an Accounting Officer may grant an advance of not more than two (2) month's salary in situations similar to those in paragraph (1) of this regulation if he is satisfied that the officer needs assistance in excess of one month's salary advance.

D.11 Recovery of Advance

(1) The recovery period for salary advance will be limited to a period of not more than twelve (12) months. In respect of an officer who is due to leave the service before twelve (12) months, the advance must be fully recovered in equal installments within the remaining period of the officer's service in the county government.

D.12 Advance for other purposes

(1) County governments may grant other advances for various purposes as it deems fit

D.13 Salary Deductions

- (1) To ensure that officers do not over-commit their salaries, no salary deduction will be effected beyond two thirds (2/3) of an officer's basic salary.
- (2) Officers should be cautious when taking credit facilities as this will lead to a reduction on the officer's earning. Heads of Human Resource Units will be held responsible for any over deductions arising from such credit facilities.



SECTION E: ALLOWANCES

E.1 Introduction

This Section suggests allowances which may be granted to officers in specific circumstances. While it may be considered that the salary attached to a post represents appropriate remuneration of its holder for proper and efficient performance of day-to- day duties, there are circumstances in which additional payments are warranted. Such additional payments are made in form of allowances, either to reimburse an officer for the expenses incurred directly or indirectly in the execution of his duties, or to compensate him for services rendered over and above the normal job requirements. The Salaries and Remuneration Commission will be responsible for the review of the Public Service salaries and allowances.

E.2 Accommodation Allowance

- (1) When an officer travelling on duty is required to stay overnight away from his permanent station and makes his own arrangements for boarding and lodging, accommodation allowance will be paid to him at the rates determined from time to time. Eligibility for this allowance and the period of absence from the permanent station will be determined in each case, taking into account such factors as the distance, mode of travel, nature of assignment and the time taken to travel from the permanent station to a specified destination.
- (2) Accommodation allowance may be paid for a maximum continuous period of thirty (30) nights.
- (3) On transfer from one station to another, an officer may claim accommodation allowance for self and spouse and up to a maximum of four unmarried children under 22 years of age, with disability or children aged up to 25 years and still in college who are living with and are dependent on him should they be compelled to spend one or more nights on the journey. The rate of allowance for the spouse and children aged 16 years and above will be the same as that for the officer as set out in paragraph (1) above, and the rate for the children below 16 years will be one-half of the rate payable to the officer.



- (4) When a non-Kenyan is recruited to work in the country, he will on arrival, be eligible for payment of accommodation allowance at the rates applicable to his Job Group, for himself, spouse and children for a period not exceeding 30 days, subject to the approval of the Authorized Officer. The spouse will be eligible for payment at full rate while four unmarried children who are twenty two (22) years and below will be eligible for payment at half rate.
- (5) At the end of tour, the officer may draw accommodation allowance for a short period not exceeding 30 days when it is necessary for him to vacate his rented accommodation in advance of leaving the country.

E.3 Entertainment Allowance

(1) Some officers are from time to time required by the nature of their duties to provide hospitality and entertainment to official guests. To enable them meet such expenses, a non-accountable monthly entertainment allowance will be paid on the advice of the Salaries and Remuneration Commission.

E.4 Subsistence Allowance

- (1) An officer who is required to travel on duty outside Kenya will be granted subsistence allowance at the daily rates notified to the Service from time to time.
- (2) The rates of subsistence allowance which are payable for each complete period of 24 hours commencing from the time of departure from Kenya are designed to meet the cost of accommodation at good, but not luxury class hotels, three meals a day including service charges, local travelling (such as taxi, bus or train fare), incidental expenses including any taxes and an element in respect of essential entertainment. In addition, travelling expenses incurred from the airport of disembarkation to a hotel or other residential place and vice versa, airport charges, fees for vaccination, visas and passport charges will be refunded.
- (3) Where an officer's travelling, boarding and lodging expenses are paid in full directly to the hosting institution/hotel by the county Government or any other Organization, a residual allowance of up to one-quarter (1/4) of the standard rate of subsistence allowance may be paid to him to cover incidental expenses. Where only lodging expenses are covered, CGUG HUMAN RESOURCE MANUAL 2015 DEPARTMENT OF PUBLIC SERVICE MANAGEMENT ORIGINAL VERSION



subsistence allowance may be paid at three-quarters (3/4) of the standard rate. In cases where the sponsor does not meet the expenses directly but pays an allowance and such allowance is less than the standard rate of subsistence allowance, the officer may claim the difference from the government.

- (4) An officer travelling on duty abroad is normally expected to regularize his expenses within the standard rate of subsistence allowance. Nevertheless, in very exceptional circumstances, for example, when hotels are heavily booked during an international meeting and the officer has no choice of accommodation or when the standard rate of subsistence allowance is insufficient for any other justifiable reasons, consideration will be given by the Accounting Officer for a refund of the additional expenses on production of receipted bills in respect of actual expenditure incurred.
- (5) However, the prevailing rates are reviewed regularly to approximate rates and are expected to be sufficient to afford an officer reasonable accommodation.

E.5 Acting Allowance

- (1) When an officer is in possession of requirements for appointment to a higher post he may be appointed to act on the post pending advertisement of the post.
- (2) The officer will be eligible for payment of an acting allowance at a rate to be determined by the Salaries and Remuneration Commission subject to the approval of the CPSB.
- (3) The CPSB will not approve acting appointment to take effect from a date earlier than three (3) months prior to the date on which the recommendation is submitted to the Board. These arrangements will apply in cases of acting appointment under the delegated powers.
- (4) When a substantive vacancy occurs and a recommendation for an acting appointment is being made to the CPSB, such recommendation should be accompanied by a draft indent for advertisement of the vacancy in question.



- (5) When a post falls temporarily vacant due to the absence of the substantive holder, an acting appointment should not be made unless the period of such absence exceeds thirty (30) days. A recommendation for an acting appointment for a period of thirty (30) days or less will be considered by the CPSB for those cases where the law or regulations require that, in the absence of the substantive holder of a public office, the function of that public office can be exercised only if another officer is appointed in an acting capacity.
- (6) Acting allowance will be paid when an officer acts in a higher post for a minimum period of thirty (30) days or when the acting appointment follows another within an interval of not more than fifteen (15) days and the actual duration of both acting appointments is thirty (30) days or more. Acting allowance will not be paid when an officer who has been appointed to act in a higher post is absent from duty for whatever reason for a period of more than thirty (30) days.
- (7) An officer who is appointed to act in a higher post will be eligible for the duration of his acting appointment, for travelling privileges and other related ad hoc allowances, such as hotel or accommodation allowance. However, the officer will not qualify for house allowance or other remunerative allowances assigned to the higher post.
- (8) Acting allowance will not be paid against common cadre posts.

E.6 Special Duty Allowance

(1) When an officer is called upon to perform duties of a higher post but does not possess the necessary qualifications for appointment to that post, he will be paid special duty allowance at the rate of 10% of the officer's basic salary or 10% of the minimum basic salary of the higher grade, whichever is higher. The payment of special duty allowance will be subject to recommendation by the Human Resource Management Advisory Committee and approval by the Authorized Officer.



- (2) When a post falls temporarily vacant due to the absence of the substantive holder, special duty allowance should not be paid to an officer performing duties of such a post unless the period of absence exceeds thirty (30) days.
- (3) Officers will not be called upon to perform duties of a post that is not more than two (2) grades higher than the officer's substantive grade.
- (4) Special Duty Allowance will not be payable to an Officer for more than one (1) year.
- (5) Officers performing duties of a higher post under this regulation will not be eligible for allowances or travelling privileges applicable to the higher post.

E.7 Overtime Allowance

- (1) An officer who is required to work overtime, an allowance may be granted to him with prior approval of the Authorized Officer on the advice of the appropriate Human Resource Management for overtime worked in excess of forty (40) hours per week, at a rate to be determined
- (2) This provision will not apply to certain categories of staff who are required to work overtime regularly and an allowance has been authorized for their compensation.
- (3) It is emphasized that overtime allowance should not be paid for routine duties where it is possible that alternative arrangements can be made for performance of the tasks within normal working hours.
- (4) Overtime allowance will be paid subject to a maximum of ten hours per week.
- (5) Officers who are normally called upon to perform duties of a supervisory nature will not qualify for overtime allowance as this factor is taken into account in determining the rate of their remuneration.



E.8 Hardship Allowance

(1) The County Government will determine the mechanisms of paying hardship allowance based on guidelines from the Salaries and Remuneration commission.

E.9 Transfer Allowance

- (1) When an officer is transferred from one station to another, he will be eligible for payment of transfer allowance amounting to one (1) month's basic salary immediately he is released to the new station provided the new station is not less than 40 km from the old station.
- (2) Transfer allowance will not be paid to:
 - a. Field officers such as Surveyors, Hydrologists, Engineers, Geologists, and Inspectors of Works, when moving from one camp to another;
 - b. Officers who are posted on temporary basis (i.e. on relief duty) for a period not exceeding three months; and
 - c. Officers who are transferred on their own request to suit their own convenience.

E.10 Field Allowance

- (1) Field officers such as surveyors, road foremen, prospectors and officers in charge of land development units, whose duties entail continuous field work and who live in movable accommodation (i.e. portable huts or tents), may be granted a regular field allowance at a rate to be determined from time to time subject to a maximum of three months.
- (2) Field allowance will not be paid for any night for which the officer draws accommodation allowance or hotel allowance e.g. when visiting Head Office or for any night spent at the station where he is based.

E.11 Extraneous Allowance

(1) Extraneous allowance is payable to officers who are required to work over and above the official working hours on a continuous basis. The rates will be as advised by the Salaries and



Remuneration Commission (SRC), while eligibility for payment will be determined by CPSB.

E.12 Leave Allowance

- (1) All officers will be eligible for leave allowance once a year. For ease of administration, the allowance will be paid through the payroll.
- (2) The rate of leave allowance will be as advised by SRC from time to time.

E.13 Commuter/Transport Allowance

- (1) All officers will be eligible for commuter/transport allowance provided they are not facilitated with Government transport
- (2) The rates of the allowances will be determined by the Government from time to time.
- (3) It will be an offence for an officer in receipt of commuter allowance to use Government vehicle to and from the office.

