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**DEPARTMENT OF LANDS, HOUSING**  
**& URBAN DEVELOPMENT**  
**P.O. Box Private Bag - 50400**  
**BUSIA, KENYA**

**ANNUAL INVESTMENT PLAN**  
**FY 2017-2018**

**Consultants**



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## CHAPTER ONE: INTRODUCTION

### 1.1 Kenya Urban Support Programme (KUSP) overview

The Kenya Urban Support Programme (KUSP) is a World Bank program intended to: *Support the establishment and strengthening of urban governance and management institutions and systems to deliver improved infrastructure and services in municipalities and cities in 45 participating counties in Kenya.*

Through the provision of grants to counties, for both institutional strengthening and urban infrastructure and services, the programme seeks to incentivize county governments to put the provisions of the Urban Area and Cities Act, 2019 in place and establish appropriate institutional arrangements for urban development.

In order to achieve the development objective as stipulated above, the programme has two interlinked components.

#### 1.1.1 Urban Development Grant (UDG)

The mainstay of the programme is the Urban Development Grant (UDG) for infrastructure and urban services. Under this component, Busia County Government qualified for KShs. 101 million grant, for the financial year 2018/2019 after it meet certain minimum conditions and performance standards that are linked to the establishment of urban governance institutions.

#### 1.1.2 Urban Institutional Grant (UIG)

To help the county government meet the minimum conditions and performance standards to access the urban development grant, Busia accessed the urban institutional grant to the tune of KShs. 41 million for the financial years 2017/2018 and 2019/2020. This grant is meant for any activity or investment that, in a sustainable and institutionalized manner, raises the profile of and attention for urban development and urban service delivery.

### 1.2 Municipality of Busia legal status

Municipality of Busia is established pursuant to the Constitution of Kenya 2010, the County Governments Act, 2012 and Urban Areas and Cities Act, 2011 (amended 2019). It qualified to be a municipality not only because it's the Headquarters of Busia County, but also because it met the minimum condition as stipulated in the Third schedule of the County Government Act, the Urban Areas and Cities Act.

### **1.3 The Role of Municipality of Busia Board**

Pursuant to Kenya Urban Support Programme (KUSP), the Board of the Municipality of Busia and the County Government of Busia are charged with the responsibility of having proper municipal plans that are prepared and implemented as per the County Governments Act of 2012, the Urban areas and Cities Act of 2011 (amended 2019) and the Municipality of Busia Charter. The Integrated Urban Development Plan of Busia will ensure the municipality is able to deal with the effects of urbanization such as urban sprawl, rise of informal settlements and uncontrolled developments. Below are the specific roles of the Board, *inter alia*:

- i. To provide a framework to facilitate security of land tenure
- ii. To identify and analyze planning issues and challenges, harness existing opportunities and propose intervention measures for mitigating the planning issues and challenges
- iii. To propose appropriate land uses, locations and permissible densities
- iv. To provide a policy framework for socio-economic investments, economic use of space, infrastructure services and public facilities
- v. To facilitate the development of County Urban Institutional Development Strategy (CUIDS).

The municipal board and staff are to ensure proper planning and establishment of adequate industrial and special economic zones within the municipality, control development, provide services to the residents and provide proper Governance structures. It will also develop the adequate policies and regulations that will govern the Municipality.

### **1.4 The Background for the preparation**

This Annual Municipal Investment Development plan has been prepared pursuant to provision of Urban Areas and Cities Act (UACA 2011) and in compliance with the KUSP Program Operation Manual (POM). The Plan and Budget outlines the specific project/programmes to be implemented using the World Bank funded conditional grants dubbed Urban Development Grant (UDG). The program/project has been selected from eligible investment priority areas, table 4.5, POM Vol.1.

The plan has been prepared by a Consultant together with Staff drawn from key project implementing departments with emphasis on the departments responsible for implementing development projects that fall within the eligible investment priority areas. The staff members

were from specific directorates including: Economic Planning, Lands, Housing, Urban Development, Environment, and Roads and Public Works.