E.14 Other Allowances

(1) There are other allowances which may be paid to different categories of staff in various circumstances (e.g. persons with disability). Such allowances will be determined by the county government with the advice of the SRC, and communicated to the service from time to time.

SECTION F: MEDICAL BENEFITS

F.1 Introduction

- (1) The county shall provide staff with a comprehensive medical scheme that will operate either on an in-house basis or through insurance cover. The scheme will cater for medical expenses incurred by employees and their dependants with annual entitlements based on employee job grades. As advised by SRC from time to time.
- (2) The entitlements will cover both in and out patient expenses for employees, their spouses and up to a maximum of four (4) children aged below 18 years or 24 years for those who are still dependent on their parents subject to production of supporting documents that they are in a recognized learning institution.
- (3) The scheme will be non-discriminatory in that employees are allowed to access medication in any recognized hospital, both private and public, depending on an employee's job grade.
- (4) The scheme will also cover dental and optical cases within the set annual entitlements subject to recommendation by a recognized dentist or ophthalmologist. It shall however not cover crowns, braces, and bridges for dental cases.
- (5) Maternity will be covered within the prescribed limits.

F.2 Specialized medical treatment outside the Country

- (1) The scheme will facilitate employees to access specialized treatment outside the Country based on the approved entitlements in genuine cases of need subject to assessment and referral of the affected employees by a recognized medical practitioner. A second opinion may however be sought from a different medical practitioner before facilitation.
- (2) An employee so referred shall be deemed to be on duty and will be entitled to payment of per diem for the days not hospitalized at rates applicable to his grade. In addition to fare, he shall also be entitled to claim 25% of the daily rate applicable to him in respect of his



- spouse and recognized dependent children during the period.
- (3) In the event that the patient's condition requires support where he has to be accompanied by a guardian, the county government will facilitate travel and accommodation costs.

F.3 Ambulance Services

The medical scheme will have provision for use of ambulance services by various providers, either road or air whichever is applicable, in cases of emergencies.

F.4 Welfare/Funeral Expenses

- (1) The county will put in place an effective employee welfare arrangement covering support in the event of death of an employee or his dependant. This will be done through a last expense cover or any other arrangement that management considers appropriate.
- (2) In case of death of an employee or his dependant, the county will facilitate burial arrangements through provision of settlement of mortuary expenses, coffin, transport up to the place of interment and any other related expenses as per the approved limits.

F.5 Employee compensation

(1) The county shall procure group covers for both life and personal accident for its employees for purposes of compensation in the event of normal death or fatal and non-fatal injury.

a) Group Life Assurance (GLA)

The Scheme will cover all categories of employees (permanent and pensionable and contract) including those on probation, and in the event of death, benefits will be computed based on the basic salary as per provisions under the cover. For any cover above the policy limit, any employee whose benefits are above the stipulated free cover is required to undergo a comprehensive medical examination conducted by an approved Medical Practitioner. Interns, volunteers and casual employees are expected to make arrangement for their personal insurance covers.

b) Group Personal Accident (GPA)/ Work Injures Benefit (WIBA)

The Scheme covers all categories of employees (permanent and pensionable, contract and



casuals) and in the event of fatal injury, benefits will be computed based on the terms and conditions stipulated in the policy at the particular period of insurance. Non-fatal injuries are compensated based on an approved doctor's assessment and the employee's gross salary (basic and house allowance) at the time of injury. This policy also pays for medical expenses incurred up to the agreed policy limit.

(2) Injury/Death Reporting Procedures

When an employee dies or is injured in the course of duty, the officer in-charge shall report the accident to the Authorized Officer within the shortest time possible and in any case, not later than 48 hours from the time of the accident.

a) Group Life Assurance Cover

In case of death, officers' in-charge shall obtain from the dependants and submit the following documents to the Human Resource Department to facilitate compensation to dependants.

- Death certificate.
- Burial permit.
- Copy of deceased's national identity card.
- Duly completed Claim Form.

b) Group Personal Accident Cover/ WIBA

Officers in charge shall obtain from the dependants or injured employee and submit the following documents to the Human Resource Division to facilitate compensation to the dependants/injured employee:

- Death certificate (in case of death)
- Burial permit (in case of death)
- Postmortem report (in case of death)
- Copy of National identity card
- Brief report of the incident/accident.
- Duly completed Claim Form.
- Original medical bills incurred while treating the injured employee.
- Police abstract report



(3) Benefits under these schemes will be paid to beneficiaries upon receipt of all the required documents and processing of the claims with the insurance. Officers in-charge must therefore, ensure that they report cases as soon as possible and forward all required documents to facilitate early settlement of claims.

SECTION G: GENDER MAINSTREAMING POLICY

G.1 Introduction

This section deals with gender mainstreaming and the purpose is to ensure that the county achieves gender responsiveness at all stages including design, planning, implementation, monitoring and evaluation of programmes and institutional frameworks, as well as provide guidelines for redress of grievances related to sexual harassment.

G.2 Scope

This policy shall apply to all employees irrespective of their terms of service, students, trainees, interns, volunteers, and external customers as a guideline towards gender mainstreaming within the framework of the county's mandate.

G.3 Objectives

- i. To conform to Constitutional requirements and articulate the county commitment to gender mainstreaming.
- ii. To provide a framework for effective integration of gender concerns into the organizational agenda and policy domain.
- iii. To develop institutional capacity and knowledge to enable gender mainstreaming in the county.
- iv. To ensure there are clear guidelines and principles in mainstreaming gender policy in the county.
- v. To provide all stakeholders, customers and partners working with the county clear guidelines on gender issues.

G.4 Guiding principles

Underlying the policy objectives are key principles which will guide policies development and implementation in all Departments. The principles will include:



- i. The county recognizes that both men and women have equal capacity in their relevant skills and training
- ii. Operations of the county in carrying out its mandate impact men and women employees differently.
- iii. Gender analysis shall be carried out at all stages of design, planning, implementation, monitoring and evaluation of county programmes and in the institutional framework.
- iv. Systematic use of gender analysis and gender disaggregated data in research.
- v. Implementation of the gender policy is the responsibility of all Departments, with Head Human Resource as the coordinating department.

G.5 Thematic considerations for implementation

Institutional Development

- i) The CPSB shall ensure that new policy initiatives incorporate gender perspective
- ii) Integrate programmes to prevent and respond to gender based violence throughout the county
- iii) Promote representation of women and men in all decision making forums of the county.
- iv) Highlight gender equity issues within the county

Provision of Information

- i) Ensure information generated and disseminated is gender sensitive.
- ii) Promote affirmative action towards greater participation and involvement of women in the gender policy awareness programmes.
- iii) Highlight and bring to attention issues of gender-based violence including sexual harassment, exploitation and abuse.

G.6 Appointments, Promotions, Performance Evaluation, Training and Career Development

To improve gender balance in the county, the county shall:-

- i) Harmonize staffing policies to reflect gender equity, equality and diversity.
- ii) Ensure promotions and performance evaluations are gender responsive.



- iii) Ensure nominations for trainings and career development, both local and international, are gender responsive.
- iv) Ensure that the county is an equal opportunity organization where men and women have equal chances of being employed, promoted and developed.
- v) Apply affirmative action in appointments in order to comply with the 30% gender requirement.

G.7 Work Environment

The County is committed to a safe and conducive work environment and considers any form of verbal or physical harassment including sexual harassment unacceptable and punishable under the disciplinary process.

G.8 Governance

The County shall:-

- i) Put in place gender responsive processes to allow for more gender balanced participation in decision makings and county operations.
- ii) Strive to fulfill the government policy on 30% gender thresh hold.
- iii) Apply affirmative action whenever it is deemed necessary to ensure gender balance in all governance, organs and decision making positions.

G.9 Planning and Budget allocation

To facilitate gender mainstreaming the management will:

- i) Allocate budget to facilitate gender mainstreaming initiatives in the county.
- ii) Ensure corporate planning and budgeting process is gender sensitive.
- iii) Promote linkages and collaboration in resource mobilization for gender mainstreaming.

G.10 Gender-based Violence

To prevent gender-based violence and sexual harassment, the county shall:-

- i) Mainstream policies which address Gender-Based Violence (GBV) at all levels.
- ii) Establish modalities for dealing with Sexual and Gender-Based Violence (SGBV) cases.
- iii) Implement anti-sexual harassment and anti-gender based violence guidelines at all levels.

G.11 Sexual Harassment

A public officer should not harass another officer sexually through; direct or indirect request for favors, use of language whether written or spoken of a sexual nature, use visual material of a sexual nature and show physical behavior of a sexual nature which directly or indirectly subjects the person to behavior that is unwelcome or offensive.

G.12 Procedure for redress of sexual harassment grievance

- i) Any employee who feels he/she is being sexually harassed directly or indirectly should submit a complaint of the alleged incident to the Gender Focal person or any member of the Gender & Disability Committee in writing with his/her signature within 10 days of occurrence of incident
- i) The Gender Focal point person will maintain a register on all the complaints received and keep the contents confidential except to use the same for discreet investigation.
- ii) The Committee shall hold a meeting with the Complainant within five days of receipt of the complaint
- iii) At the first meeting, the Committee members shall hear the Complainant and record her/his allegations. The Complainant can also submit any corroborative material with a documentary proof, oral or written material, etc., to substantiate his / her complaint. If the Complainant does not wish to depose personally due to embarrassment of narration of event, a lady officer for lady employees involved and a male officer for male employees, involved shall meet and record the statement.
- iv) Thereafter, the person against whom complaint is made may be called for a deposition before the Committee and an opportunity will be given to him / her to give an explanation, where after, an "Inquiry" shall be conducted and concluded.
- v) In the event, the complaint does not fall under the purview of Sexual Harassment or the complaint does not mean an offence of Sexual Harassment, the same would be dropped after recording the reasons thereof.



vi) In case the complaint is found to be false, the Complainant shall, if deemed fit, be liable for appropriate disciplinary action by the management.

G.13 Inquiry Process

- i. The Committee shall immediately proceed with the Inquiry and communicate the same to the Complainant and person against whom complaint is made.
- ii. The Committee shall prepare and hand over the Statement of Allegation to the person against whom complaint is made and give him / her an opportunity to submit a written explanation if she / he so desires within seven days of receipt of the same.
- iii. Complainant shall be provided with a copy of the written explanation submitted by the person against whom complaint is made.
- iv. If the Complainant or the person against whom complaint is made desires any witness/es to be called, they shall communicate in writing to the Committee the names of witness/es whom they propose to call.
- v. If the Complainant desires to tender any documents by way of evidence before the Committee, she / he shall supply original copies of such documents. Similarly, if the person against whom complaint is made desires to tender any documents in evidence before the Committee he /she shall supply original copies of such documents. Both shall affix his / her signature on the respective documents to certify these to be original copies.
- vi. The Committee shall call upon all witnesses mentioned by both the parties.
- vii. The Committee shall provide every reasonable opportunity to the complainant and to the person against whom complaint is made, for putting forward and defending their respective case.
- viii. The Committee shall complete the "Inquiry" within reasonable period but not beyond three months and communicate its findings and its recommendations for action to the CPSB. The report of the committee shall be treated as an inquiry report on the basis of which an erring employee can be awarded appropriate punishment straightaway.
- ix. The Board will direct appropriate action in accordance with the recommendations by the Committee and existing regulations of the county.

G.14 Collaboration and Partnership in Gender Mainstreaming

The county shall establish and strengthen collaborations and partnerships with the



Ministry responsible for Gender issues and other relevant Departments in gender mainstreaming.

G.15 Organizational Culture

The county shall promote:

- Gender sensitive organizational culture by discouraging all forms of gender oppression and discrimination.
- ii. Progressive gender responsive social relations among employees.

G.16 Sustainability

- i. Establishment of gender desk
- ii. Decentralization of the Gender and Disability
- iii. Mainstreaming Committee to field level
- iv. Funding
- v. Partnerships
- vi. Continuous sensitization

G.18 Monitoring and Evaluation

Monitoring and evaluation of the Gender Policy shall be an integral part of the overall county Monitoring and Evaluation strategy with clear gender responsive targets and indicators.

Reporting to the relevant institutions shall be as per stipulated guidelines.

SECTION H: DISABILITY POLICY

H.1 Introduction

The county shall promote respect for persons with disabilities and provide equal opportunities, inclusion and affirmative action to ensure they are treated fairly.

H.2 Purpose

The Policy is intended to:-

- i) Provide a framework for addressing any existing inequality as a result of disability in the county.
- ii) Provide guidelines for enhancing participation of persons with disabilities in the county.



iii) Provide guidelines for institutionalization of disability-responsive planning and programming in the county.

H.3 Scope

This policy shall apply to all employees irrespective of terms of service, students, trainees, interns, volunteers, and external customers as a guideline towards disability mainstreaming within the framework of the county's constitutional and statutory mandate.

H.4 Policy objectives

- To provide a framework for effective integration of concerns of persons with disability into the organizational policy
- ii) To develop institutional capacity and knowledge to enable disability mainstreaming in the county.
- iii) To create equal opportunities and conducive environment for PWDs at the work place
 - iv) To provide clear guidelines and principles for mainstreaming disability
 - v) To improve employment prospects in the county for persons with disabilities by facilitating recruitment and selection, return to work, job retention and opportunities for advancement
- vi) To maximize the contributions which persons with disabilities can make in the county.
 - vii) To comply with the constitutional affirmative action requirement on disability mainstreaming
 - viii) To eliminate attitudinal and institutional barriers against impairment/people with special needs.

H.5 Guiding Principles

Underlying the policy objectives are key principles which guide the policy development and implementation in the county. The principles include:-

i) Respect and acceptance of needs of persons with disabilities as part of diversity and humanity.



- ii) Full and effective participation of people with disabilities and inclusion in society.
- iii) Accessibility of information and services
- iv) PWDs have equal opportunity in employment, promotion and service.
- v) PWDs have special infrastructural and social needs
- vi) Disability needs and concerns will be considered at all stages of design, planning, implementation, monitoring and evaluation of county programmes and in the institutional framework
- vii) Respect for inherent dignity, individual autonomy including independence of persons
- viii) Implementation of the disability policy is the responsibility of all Chief Officers

 Departments with the County Secretary as the coordinator.

H.6 Thematic considerations for implementation

(1) Institutional Development

- i) The County shall ensure that new policy initiatives incorporate disability perspective
- ii) Integrate PWDs into organizational programmes to encourage participation
- iii) Highlight disability issues within the county

(2) Provision of Information

- i) Promote participation and involvement of PWDs in the awareness programmes
- ii) Access to information and communications; including assistive technologies
- iii) The county shall take steps to ensure strategy for mainstreaming disability in the workplace is communicated to all employees in a language that can easily be understood including modern technology, Kenyan sign language, large prints, Braille and other necessary modes of communication.

H.7 Appointments, Promotions, Performance Evaluation, Training and CareerDevelopment

The Board will:-

- i) Ensure that the county is an equal opportunity organization where people with disabilities have equal chances of being employed, promoted and developed.
- ii) Apply affirmative action in appointments by complying with the 5% thresh-hold



requirement.

- iii) Ensure promotions and performance evaluations are sensitive to needs of PWDs
- iv) Avail information about career development, progression and promotional opportunities to employees with different disabilities in a range of formats accessible to such employees in the county.
- v) Encourage employees with disabilities to apply for promotion especially where they may be reluctant to do so because of impairment or other barriers arising from their disabilities or perceived barriers in the working environment.
- vi) Ensure learning and training institutions of the county put in place measures to ensure training systems are accessible to persons with disabilities
- vii) Ensure that performance appraisal system and tools are responsive to people with disabilities.

H.8 Attachment and Internship

- (1) The Service shall cooperate with learning and training institutions to facilitate students with disabilities to secure attachment and internship within the county.
- (2) The service shall endeavour to make the institutional facilities accessible for PWDs

H.9 Job Retention

- (1) The county shall put in place measures to ensure employees who acquire disability while in employment are retained. The measures shall include:
 - i) Early intervention, referral to appropriate services and rehabilitation.
 - ii) Measures for gradual resumption of work.
 - iii) Opportunities for the employee to test-work or obtain experience in an alternative job if the officer is unable to resume the previous job
 - iv) Use of support and technical advice to identify any opportunities and adjustments which might be required.
 - v) Mitigation measures to ensure the existing condition is not worsened.
 - vi) Any reasonable modifications or adaptations of the job, work station or work environment and provision of assistive devices
 - vii) Any necessary training or re-training for the employee
 - viii) Any changes in procedures needed to perform the job accompanied with



information to co-workers.

- ix) Where it is necessary to redeploy a person with disability, he/she may be consulted and his/her occupational preference will be taken into account in decision making on the redeployment.
- x) Where an employee acquires disability, the county shall prioritize measures aimed at utilizing the residual potential and skills of the employee before considering other steps.
- xi) Where a decision is made to seek medical opinion on the fitness of the person to continue in employment that may necessitate retirement on account of ill health, the county shall require the opinion to include a provision on possibility of utilizing the residual potential and skills of the employee, if any.

H.10 Work Environment, accessibility and safety

The County is committed to a safe and conducive work environment for persons with disabilities through:-

- i) Suitable deployment and duty allocations.
- ii) Office allocations to ensure they are allocated offices with ease of access and each.
- iii) Access to built environment i.e. infrastructural designs and developments by improving accessibility of the work premises to PWDs including entrance, exit and movement around the premises e.g. ramps, etc.
- iv) Install safety devices and other measures friendly to the needs of persons with disabilities e.g. fire alarms, bells, whistles or sirens, flashing lights, non-electrical elevators, safety scents, signs or symbols.
- v) Plan and put in place emergency measures that ensure that PWDs are able to safely and effectively evacuate the workplace to an area of safety.
- vi) Suitable communication systems that allow access of information and services.
- vii) Appropriate working tools and equipment.
- viii) Measures to protect PWDs from discrimination and harassment.
- ix) Steps to improve accessibility of the recruitment venues
- x) Facilitation by a paid assistant for those confined to the wheel chair and the blind.

H.11 Etiquette

The County shall encourage disability etiquette including:-

- i) Embrace the phrase 'person with disability' rather than 'disabled person'.
- ii) Use appropriate language at all times and avoiding terms like handicapped, crippled, physically challenged, differently aided, confined to wheelchair or wheelchair bound, victim, or sufferer, deformed, shapeless, infirm, disadvantaged or any other such terminologies.
- iii) Ask before helping the person.
- iv) Appreciate the independence of the person.
- v) Respect the personal space including assistive and supportive devices and assistive services including aides.
- vi) Communicate directly to the person and not to the companion, aide, reader or sign language interpreter.
- vii) Respond positively and graciously to the person's request.
- viii) Use appropriate mode of communication.
- ix) Avoid isolative presumptions about what the person can or cannot participate in.
- x) Treat persons with disabilities as individuals with families, jobs, hobbies, likes and dislikes, and problems and joys just like other people.
- xi) Do not treat people with disabilities as disability heroes or victims.

H.12 Governance

The County will:-

- i) Promote participation of PWDs in decision making processes
- ii) Put in place mechanisms to ensure the government policy on affirmative action of 5% PWDs is attained
- iii) Apply affirmative action whenever it is deemed necessary and/or applicable to ensure PWDs are involved in county programmes

H.13 Planning and Budget allocation

To facilitate disability mainstreaming the County will:-

- i) Allocate budget to facilitate disability mainstreaming initiatives in the county.
- ii) Ensure corporate planning and budgeting process is special needs-sensitive



iii) Representation of PWDs in the planning and budgeting process

H.14 Collaboration and Partnership

The county will establish and strengthen collaborations and partnerships with the Ministry responsible for PWDs and other relevant Departments/Organizations in disability mainstreaming.

H.15 Organizational Culture

The county will promote a non-discriminative culture among employees.

H.16 Implementation of the Disability Policy

Implementation of the Policy will be a collaborative effort by all Departments of the county. It will be implemented within the institutional framework of the county.