The preparation of the plan was anchored on the five year county development plan, that is, County Integrated Development plan, 2018-2022. Preparation of the CIDP was a consultative process that intensely involved multiple public participation fora in line with provisions of Section 87 of the County Governments Act. During preparation of CIDP 2018-2022, Members of the public were given a platform through which they presented and prioritized the projects and programs to be implemented within the five year period.

The Municipal Investment Plan is an extract of the CIDP taking into consideration the eligible investment priority areas as enshrined in the Project Operations Manual Vol. 1 of the Kenya Urban Support Program.

### **1.5 Principles on the municipal investment plan**

This Municipal Investment Plan will be guided by the following principles as stipulated in the Busia Urban Integrated Development Plan:

#### **1. Sustainable development**

The plan is enhancing sustainable utilization of resources for the current generation without compromising the needs for future generation;

#### **2. Enhanced accessibility**

The plan supports opening up of access roads and upgrading to bituminous standards of existing all-weather roads within the municipality;

#### **3. Environmental conservation**

The plan promotes environmental sustainability balancing, social, economic and environmental needs;

#### **4. Inclusivity**

Multi-sectorial, multidisciplinary and participatory approach: The plan follows all-inclusive process. It requires that all stakeholder groups are represented: local direct and indirect users, public authorities, private investors, NGOs and CBOs.

## CHAPTER TWO: URBAN INVESTMENTS PROJECTS

### 2.1 Investment Prioritization

This municipal annual investment plan highlights the projects that will be implemented in the year 2019/2020. The prioritization of projects were provided by the executive, the municipal board and the public and. Those prioritized by the executive are found within the Annual Development Plan (ADP) and County Investment and Development Plan (CIDP), which are the planning documents for the county. The prioritized projects are listed below as follows:

#### 2.1.1 Projects identified by the Municipal Board

The projects identified by the board are contained in the County Urban Institutional Development Strategy (CUIDS) that is reflected in the 5-year CIDP. In this document some of the challenges identified and required attention were

- i. Lack of proper solid waste disposal sites within the Municipality;
- ii. Lack of urban policy and spatial/integrated urban plans to guide urban growth;
- iii. Lack of sewerage systems;
- iv. Lack of storm water drainage system;
- v. Lack of amenities like slaughter houses, stadiums, cemetery, library and land for expansion within the peri urban and agricultural rural set up;
- vi. Lack of bitumen roads;
- vii. Lack of built up market areas to serve the huge cross border traders creating huge population of hawkers; and
- viii. substandard stalls to meet the huge demand

The Table below summarizes the Municipality of Busia Matrix

### 2.1.2 Table1. Busia Municipality Matrix

Feature	Specifics	Commends/Remarks/Situation
Geographical and demographic data	Location	Coordinates (0.4346 51, 34.2421 6)
	Estimated Population	61,715 (2009 census ) Project ions 2017-78,342
	County capital (Y/N)	Yes its county headquarter and gateway to the county as well as border town to greater east and central Africa
Institutional status	Pre-2010 administrative status	Municipal council of Busia Town status
	Current administrative status and/or current urban management arrangements	Municipality Status
Urban management	Board or Committee (Y/N)	Municipal Board committee in place
	Municipal manager or administrator (Y/N)	Yes, Municipal Manager in place
	Office (Y/N)	Yes, Municipality have an office
	Staffing of municipality or town administration	2 professional technical staff
	Budget and finance	Yes, The budget is part of the departmental budget (Lands, Housing & Urban Development) FY 2016-17 Town Admin had Ksh5m 2017/8 16.5m
	Urban planning	IDEP available Pending public participation and approval
	Infrastructure and service delivery responsibilities	<ul style="list-style-type: none"> <li>• Street lighting</li> <li>• Garbage collection</li> <li>• Drainage system</li> <li>• Bus parks Maintenance of public places recreational parks</li> <li>• Opening access roads</li> <li>• Stalls management</li> </ul>

*Source: County Urban Institutional Development Strategy, 2018*

From the Matrix above, the following Infrastructure and service delivery responsibilities that should be prioritized considering the challenges highlighted above are:

- i. Need for bitumen roads; and
- ii. Solid Waste Management.