H.17 Sustainability

- i. Establishment of gender and disability desk
- ii. Decentralization of the Gender and Disability
- iii. Mainstreaming Committee
- iv. Funding
- v. Partnerships
- vi. Continuous sensitization

H.18 Monitoring and Evaluation

- (1) Monitoring and evaluation of the Disability Policy shall be an integral part of the overall county Monitoring and Evaluation strategy with clear disability responsive targets and indicators.
- (2) Reporting to the relevant institutions shall be as per stipulated guidelines.

SECTION I: LEAVE

I.1 Introduction

Leave is a right to every officer and will be granted for recuperative purposes to enable the officer renew his energies and improve efficiency. Leave may not be commuted for cash nor will it be payable to dependants or to the estate in the event of an officer's death. Leave is granted subject to the exigencies of service.

I.2 Categories of Leave

Leave falls into the following categories:

- a. Annual Leave;
- b. Maternity Leave;
- c. Paternity leave;
- d. Unpaid Leave
- e. Compassionate Leave;
- f. Leave for Sportsmen/women.
- g. Sick Leave;
- h. Terminal Leave;
- i. Study/exam leave

I.3 Application for Leave

- (1) Application for leave should be submitted on the appropriate form, as, to the respective Head of Department.
- (2) Annual leave for a newly appointed officer will be calculated on a pro-rata basis only for the year of his appointment.

I.4 Annual Leave

- (1) An officer will be eligible for annual leave at the commencement of a 'leave year' except in the case of a newly appointed officer who will be required to serve for a minimum of three (3) months before being granted annual leave.
- (2) "Leave year" will commence on 1st July up to 30th June the following year.



- (3) Annual leave excludes Saturdays, Sundays and Public Holidays.
- (4) All officers will be entitled to 30 days annual leave.
- (5) Annual leave is not usually accumulated. However, an officer may, if he so wishes, carry forward from one leave year to another not more than one-half of his annual leave entitlement. This arrangement is intended to enable an officer to reserve a portion of his annual leave to be taken in an emergency.
- (6) Annual leave must be taken within the leave year it falls due or forfeited. Deferment of annual leave from one leave year to another will not be permitted save in very exceptional circumstances with the prior approval of the Authorized Officer.

I.5 Maternity Leave

- (1) A female employee who is required to be absent from duty on account of confinement will be granted maternity leave with full salary for a maximum period of ninety (90) calendar days exclusive of the annual leave due for the year.
- (2) An application for maternity leave should be submitted to the department's Chief Officer at least one month before the expected date of confinement. The application should be supported by a medical certificate indicating the date on which maternity leave should commence.
- (3) Should it be necessary to extend maternity leave beyond the prescribed period of ninety(90) calendar days on grounds of sickness of the mother, the provision provided for sick leave policy will apply



(4) Where the extension will be on the account of the child's sickness the provisions of sick leave policy will apply.

I.6 Paternity Leave

(1) A male officer will be eligible for paternity leave for a maximum period of fourteen (14) calendar days during the period of the spouse's maternity leave. Such leave shall be taken not more than once per year. An officer will be required to avail a Doctor's Certificate confirming the maternity status of his wife.

I.7 Unpaid Leave

- (1) Unpaid leave may be granted to an officer with the prior approval of the Authorized Officer on the following grounds:
 - a. Urgent private affairs of exceptional nature not exceeding thirty (30) days;
 - b. Officers whose spouses are posted to foreign Missions during the term of the tour of Service;
- (2) Unpaid leave granted in accordance with this regulation will not be increment-earning and where necessary incremental date should be adjusted.
- (3) The period of unpaid leave will not be pension-earning.

I.8 study/exam leave

This is for the officers pursuing courses approved and it is meant to assist in sitting for examinations. This is for the examinations days only. The approval will done based on the examination timetable. The maximum allowable days is 14 per year.

I.9 Compassionate Leave

(1) An officer, who has exhausted his annual leave entitlement, may be granted compassionate leave for up to ten (10) calendar days in a leave year.



(2) In the event of serious illness of a member of an officer's nuclear family outside the country which urgently requires the officer's presence, a visit may be made by the officer or the spouse as the case may be.

I.10 Special Leave for Sportsmen

- (1) An officer who is selected to represent Kenya in National, Regional or International fixtures will be granted special leave with full salary for the necessary period of his training and subsequent participation in sports.
- (2) This special leave will not be counted against annual leave entitlement and will be authorized by the Chief Officer in which the sportsman is employed, in consultation with the County Department responsible for sports.

I.11 Sick Leave

- (1) Sick leave is the approved absence of an officer from duty on account of illness and includes weekends and public holidays.
- (2) A medical certificate signed by a medical officer must be produced in every case of absence on account of illness.
- (3) An officer may be granted sick leave subject to the maximum period indicated below, provided it is certified that there is a reasonable prospect of eventual recovery and fitness for duty.
 - a. All officers on pensionable or contract terms of service will be granted up to three months leave on full pay followed by three months on half pay.
 - b. If the officer is unable to resume duty within the first three months of sick leave, the Authorized Officer will refer the case to the County Director responsible for Medical Services to convene a medical board.



- c. Where the officer is incapacitated to the extent that he does not appear before a medical board, the case should be referred to the CPSB for retirement on medical grounds.
- d. The officer will not be re-instated in the payroll on the expiry of the six months until his case is determined as provided in paragraph 3 (c) above.
- (4) Sick leave in excess of three (3) months will approved by CPSB With the advice of the Medical Board with a view to determining whether or not there is reasonable prospects of eventual recovery and fitness for duty.
- (5) In exceptional cases of continued ill-health, the Authorized Officer may on the recommendation of the medical board grant an extension of sick leave beyond the maximum periods indicated in paragraph 3(a) above, with such salary as may be decided.

I.12 Terminal Leave

- (1) An officer who has not availed himself of the annual leave due for the year in which his employment ceases will be entitled to annual leave on pro-rata basis.
- (2) In addition to the leave granted under this regulation, an officer may be granted the annual leave carried forward from the previous leave year.
- (3) Notwithstanding (1) and (2) above, an officer who is due for retirement will also be entitled in addition to his annual leave, thirty days (30) leave pending retirement. This leave must be taken a month preceding retirement and will neither be commuted for cash nor will the officer qualify for additional leave allowance.

I.13 Public Holidays

(1) The following days shall be observed as public holidays in accordance with the Public Holidays Act (Cap.110):-

New Year's Day 1st January

Good Friday

Easter Monday

Labour Day 1st May

Madaraka Day 1st June

Idd-ul-Fitr

Mashujaa Day 20th October

Jamhuri Day 12th December

Christmas Day 25th December

Boxing Day 26th December

(2) In addition to the above public holidays, the following will be observed as public holidays by officers as indicated:-

- a. Idd-ul-Haj
- b. Diwali

I.14 Festival Holidays

- (1) Leave of absence on the occasion of religious festivals may be granted without loss of pay for not more than two days in a leave year, subject to the exigencies of the service. An application for leave on such an occasion should be addressed to the Authorized Officer well in advance of the date on which any particular religious festival is celebrated.
- (2) An Authorized Officer may, at his discretion and subject to the exigencies of the service also allow members of his staff an hour or so off-duty for the purpose of attending religious services on festivals other than those on which a full holiday has been granted.

I.15 Deferment/Commutation of Leave



(1) Authorized Officers shall be responsible for authorizing deferment and commutation of leave for cash where leave is not taken due to exigencies of service. To ensure prudent financial management, all cases of commutation of leave for cash will be proposed by the Authorized Officer to the County Executive for approval and submission to CPSB who will consider the cost implication and to provide advisory on human resource planning in order to avoid recurrence. The above notwithstanding, it is reiterated that officers should be allowed to utilize their leave in each year.



SECTION J: TRAINING AND DEVELOPMENT

J.1 Introduction

This section provides guidelines on how to plan, manage and coordinate training in the county public service. A culture of continuous learning is encouraged as a way of developing Human capital in the Public Service.

The Government policy on training is to ensure continuous upgrading of core competencies, knowledge, skills and attitudes of Public Officers including their ability to assimilate technology to enable them create and seize opportunities for career growth, social advancement, economic growth and development.

County Governments will establish training funds for financing their training programmes. The funds will be used for job-related courses that enhance performance and service delivery.

All training must be based on identified training needs. County Public officers should have at least five (5) days training in a year while newly recruited or transferred officers must be inducted within three months of joining County Public Service.

J.2 Management and Co-ordination of Training

(1) The management and co-ordination of training in the County Public Service will be the responsibility of the department responsible for human resource management division. Human Resource Management and Development Units established in County Departments will be responsible for the training function in the County Departments. Departmental Training Committees shall be established to manage the training function.

J.3 Training Needs Assessment

(1) Training in the county Public Service shall be based on Training Needs Assessment which shall be conducted annually in each County Department.



(2) County Departments shall prepare training projections based on Training Needs Assessment to guide the training committees in nominating officers for training.

J.4 Training Programmes

- (1) Training programmes comprise both short and long term courses in specific professions that are intended to impart required knowledge, skills and attitudes to enhance staff performance.
- (2) County Departments may design specific in-house training programmes as a method of developing training interventions which address identified training needs. In addition training can be provided under institutional training both locally and abroad.
- (3) In designing training programmes County Departments should ascertain the availability of:
 - a. Professional, qualified and experienced trainers;
 - b. Training programmes that are cost-effective; and
 - c. An effective evaluation and feedback system to assess the impact of training on performance.
- (4) Selection of trainees for all training programmes will be based on identified needs with emphasis on training for performance improvement that addresses national, county, organizational and individual goals.

J.5 Training Levy

- (1) Officers undertaking courses lasting more than three (3) months both locally and internationally will be required to contribute to the cost of their training at a rate to be determined by the CPSB.
- (2) Officers undertaking part-time or full time self-sponsored courses are however, exempted from paying the training levy.
- (3) County Secretary shall ensure that the officers' contributions are remitted for the duration of the course to the County Treasury.

J.6 Induction Training

(1) Induction and orientation training help an employee familiarize with the work environment and requirements. County Government Departments shall conduct induction training within three (3) months for newly recruited officers and those who have joined on transfer.

J.7 Eligibility for Training

- (1) County Public Officers at all levels will be eligible for at least five (5) days training in a year.
- (2) An officer who attends a course lasting six months and more above will be required to work for two years before they can qualify for selection for another long course.

J.8 Course Approval

- (1) Chief Officers will grant course approval to officers proceeding on authorized training in accordance with service regulations. Officers will be required to obtain course approvals before commencement of training.
- (2) County Secretary will be responsible for issuance of course approvals for local training and should approve all local courses undertaken in their respective County Departments on the recommendation of the County Departmental Training Committee.
- (3) The County Government shall initiate training programmes for all officers. Therefore, there is no provision for unpaid study leave in the service.



J.9 Sponsorship for Training

(1) The County Government will sponsor staff for courses under the regular and parallel University programmes, open Learning, part-time or sandwich as long as such cases are approved by the respective training committees.

J.10 Training Bond

- (1) The County Government will enter into a formal agreement with serving officers proceeding on approved courses of training locally or abroad lasting six (6) or more months.
- (2) The period of the bond for courses lasting six or more months will be determined by the duration of the course as follows:-

	Training Period	Bond Period	
	-		
a.	Six months up to one year	One year	
b.	More than one year up to two years	Two years	
c.	More than two years up to three years	Three years	
d.	More than three years As	s per the duration of the course but not exceeding	
	five years		

- (3) Bond period determined by the cost of training will not be less than one year and should not exceed five years.
- (4) The components of the bond amount may be determined by the mode of study and the sponsoring authority. For full-time sponsored officers, the bond amount will be the total cost of the training plus the gross salary for the duration of the training.







J.11 Skills Inventory

- (1) County Chief Officers shall develop, update and maintain a skills inventory for all officers in their respective Departments for purposes of identifying the available skills and the required skills in order to plan for training or recruitment to address the identified gaps and for succession management.
- (2) It will be the responsibility of the officer to update their skills inventory.

J.12 Allowances for County Government Sponsored Training

- (1) Officers undertaking long courses abroad will be paid living allowance to cater for subsistence, accommodation, outfit and excess baggage while subsistence allowance is paid to officers attending short courses or those traveling outside the country on official duties.
- (2) Officers attending long courses on full time basis within Kenya but outside their duty stations will be eligible for payment of living allowance to cater for meals, accommodation and related expenses at rates applicable to respective areas.
- (3) County Government sponsored officers attending part-time, evening, sandwich and holiday module courses will not be entitled to a living allowance or stipend.
- (4) Officers sponsored by the County Government and attending full time courses within their duty stations and their environs will not be paid any living allowance or stipend.
- (5) Officers sponsored by the County Government to undertake courses at Kenya School of Government are not entitled to any living allowance or stipend.



- (6) An officer attending a short course lasting up to one month that is externally organized but held locally and outside the officers' duty station, will be eligible for living allowance to cater for accommodation, meals, service charges and other incidental expenses.
- (7) Other Allowances paid to officers undertaking courses locally and abroad are:
 - a. Research Allowance;
 - b. Dissertation/Thesis Allowance;
 - c. Project Allowance;
 - d. Book Allowance.
- (8) The applicable rates are as stipulated in circulars issued to the service from time to time.

J.13 Incidental Expenses

- (1) The County Government will meet the cost of pre-departure medical examination, visa, vaccination and inoculation while the officer will meet passport expenses for foreign training.
- (2) The County Government will meet the cost of transport and traveling to and from the institution at which the course is held. However, the trainee will meet the cost of daily commuting between lodgings and place of study.

J.14 Annual Leave

(1) An officer who has been on training will be eligible for his normal annual leave only for the year he resumes duty in addition to the leave days officially carried forward before proceeding on training.

J.15 Donor Funded Courses

(1) Where a training award/scholarship for a long course organized under bilateral arrangements caters for only tuition and accommodation but do not include meals, out of pocket and

stipend. The officer will be eligible for 25% of the living allowance applicable to the

designated country.

(2) Where a short course is partially funded under bilateral agreement, the officer will be eligible

for 25% subsistence allowance applicable to the designated country.

(3) Where a medical insurance cover is not provided under a Technical Cooperation Training

Award, the County Government will meet the cost of the relevant medical insurance cover

for the officer.

J.16 Seminars, Workshops, Retreats and Conferences

(1) The rates paid to officers involved in Seminars, Workshops, Retreats and Conferences will

be determined by the County Government from time to time.

(2) Workshops which are meant to review, develop and produce reports Shall be treated as

Retreats and should be for a maximum duration of ten (10) days. The number of participants

in a Retreat should not be more than ten (10) excluding the secretariat staff.

(3) The duration of Seminars, Workshops and Conferences should not exceed three weeks.

Any Seminar, Workshop and Conference beyond three (3) weeks will be considered as a

course and will be subjected to the stipulated provisions for courses.

(4) No officer should be involved in more than one role in Seminar/Workshop/Conference at a

given time.

SECTION K:

PERFORMANCE MANAGEMENT

CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT –

ORIGINAL VERSION

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K.1 Introduction

This Section provides guidelines for performance management in the county public service. Performance management involves strategic planning; work planning, target setting, tracking performance and reporting.

K.2 County Performance Management Framework

- (1) The county executive committee shall design a performance management plan to evaluate performance of the county public service and the implementation of county policies as is provided for in Section 47 of the County Government Act.
- (2) The plan shall provide for among others
 - a) objective, measurable and time bound performance indicators;
 - b) linkage to mandates;
 - c) annual performance reports;
 - d) citizen participation in the evaluation of the performance of county government; and
 - e) Public sharing of performance progress reports.
- (3) The governor shall submit the annual performance reports of the county executive committee and public service to the county assembly for consideration.
- (4) The performance management plan and reports under this section shall be public documents.

K.3 Performance Appraisal System

(1) The Appraisal is based upon the principal of work planning, setting of agreed performance targets, feedback and reporting. It is linked to other human resource systems and processes including staff development, career progression, recruitment, placement, incentives and sanctions.

(2) The purpose of performance appraisal is to assess as comprehensively and objectively as possible the officer's performance against set targets and is aimed at the realization of overall departmental objectives.

K.4 Objectives of Performance Appraisal

- (1) The overall objective of appraisal system is to manage and improve performance in the county public service by enabling a higher level of staff participation and involvement in planning, delivery and evaluation of work performance.
- (2) The specific objectives are to:
 - a) Link individual performance with organization performance;
 - b) Enable supervisors and appraises to continuously assess work progress;
 - c) Assess the learning and development needs of staff on timely basis;
 - d) Promote accountability in the county public service;
 - e) Promote communication and encourage continuous feedback between appraise and supervisor;
 - f) Set the basis on which an officer's performance is monitored and evaluated as
 - g) stipulated in the individual work plan;
 - h) Improve the quality of work through better planning, on-going discussions and fair participatory appraisal; and
 - Provide information for decision-making on administrative and human resource issues such as renewal of contracts, promotions, delegation of duties, training, deployment, reward and sanctions.

K.5 Scope of Application

(1) Staff Performance Appraisal shall apply to all categories of staff in the county public service.



- (2) All newly employed, promoted, redeployed officers will be required to complete relevant performance appraisal forms within three (3) months of employment, redeployment and promotion.
- (3) The primary responsibility for implementing PAS rests with County Chief Officers and heads of sections.

K.6 Work Planning and Setting of Performance Targets

- (1) At the beginning of each financial year, each officer shall develop an individual work plan and sign a performance appraisal report with his/her supervisor based on agreed performance targets. The departmental work plans shall include departmental priority objectives from which individual performance targets will be derived. Section heads will discuss with staff and ensure that the objectives and performance targets of the department are understood.
- (2) The individual work plans will be derived from the departmental work plans and officer's job description. The work plan will briefly describe the performance targets or expected results on specific assignment and activities for which the staff member is responsible during the performance year.
- (3) Appraisee will hold discussions with immediate supervisor to agree on work plan. The performance targets shall thereafter be set as agreed in the discussions by latest 31st July of each year. The expected results may include completion of projects/assignments during the period of assessment. For each assignment to be assessed there shall be clear and measurable indicators of success.
- (4) As part of the staff training and development plan, every staff member will indicate at least one training goal to be achieved in the reporting period as agreed with the supervisor. This may include special assignments, continuing education, on the job training, seminars, conferences and study tours.



K.7 Values and Competencies Assessment

- (1) Achievement of performance targets will also depend on possession of certain specific competencies. Besides these competencies, it is important to ensure that results in the county public service are realized through observance of certain well defined values and ethics.
- (2) The Supervisor shall discuss with the appraisee each competency and value at the beginning of the appraisal period in order to be clear on the relevance of the competencies and values on the performance of the appraisee.

K.8 Appraisal Period

(1) The appraisal period will cover one (1) year starting from 1st July to 30th June of the following year. The Performance Appraisal reflects the summation of the year's performance.

K.9 Continuous Performance Appraisal

- (1) Performance appraisal is an on-going process throughout the performance period.
- (2) Milestones over the review period should be documented and maintained in the appraisee's personal file.

K.10 Mid-Year Performance Review

- (1) The main purpose of the mid-year Performance Review is to accord both the supervisor and appraisee the opportunity to jointly review progress made by the appraisee in accomplishing the tasks and assignments agreed at the beginning of the Appraisal period.
- (2) The review shall be centered on what has been achieved; any constraints experienced and whether there is need to vary the initial assignments in order to accommodate any unforeseen circumstances. Any changes, additions or removal of performance targets shall only be made in the event that there have been significant changes in the nature of functions carried out by the appraisee and which may necessitate revision of performance targets.



- (3) The supervisor should, after discussions with the appraisee at the Mid-year Performance Review, comment on the appraisee's performance.
- (4) In the event that the supervisor leaves the department, he/she will be required to appraise the performance of the appraisee (s) on pro-rata basis.

K.11 Reporting Frequency

(1) The appraisee shall submit quarterly performance reports to the supervisor. Reports on performance will be on quarterly basis but reflecting cumulative achievements on agreed targets. The report for the fourth quarter will be the end year report.