## **2.2 Summarized priorities raised by the County Government (Assembly and Executive) and the public**

As noted above, the projects prioritized by the executive are found within the ADP and CIDP, which are the planning documents for the county. Here in therefore presents key county development priorities, strategies and programmes and projects as identified by stakeholders in the county through inclusive and participatory processes.

During the 2018-2022 planning period, the department will implement programmes targeting cooperative growth and trade enhancement. Under trade, the department prioritized market modernization as a means of increasing household incomes. In the department of roads and public transport, the proposed programmes are designed to provide affordable and vibrant industry, innovation and infrastructure and develop sustainable towns, markets and community centres. As a result, there will be increased number of Kilometers of roads upgraded to bitumen standards.

## **2.3 Justification for the expenditure**

The guiding principles of devolution are as set out under Article 174 of the Constitution which includes:

- (a) Promote democratic and accountable exercise of power;
- (b) Foster national unity by recognising diversity;
- (c) Give powers of self-governance to the people and enhance the participation of the people in the exercise of the powers of the State and in making decisions affecting them;
- (d) Recognize the right of communities to manage their own affairs and to further their development;
- (e) Protect and promote the interests and rights of minorities and marginalized communities

To further reinforce the issue of Public Participation, the Urban Areas and Cities Act of 2011 (amended 2019) emphasizes that the board established under this act should ensure the development of mechanisms for the participation of the residents of the municipality of Busia in the management and administration of the Municipality. Under this programme, the prioritization and selection of urban investments will take into account citizen participation; It's on this basis that each and every section is followed by the public participation forum just to

ensure that the final documents and selected projects and activities incorporates the wishes of the citizens served by the Municipality.

#### **2.4 Eligible activities under the UIG**

Central to the overall objective of the KUSP is the desire to stimulate County Governments to pay explicit attention to urban development issues, and create, in response to the existing legal framework, the necessary urban institutions (urban boards) to manage these issues. The Urban Institutional Development Grant (UIG) under window-2 of the KUSP, is to provide the County Governments with financial resources to:

- a) Establish and strengthen the urban institutions, notably the municipal board(s) and the municipal administration(s);
- b) Integrate and regularly update the section on urban development issues (challenges and opportunities) in the County's development strategy and its ensuing development plan;
- c) Assist the County Government and their urban institutions in meeting the minimum the conditions necessary to access the urban development grant.

The UIG can be used by the County Government for all activities to help achieve the above-defined objectives. Within that context and within the menu of eligible activities with are:

- a) Urban planning activities/exercises (including spatial or town planning)
- b) Training costs (e.g. for Municipal Board members or other relevant county staff)
- c) Workshops and learning events
- d) Meeting costs, including local travel allowances, refreshments (e.g. related to the development of Municipal Charter and other urban planning activities)
- e) Experience sharing and peer-learning activities with other counties
- f) Incremental office operating costs (stationery, etc.)
- g) Office equipment and furniture (for urban boards and urban administrations)

The governing principle is that the UIG funded activities contribute to strengthen the ability of the county and its urban institutions to engage in the management of urban development and the provision of urban services as part of an overall agenda for installing good urban governance.

## **2.5 Eligible Projects under the UDG**

The eligible activities that can be funded under the Urban Development Grant, which are typical urban infrastructure works, both new and rehabilitation, related to waste management, drainage, roads and public spaces. The list of eligible activities also includes urban socio-economic infrastructure as well as functions of the fire brigade and disaster management. In cases, a dispute may arise on the location/selection of the projects, project benefit sharing, pre-existing or historical social issues in communities, but the chances of such conflicts are expected to be minimal, as the programme, by its nature, promotes good (better) urban governance and provides for consultative processes prior to decision-making. This all failing, there is the provision of the grievances redress mechanism. This notwithstanding, it is explicitly stated that no investments may be funded by the UDG that could be anticipated to lead to or aggravate social conflict between or within communities or counties. In order to further mitigate and avoid adverse social impacts, no project shall be funded under the UDG that:

- a) requires physical displacement of households;
- b) requires economic displacement of more than 200 persons;
- c) is likely to create or exacerbate conflict within communities or neighboring counties; or
- d) has significant impacts on vulnerable and/or marginalized/indigenous groups.

When undertaking the appraisal of potential investment projects, these aspects of environmental and social impact shall be considered explicitly and, if recommended for implementation, it shall be stated that none of the above prohibiting factors applies. For each project funder under UDG the social environmental check list as provided in Vol II, Annex 16 needs to be filled, filed and adhered to. The checklist (in Annex 16) has special provisions in case of land acquisition. In order to address issues of the social and environmental impact assessment, the counties and urban boards may seek assistance from the KUSP Programme coordination team and the full time expert in the Central Technical Assistance team dealing with these issues.

## CHAPTER THREE: INVESTMENT PLANS AND BUDGETS

### 3.1 URBAN INVESTMENT PLAN AND BUDGET

The total available UIG budget is Kshs. 41,2000,000 that is meant for financial years 2017/2018 and 2018/2019. The funds will be used to facilitate creation of institutional frameworks to absorb the grant in Window 3.

**3.1.1 Table 2. Urban Investment Grant Plan and Budget, FY 2017/ 2018**

<b>URBAN INSTITUTIONAL GRANT-UIG, 2017/ 2018</b>							
Activity Number	Name of the Activities	Time Line		Source Of Funds			
		Start Date	End Date	UIG	Other-1	Other-2	Total budget
BCG/KUSP1/17/18	Refurbishment the municipal office	15 <sup>th</sup> , July 2019	1 <sup>st</sup> August 2019	900,000	0	0	900,000
BCG/KUSP2/17/18	Furniture and Office supply	15 <sup>th</sup> , July 2019	1 <sup>st</sup> August 2019	2,500,000	0	0	2,500,000
BCG/KUSP3/17/18	Computers and other related office equipment	15 <sup>th</sup> , July 2019	1 <sup>st</sup> August 2019	1,600,000	0	0	1,600,000
BCG/KUSP4/17/18	Initial training of the CPCT on the KUSP program	29 <sup>th</sup> , July 2019	2 <sup>nd</sup> , August, 2019	2500000	0	0	2500000
BCG/KUSP5/17/18	Developing, Reviewing and publishing of Municipality strategic plan	19 <sup>th</sup> , July 2019	19 <sup>th</sup> , August, 2019	2,500,000	0	0	2,500,000
BCG/KUSP6/17/18	Developing, Reviewing and publishing of Municipality Investment	19 <sup>th</sup> , July 2019	19 <sup>th</sup> , August, 2019	2500000	0	0	2500000
BCG/KUSP7/17/18	Initial training of the Technical Committee	5 <sup>th</sup> , August 2019	9 <sup>th</sup> , August, 2019	3,000,000	0	0	3,000,000
BCG/KUSP8/17/18	Training for the Directors	12 <sup>th</sup> , August 2019	16 <sup>th</sup> , August, 2019	1,500,000	0	0	1,500,000
BCG/KUSP9/17/18	Initial Training for the Municipality Board	19 <sup>th</sup> , August 2019	23 <sup>rd</sup> , August, 2019	1,000,000	0	0	1,000,000
BCG/KUSP10/17/18	Further Training for the Municipality Board on the Municipality management	26 <sup>th</sup> , August 2019	30 <sup>th</sup> , August 2019	2,500,000	0	0	2,500,000
<b>TOTAL</b>							<b>20,500,000</b>

Table 3 below is the Proposed Implementation Schedule for the UIG –Activities

**3.1.2 Table 3: Municipal Investment Budget FY 2017/2018 – UIG**

ACTIVITY	TIME FRAME											
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Refurbishment the municipal office												
Furniture and Office supply												
Computers and other related office equipment												
Initial training of the CPCT on the KUSP program												
Developing, Reviewing and publishing of Municipality strategic plan												
Developing, Reviewing and publishing of Municipality Investment												
Initial training of the Technical Committee												
Training for the Directors												
Initial Training for the Municipality Board												
Further Training for the Municipality Board on the Municipality management												