K.12 End of Year Appraisal Process

- (1) The end of year appraisal takes place at the end of the reporting period. The following will constitute the end of year evaluation process.
 - a. The appraisee shall submit to the supervisor a preliminary report on the extent to which set targets were achieved as agreed at the beginning of the performance year, with clear performance indicators to support performance;
 - b. The supervisor and appraisee shall discuss the overall performance for the whole appraisal period;
 - c. The supervisor is also required to assess the appraisee's core competences and values.

K.13 County Performance Management Steering Committee

(1) The County Secretary shall constitute a County Performance Management Steering Committee which shall implement, monitor and evaluate the performance management system in the County.



(2) The composition of the committee shall be as follows:-

Tier one

- a) Chairperson governor or as delegated
- b) Secretary County Secretary;
- c) Members County executives;

Tier two – To evaluate public officers below the chief officers

- d) Chairperson County Secretary
- e) Secretary County Human resource Manager;
- f) Members Chief Officers of other County Departments;
- (3) The role of the County Performance Management Steering Committee is to:
 - a. Coordinate the performance contracting system at the County level;
 - b. Ensure integrity and credibility of overall process of staff performance appraisal is safeguarded and maintained in the County Departments;
 - c. Propose measures to improve staff performance management process;

K.14 Departmental Performance Management Committee

- (1) Each county department will establish a Departmental Performance Management committee whose role will be to;
 - a. Ensure staff appraisal process conforms to County Departments' strategic objectives;
 - b. Ensure staff appraisal process is adequately linked to the County Departments objectives, work plans and performance contracts;



- c. Ensure that performance of all officers in the county department is evaluated and feedback relayed to the officers in writing at the end of the year;
- d. Arbitrate in case of disagreement on appraisal ratings between supervisors and appraisees;
- e. Compile the county department annual performance appraisal reports;
- f. Implement the internal monitoring and evaluation framework;
- g. Hold quarterly performance review meetings.

SECTION L: EMPLOYEE RELATIONS

L.1 Introduction

Under Article 41 of the Constitution every worker has the right to fair labour practices including: fair remuneration; reasonable working conditions; the right to form, join or participate in the activities and programmes of a trade union; and the right to go on strike.

L.2 Consultative Committees

- (1) The County governments will sign recognition agreements with public service trade unions at the county level. The recognition agreements will provide for establishment of a Consultative Committee.
- (2) The main objectives of the Committee are:
 - a. To provide machinery for negotiations between the County Government and the trade unions for terms and conditions of service of unionisable employees; and
 - b. To secure the greatest measure of co-operation between the County Government in its capacity as an employer, and its employees in all matters affecting the County Public Service.

Composition and Functions of the Committee

i. may consist of not more than three members from the County Government and not more than three members from the Union:



- ii. The quorum shall not be less than two representatives from each side;
- iii. The function will be to negotiate with the trade unions and conclude a Collective Bargaining Agreement on terms and conditions of service for all unionisable employees. The agreements will be guided by guidelines from SRC.
- iv. The Committee will meet as and when necessary, regulate its own procedures and elect its own chairperson and secretary. It may also co-opt, where necessary, members of the county departments or experts on labour issues. It may also set up a sub-committee to deal with a specific matter.
- v. Unless otherwise agreed, the expenses of the Committee (if any) will be met by the County Government and the trade unions on equal basis;
- vi. A meeting of the Committee shall be convened within fourteen days of a request for a meeting from either side. Such request or report shall state the matter or matters to be discussed and will be placed on the agenda provided that such matters are relevant.
- vii. In the event of failure to reach an agreement at Committee meeting, the provisions of the Labour Relations Act, 2007 on dispute resolutions shall apply in so far as the County Government is concerned.

L.3 Union Membership

- (1) Employees below Job group M may join any registered and recognized trade union of their choice.
- (2) An employee shall not be victimized or disciplined for being a member or participating in trade Union activities;
- (3) An employee who is appointed as an official of a Union shall be a serving officer in the county public service except the Secretary General and will be granted permission to carry out their official duties for the Union;





- (4) An employee is not allowed to be an official of more than one trade union. However, an official of a trade union may also be an official of a federation to which the trade union is affiliated;
- (5) Meetings of members of the Union may take place during office hours with official permission from the Chief Officers of the County Departments concerned.

L.4 Recovery of Union Dues

- (1) The county government may deduct trade union dues from the wages of union members and pay the monies so deducted into a specified account of the trade union;
- (2) Any further deductions from an employee who has resigned his union membership and notified the employer in writing shall be stopped; and
- (3) A copy of an employee's resignation notice shall be forwarded to the union for information.

L.5 Dispute Resolution

- (1) The county government will endeavor at all times to have a good working relationship with the trade union in order to maintain industrial peace. However, should a trade dispute arise concerning dismissal or termination of service of an employee, dispute shall be resolved in accordance with the Labour Relations Act, 2007 in so far as the county government is concerned.
- (2) The union may also refer a dispute to the Industrial Court as a matter of urgency if the dispute concerns:
 - a. Recognition of a trade union; and



b. Redundancy where the trade union has already referred the dispute for conciliation for instance when a county government has retrenched employees without giving notice; and employers and employees are engaged in an essential service.

L.6 Employees Participation in Strikes

- (1) An employee may participate in a strike if:-
 - a. The trade dispute that forms the subject of the strike concerns the terms and conditions of employment or recognition of a trade union to which an employee is a member;
 - b. The trade dispute is unresolved after conciliation; and
 - c. Seven days written notice of strike has been given to the Government and the County Department responsible for Labour by the representative of the Union.

SECTION M:

HEALTH AND SAFETY

M.1 Introduction

This Section provides guidelines and standards for the prevention and protection of officers against accidents and occupational hazards arising at work place. It also provides procedures to be followed as a result of occurrence of such accidents and modalities for the administration and payment of Compensation for work related injuries and accidents occurring during and outside the course of work. It further provides for guidance and counseling, management of HIV/AIDS at the workplace and the rehabilitation of officers who may be facing challenges of drugs and substance abuse.

M.2 Guidelines to General Safety

(1) County governments should maintain healthy and safe working conditions for the officers under their respective County to ensure there is no personal injury caused by accidents.



(2) All officers shall always consider safety to themselves and others when performing their duties. They should not compromise on quality, cause injury, ill health, loss or environmental damage.

M.3 Emergency Preparedness

- (1) Every County Department depending on the nature of work and services shall plan for foreseeable incidents such as accidents, explosions, fire, floods, earthquakes and bomb threats and prepare and outline procedures to be followed in such events.
- (2) Head of County Departments shall have a responsibility of ensuring that all officers and visitors are informed of and are fully conversant with the emergency procedures.

M.4 Fire Precautions

- (1) County Chief Officers are responsible for ensuring that the fire protection facilities are provided in the buildings used by County Departments under their control and are adequate and maintained as advised by Fire Officers and Occupational Safety and Health Officers. They are also responsible for enforcing all necessary fire precaution measures as directed by both the County Department responsible for Public Works and the County Department responsible for Labour.
- (2) General information on fire precautions and fire equipment is contained in publications which are obtainable on application from the County Department responsible for Public Works. Regulations regarding fire safety are obtainable from the County Department responsible for Labour.
- (3) All County departments should conform to the provisions of Occupational safety and health Act and Regulations therein



M.5 Medical Examination

(1) The County Chief Officers and Heads of Division/sections will ensure that all officers working in hazardous occupations will undergo periodic medical examination by the designated health practitioner.

M.6 Provision of Protective Equipment and Clothing

(1) The County Chief Officers should ensure that all officers who are employed in any process involving exposure to wet or to any injurious or offensive substances are provided with adequate, effective and suitable protective clothing and appliances.

M.7 Safe Use of Potentially Dangerous Equipment

(1) The County Chief Officers and Heads of Divisions/sections should ensure that all plants including hoists and lifts, steam boilers, other equipment and pressure vessels are properly maintained and that they undergo the statutory examinations as per the requirement in the Occupational Safety and Health Act.

M.8Reporting of Accident

(1) Immediately an accident or development of an occupational disease resulting in death or injury to an officer comes to the notice of the officer under whom he is directly deployed, the supervisor should make a claim for compensation in accordance with the procedure set out in the Occupational Safety and Health Act 2007 and Work Injury Benefits act 2007.

M.9 Guidance and Counseling

- (1) The current challenges in the workplace and family environment affects the performance and wellbeing of an officer. To address these challenges, the County Government will introduce guidance and counseling in the Service.
- (2) Counseling Services in the County public service shall be free of charge and shall be limited to Public Officers. However, consultation with family members or support system may be sought when deemed necessary.

M.10 HIV/AIDS as a Workplace Issue

- (1) HIV and AIDS is a major challenge facing officers in and out of the office. It poses a big threat to the individual, the family and the public Service. It is in cognizance of this that the national government has put in place care and support programmes for the infected and affected officers to enable them remain productive.
- (2) HIV/AIDS shall be treated like any other challenging issue at workplace. All county public officers shall have a role to play in the wider struggle to mitigate the effects of the pandemic.

M.11 Fighting Stigma and Discrimination

- (1) An officer shall not be discriminated or stigmatized on the basis of HIV status. It is an offence for any person to discriminate another on the ground of actual, perceived or suspected HIV status in relation to employment, access to education, credit, insurance, travel, health care, and habitation and or any other ground.
- (2) The County Chief officers, Heads of Divisions/sections, Human Resource Officers and supervisors will be at the forefront in providing conducive working environment for the infected and affected officers. They will be required to set up programmes that will instill positive behavior in the management of HIV and Aids.

M.12 Safe and Healthy Work Environment

(1) It shall be the responsibility of the Supervisors to minimize the risk of HIV/AIDS transmission by adopting first aid/universal infection control precautions at workplace.

M.13 Screening for Purposes of Employment/Recruitment

(1) HIV/AIDS screening shall not be a requirement for job seekers, recruitment or for persons in employment. Screening shall be confidential, voluntary and shall be after counseling. There shall be no disclosure of HIV/AIDS test results of any related assessment results to any person without the written consent of the officers;

M.14 Health Care Services

(1) Officers, including spouses and children, are eligible to affordable health care services and to benefit from statutory and occupational schemes.

M.15 Drug and Substance Abuse policy

- (1) Addiction to drugs or substance will be treated like any other disease. An officer who is determined to deal with drug and substance abuse problem by engaging in rehabilitation services will be referred by his County Department to a Government doctor for evaluation.
- (2) County Government will provide both in-patient and out-patient rehabilitation services within the limits provided in the Medical Insurance Scheme.

SECTION N: TERMINAL BENEFITS

N.1 Introduction

This Section provides guidelines on various forms of exit from the public service and the benefits payable, if any. It also outlines benefits payable under the NSSF, Widows and Children Pension Scheme and Public Service Superannuation Scheme.

N.2 Forms of Exit from the Service

- (1) A public officer may exit from the public service through:
 - a. Resignation;
 - b. termination in accordance with the letter of appointment;
 - c. retirements:
 - i. On attainment of 60 years;
 - ii. In public interest;
 - iii. On medical grounds
 - iv. On 50 year rule (voluntary)



- v. 65 years for people living with disability registered with National Council of Persons Living with Disability
- d. On abolition/re-organization of office;
- e. dismissal; or
- f. Death.

N.3 Resignation

- (1) An officer serving within permanent establishment, whether confirmed or on probation, may resign his appointment by giving a one (1) month notice or he shall pay one month's salary in lieu of such notice. A non-pensionable officer may resign his appointment in accordance with the terms of his agreement or letter of appointment.
- (2) On resignation, an officer is required to refund any outstanding liabilities to the county government. Any amount due to an officer may be withheld and applied towards any sum due by him. If any liabilities are outstanding, the county government shall institute legal mechanisms for recovery.
- (3) An officer whose terminal benefits are determined under the Pensions Act will not be eligible for pension or gratuity on leaving the Service except in the specific circumstances defined in the Pensions Act or letter of appointment. An officer who resigns cannot, if subsequently reemployed after a break of service, count his previous service for pension.
- (4) An officer whose terminal benefits are determined under the Public Service Superannuation Scheme will on resignation be eligible for terminal benefits as provided in the Public Service Superannuation Scheme Act.

N.4 Termination of Appointment

(1) The employment of an officer serving on contract or probationary terms may be terminated in accordance with the provisions of the officer's agreement or by giving appropriate period of notice or salary in lieu of notice.

N.5 Mandatory Retirement Age

 All officers will be required to retire from the Service on attaining the mandatory retirement age of 60 years and 65 years for people living with disability and registered with National Council of Persons Living with Disability

N.6 Retirement under the '50 Year' Rule

- (1) An officer on attaining the age of 50 years may opt to retire any time thereafter.
- (2) An officer whose pension is determined under the Pensions Act and has completed ten (10) years' service, will be eligible for pension should the officer opt to retire under '50year' rule. However, if the officer has less than ten years' service, he will be eligible only for a gratuity in accordance with Regulation 5 of the Pensions Regulation.
- (3) An officer will be required to give two (2) months' notice of his intention to retire under the '50 year' rule.
- (4) An officer whose pension is determined under the Public Service Superannuation Scheme and opts to retire under the '50 year' rule will be eligible as provided for in the Public Service Commission superannuation Act, 2012.
- (5) Applications from officers to retire under the '50 year' rule will be approved by their respective Chief Officers.

N.7 Retirement in Public Interest

(1) If an authorized officer, after having considered every available report with regard to a complaint against a public officer and it is apparent that it is desirable to retire the officer on grounds of public interest, the authorized officer shall:-



- a. serve the public officer with a letter setting out the particulars of the complaint as reported and asking the officer to make representation within a reasonable time in view of the intended retirement; and
- b. upon receipt of the representation or if none is received within the prescribed time, forward to the CPSB all the details of the case.
- (2) Unless the CPSB considers that further inquiry into the complaint is necessary, in which case it shall issue directions to the authorized officer accordingly, it shall decide forthwith whether the public officer should be called upon to retire on grounds of public interest.
- (3) In this paragraph, for a complaint or report to justify retirement on grounds of public interest, it must be such that in view of the complaint or report, the public officer has offended public policy as protected in:
 - a. prescribed government policy;
 - b. provision of the constitution or legislation; or
 - c. binding decision made by a competent court of law.

N.8 Retirement due to Ill-Health

(1) Where it appears that an officer is unfit for continued service on medical grounds, the case shall be forwarded to the medical board. The officer may be retired from the Service on medical grounds on the recommendation of the Medical Board.

N.9 Retirement on Abolition/Re-organization of office

(1) An officer, may be retired either on the abolition of the office he holds or upon thereorganization of the county department in which the officer serves for efficiency in service delivery. Such action shall be approved by the Board.



N.10 Dismissal

- (1) Dismissal refers to termination of appointment arising out of disciplinary proceedings on grounds of gross misconduct, desertion, criminal conviction, intoxication neglect of duties, insubordination, committing a crime, use of abusive language, misappropriation of public funds and pecuniary embarrassment, among others.
- (2) An officer whose pension is determined under the Pensions Act and is dismissed from Service, shall forfeit all rights or claims to a pension, gratuity, annual allowance or other retiring award, and any other rights or claims he enjoys in regard to leave or passages at the public expense. However, he will be entitled to employers N.S.S.F. contribution under exemption clause of Legal Notice No. 176 of 1975.
- (3) An officer whose pension is determined under the Public Service Superannuation Scheme Act will, on dismissal, be eligible to terminal benefits as provided under the Act.

N.11 Death

(1) On death of an officer, the next of kin will be paid death gratuity and defendants pension. In case of an officer who has been contributing towards the Widows and Children's Pensions Scheme the next of kin will, in addition, be paid the accrued benefits.

N.12 Registration under the N.S.S.F

(1) County Public officers will be required to register with National Social Security Fund as provided for in the National Social Security Fund Act.

N.13 General Information on Pensions and Gratuities

(1) Human Resource Management Divisions of County governments should complete the pension and gratuity claim forms (forms a – c & form N19 (i)) so that the Pensions



Department of the county Treasury can, without delay, make the necessary calculation based on the particulars given in the claim forms.

- (2) The provisions are subject to amendment and nothing included in these notes is intended to supersede provisions of the Pensions Act and Regulations.
- (3) The Pensions Legislation of the Public Service of Kenya is contained in the Pensions Act Chapter 189.
- (4) The Pensions Department of the county Treasury is responsible for the calculation of the pension/gratuity in accordance with the Pensions Act and Regulations based on the service records submitted by county Departments.
- (5) The Pension/gratuity claim forms currently in use are:
 - a. G.P. 178 For pensionable officers.
 - b. G.P. 179 For non-pensionable officers, serving on purely temporary terms. This form is completed in respect of male officers, who were appointed or transferred to the service of the Government prior to 1st April, 1966 and female officers, who were so appointed or transferred prior to January, 1977.
 - c. G.P. 213 For Pensionable Officers who resign, are dismissed, retire or die while still single and without children.

N.14 Definitions of Terms used in Pensions

(1) Pensionable Office; means an office to which an officer has been appointed whether on probation or otherwise on terms which include eligibility for the grant of a pension under the Pensions Act.



- (2) Pensionable Officer; means an officer who is the holder of a pensionable office in which he has been confirmed.
- (3) Qualifying Service; means service which may be taken into account in determining whether an officer is eligible, by length of service, for pension or gratuity.
- (4) Pensionable Service; means service which may be taken into account in calculating a pension or gratuity under the Pensions Act e.g.
 - a. Probationary service followed by service on permanent and pensionable terms is reckonable in full for the purposes of calculating a pension or gratuity;
 - b. Contract service is reckonable in full if an officer surrenders or refunds the gratuity earned under the contract provided that he was admitted without a break of service to the pensionable establishment;
 - c. Provident Fund service is reckonable in full if an officer surrenders his personal
 contributions to revenue, provided that he was admitted without a break of service to
 the pensionable establishment;
 - d. Temporary service; normally reckons to the extent of one-half (1/2) in calculating a pension or gratuity; and
 - e. Unpaid leave is not pension earning.

N.15 Eligibility for Pension/Gratuity

- (1) An officer is eligible for the grant of a pension or gratuity under the pensions Act only upon retirement from the service of the county government in any of the following circumstances:
 - a. Age not less than 50 years;
 - b. Abolition or re-organization of office;
 - c. Ill-health; and
 - d. Public interest.

N.16 Refunds on termination of appointment or dismissal

- (1) On leaving the Service on resignation or dismissal, an officer will be eligible for registration under the National Social Security Fund Act and the Government will pay the equivalent of employer's contribution as if the officer was on temporary terms of Service.
- (2) Contributors of Widows' and Children Pensions Scheme will be eligible for refund in accordance to the provisions of the Widows' and Children Pensions Scheme Act.

N.17 Retirement Benefits Claim

- (1) In cases where the retiring officer is eligible for retirement benefits under the Pensions Act, a claim for such benefits should be submitted to the Pensions Department of the County Treasury on the appropriate Form G.P.178 or 179 as the case may be, at least nine (9) months before the date of retirement.
- (2) The Head of the Human Resource Management function in a county government or an officer duly authorized to sign notices of retirement will be held responsible for the availability of the relevant service records to facilitate the submission of an acceptable retirement benefits claim to the Director of Pensions, in the County Treasury within the time limit specified in the sub-section (1) of this regulation. The same officer will be held accountable for the accuracy and timely submission of information to the Director of Pensions in the County Treasury.
- (3) The retiring officer should submit all the documents stipulated in the retirement notice within the time frame.

N.18 Retirement Benefits Payable under the Pensions Act

(1) The retirement benefits payable under the Act are:-



- a. Pensions which include service pension (whether unreduced or reduced), injury pension, killed-on-duty pension and abolition-additional pension;
- b. Gratuities which include commuted pension gratuities, service gratuities, death gratuities, compassionate gratuities and marriage gratuities;
- c. Other allowances which include annual allowance and maintenance allowance.
- (2) An officer whose pension is determined under the Pensions Act becomes eligible for the grant of a service pension only if he has completed a minimum period of ten (10) years' service. If however, he has completed less than ten (10) years' service, he becomes eligible for the grant of a service gratuity.
- (3) The rates and modes of calculation of these benefits are set out in the pensions regulations.

N.19 Transfer of Service and Secondment of Pensionable Officers

- (1) When a pensionable officer is transferred to the national government, another county government or another administration, which is "scheduled" under the Pensions Act, the pension Form GP.178 the statement of aggregate pensionable emoluments form GP.190 should be completed and forwarded to the Pensions Department of the County Treasury.
- (2) Transfers of pensionable officers from the Government to organizations which are not "scheduled" under the Pensions Act but which have been declared to be "public service" for the purposes of the Act, need not be notified to the Pensions Department of the County Treasury until the eventual retirement from the organizations of such officers.
- (3) County departments are required to ascertain from the county department responsible for Human Resource Management or the Pension Department of the County Treasury whether or not an organization to which their officers are seeking transfer has been declared a "public service".



- (4) An officer whose benefits are determined under the Public Service Superannuation Scheme will be able to transfer his terminal benefits to a new organization
- (5) When pensionable officers are seconded from one government to another government or Administration, the recipient organization or Administration pays pension contributions to the parent government based on a fixed percentage of the officer's substantive salary in the parent government for the period of secondment. The present rate of pension contribution is thirty one percent (31%).

N.20 Pensions Schemes

(1) County governments will establish a county pension scheme in accordance with Retirement Benefits Act. The rules of the scheme will apply.

SECTION O: MANAGEMENT TRAINEES POLICY

O.1 Introduction

This Section outlines in detail guidelines on the requirements, recruitment and selection, training and placement for management trainees. This policy will ensure that the Uasin Gishu County brings on board young and vibrant individuals into the management cadre with fresh ideas that can efficiently support an efficient and an effective public service. The individuals selected are envisaged to have the potential to be absorbed into the management of the County public service.

O. 2 Frequency

The County will recruit management trainees every two years.

O. 3 Requirements

Management Trainees be recruited in the following areas:-

- Social Sciences
- O Biological & Agricultural sciences CGUG HUMAN RESOURCE MANUAL 2015 – DEPARTMENT OF PUBLIC SERVICE MANAGEMENT – ORIGINAL VERSION



- Legal studies
- o Financial management
- o ICT
- o Engineering
- o Health Sciences

Other requirements are as follows:-

- Candidates must have a minimum of 2nd Class Honors degree in any of the above fields.
 Preference will however be given for 1st Class honours
- o Candidates must be individuals who graduated not more than three (3) years ago.
- o Candidates must be not more than 26 years old.
- o Working experience is not a pre-requisite.
- o Excellent communication skills
- o A high degree of initiative, resourcefulness, flexibility and self-motivating attitude

0.4 Recruitment and selection process

All posts will be advertised in open competition. A structured recruitment and selection process will be put in place to identify candidates who display the required potential.

O. 5Training programme outline

Successful candidates will undergo mandatory eighteen (18) month training as indicated below:

	Institution	Period	Concentration
1	Outsourced – to institutions like NYS	4 months	Discipline and resilience training
2	Outsourced	1 month	Leadership and management skills
3	Outsourced	2 weeks	Public service operations
4	County offices	9 months	On the job training in other department



5	County HQ	3 months	On the job training own department
6	County HQ	2 weeks	Graduation preparation

06: Responsibility

- i. The County Secretary has the overall responsibility for the design, implementation and design of the MT program.
- ii. Each Chief Officer shall be responsible for MT training while attached to their departments and will provide the overall evaluation at the end of the attachment period.
- iii. Trainees: to ensure the smooth functioning of the programme, the trainees:
 - Actively seek out assignments and other learning opportunities consistent with their development plan
 - Participate in the full training period
 - Take full advantage of the training and assignment opportunities provided to further their career objectives
 - Provide on a timely basis, all the necessary documents, reports and projects pertinent to their assignment for the assessment process

O7. Final project & Graduation

During the final one month, all trainees will be required to work together in a common business related project based on which they will prepare a final presentation to the Governor and the senior management team of the county. This is the final step that marks the graduation for the MTs.

To be considered as an MT graduate, participants:

- o Must fully complete the eighteen (18) month straining period
- Must be assessed fully satisfactory in all stages of training and exposure
- Should have had an experience to serve the public in the six sub counties within the county
- o Should have experience in all the departments within the county structure



Upon graduation, the management trainees are expected to assume a managerial position at the county at job group M.

O8: Remuneration

The MT remuneration levels will be structured as follows:

- i. Entry level salary upon commencement
- ii. Review by three salary steps after course successful completion and appointment to management role
- iii. Applicable remuneration covers basic salary and allowances for the entry grade.

09: Termination

Participation in the MT programme terminates under any of the following circumstances:

- i. After eighteen months from the commencement of the programme
- ii. When a participant withdraws from the programme voluntarily by way of resignation
- iii. Upon premature termination of employment relationship for any of the provisions in the employment Act 2007 and the County HR manual
- iv. When a trainee exhibits continued poor performance or fails to comply with the requirements for continuing participation as outlined in this policy or in any other laws regulating employees in the county.

SECTION P: STUDENT INTERNSHIP POLICY

P.1 Introduction

This Section provides guidelines on the provision and management of internship programme in the county. As part of Uasin Gishu County's social responsibility, the Board shall endeavor to help students develop their skills in their respective professions by providing attachment opportunities. To this end we shall support efforts made by learning institutions to link classroom learning with the industry.



P.2 Guidelines

Whenever students are accepted to undertake practical training attachment within the County, the rules and regulations of the County will bind them. They shall be required to sign an Indemnity Agreement Form to be witnessed by an Advocate of the High Court of Kenya. The County will only consider each request after the concerned Chief Officer has recommended the application. Such attachment shall:-

- i. Be registered with the National Industrial Training Authority (NITA) to enable the County to claim reimbursement at the end of attachment period.
- ii. Not exceed a maximum period of twelve (12) weeks. The County shall not be responsible for any costs arising from such attachments.
- iii. Be on-going / due to graduate students with a recommendation letter from their institution.
- iv. Not exceed 5% of total staff compliment at any one given time.
- v. Be in possession of personal insurance cover for self

P.3 Programme Management

The County may accept or reject such requests after consultation with the relevant Departmental Head where the attachment is sought. The Board may also terminate the attachment if the trainee breaches Service rules and regulations or may shorten or re-schedule the attachment to suit the convenience of the relevant Department.

P.4 Certificate

Every attachee will be given a certificate of completion by the CPSB based on the recommendations from the Chief Officer on the conduct of the attachee while engaged in the County.

SECTION Q: VOLUNTEER POLICY

Q.1 Introduction

This Section provides guidelines on the provision and management of volunteer programme in the county. The volunteer guidelines have been drafted with the aim of guiding placement of all cadres of volunteers in the County in order to ensure efficient placement and exchange/transfer of knowledge and skills among parties involved. The main objectives of the volunteer guidelines include:

- o Define the various categories of volunteers
- o Spell out terms and conditions for placement of various cadres of volunteers in the county
- o Regulate placement of volunteers in the county
- Manage volunteers throughout the County

Q. 2 Definition of terms

Volunteer: Person who willingly enlists to offer a service because he/she chooses to do so. The services so offered do not attract monetary or material compensation to the volunteer. For the purpose of these guidelines, the volunteers are categorized as follows.

- **Skilled Volunteers**: These are persons who have completed schooling and have had some previous working experiences. The main objective of their placement is to facilitate them to acquire more field experience and skills acquired in earlier employments. They are not expected to pay any money but none the less must be indemnified. They must also be subjected to code of conduct, which explains the dos and don'ts while attached to the county
- Students on attachments: Students studying in public or private institutions in Kenya or abroad. Their placement is objectively academic, which is part of their study requirements. They are not expected to pay any money but none the less must be indemnified. They must also be subjected to the code of conduct, which explains the do's and don'ts while attached to Uasin Gishu County
- **Freshers:** persons who have just completed their studies and who do not have any work experience. The main objective of their placement is to equip them with the necessary job CGUG HUMAN RESOURCE MANUAL 2015 DEPARTMENT OF PUBLIC SERVICE MANAGEMENT ORIGINAL VERSION



experience to make them more competitive in the job market. They are not expected to pay any money but none the less must be indemnified. They must also be subjected to a code of conduct, which explains the dos and don'ts while attached to the County.

Q3. Number of volunteers

The total number of volunteers at any one time must not exceed 5% of the total staff compliment. Out of these, International citizen volunteers must not exceed 5% of the total volunteers complement.

Q. 4 Placement of volunteers

The following guidelines are observed while attaching volunteers to the various County departments and sections:

- All volunteers submit an application for placement at least two months prior to the commencement of the attachment period. The County maintains a volunteer database where information pertaining to volunteer placements are stored for ease of reference and regulation
- The County in collaborations with the County secretary and Chief Officers evaluate all applications and placements subject to availability of vacancy in the relevant departments, section and units. The CPSB reserves the right to attach a volunteer to any of its stations where a suitable vacancy exists, and not necessarily the preferred choice of the volunteer
- A one-time placement fee is charged for International participant as approved by the CPSB from time to time. This fee is not nonrefundable and doesn't guarantee any benefits whatsoever
- The County will not be liable for any loss, injury or death suffered by any volunteer within its stations during the attachment period.
- All volunteer placements must be limited to a maximum of THREE (3) months subject to review.
- Proof of financial support for international volunteers is submitted with the application forms before consideration for placement;



- No volunteer is entitled to any form of material compensation during the attachment period
- o A volunteer is not entitled to any County staff/employee benefits or privileges.
- All tasks, productions and projects done on behalf of Uasin Gishu CPSB remains the sole property of the Board unless written approval is sought before reproducing or claiming benefits or recognition on the basis of work/projects undertaken on behalf of the CPSB while under the volunteer program;
- The CPSB issues on request, letters confirming the volunteers' attachment and outlining skills acquired during his/her placement;
- O Volunteers adhere to CPSB rules and regulations at all times. Besides, they must carry themselves with dignity and respect

Q.4 Certificate

Every Volunteer will be given a certificate of completion by the CPSB based on the recommendations from the Chief Officer on the conduct of the volunteer while engaged in the County.