### 3.1.3 Table 4. Urban Investment Grant Plan and Budget, FY 2018/ 2019

URBAN INSTITUTIONAL GRANT-UIG, 2018/ 2019							
Activity Number	Name of the Activities	Time Line		Source of Funds			
		Start Date	End Date	UIG	Other-1	Other-2	Total budget
BCG/KUSP1/18/19	Public participation on the Municipality Investment and strategic plan	2nd, Sept 2019	5th, Sept 2019	1,500,000	0	0	1,500,000
BCG/KUSP2/18/19	Preparation of Municipal Integrated Development Plan-IDep	9th, Sept 2019	14th, Sept 2019	3,500,000	0	0	3,500,000
BCG/KUSP3/18/19	Preparation of the County Urban Investment Plan (CUIDS) 19/20	1st, August 2019	30th, August 2019	1,500,000	0	0	1,500,000
BCG/KUSP4/18/19	Managerial courses	Jan	March	1,000,000	0	0	1,000,000
BCG/KUSP5/18/19	Sitting allowances for Municipal board members	1st, August 2019	30th, June 2020	1,500,000			1,500,000
BCG/KUSP6/18/19	Preparation of Municipal Integrated Development Plan-Spatial Plan	11th, Nov 2019	6th, Dec 2019	3,500,000	0	0	3,500,000
BCG/KUSP7/18/19	Public participation on the Municipality Investment and strategic plan	2nd, October 2019	5th, October 2019	2,500,000	0	0	2,500,000
BCG/KUSP8/18/19	Technical committee meetings	8th, October 2019	12th, October 2019	1,000,000	0	0	1,000,000
BCG/KUSP9/18/19	Public participation report writing charter	22nd, October 2019	25th, October 2019	1,500,000	0	0	1,500,000
BCG/KUSP10/18/19	Municipality Website Development	1st Sept 2019	30th, October 2019	500,000	0	0	500,000
BCG/KUSP11/18/19	Municipality Staff and officers Benchmarking	3rd, October 2019	30th, October 2019	2,500,000	0	0	2,500,000
<b>TOTAL</b>							<b>20,500,000</b>

Table 4 below is the Proposed Implementation Schedule for the UIG –Activities

**3.1.4 Table 5: Municipal Investment Budget FY 2018/2019 – UIG**

ACTIVITY	TIME FRAME											
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Public participation on the Municipality Investment and strategic plan												
Preparation of Municipal Integrated Development Plan-IDep												
Preparation of the County Urban Investment Plan (CUIDS) 19/20												
Managerial courses												
Sitting allowances for Municipal board members												
Preparation of Municipal Integrated Development Plan-Spatial Plan												
Public participation on the Municipality Investment and strategic plan												
Technical committee meetings												
Public participation report writing charter												
Municipality Website Development												
Municipality Staff and officers Benchmarking												

### 3.2 URBAN DEVELOPMENT PLAN AND BUDGET-UDG FY 2018/ 2019

The UDG menu of eligible investment/expenditure areas are classified into five categories, namely:

- i. Waste management (Liquid and solid)
- ii. Storm water drainage
- iii. Connectivity (roads, non-motorized transport facilities, street and security lights)
- iv. Urban Socio Economic infrastructure
- v. Fire and Disaster management

This investment plan for this financial year has identified the following two investment areas

#### I. Connectivity (roads, non-motorized transport facilities, street and security lights)

The municipal board will endeavour to undertake the following: upgrading of gravel roads to low volume seal tarmac road.

#### II. Urban Socio Economic infrastructure

Under this investment area the municipal board will undertake the following: fabrication of modern market stalls

**3.2.1 Table 6. Urban Investment Plan and Budget-UDG FY 2018/ 2019**

URBAN DEVELOPMENT GRANT-UDG, 2018/2019								
Activity Number	Name of the Activities	Specific Actions to be Carried Out	Time Line (start and end dates)		Source of funds			Total budget
					UDG	Oth ers-1	Oth ers-2	
BCG/KUSP12/ 18/19	Waste Management	Tractors with skip loaders and Skips	July 18	- June-19	19,875,000	0		19,875,000
		Collection fixed bins	July 18	- June-19	18,000,000		0	18,000,000
<b>Sub total</b>								<b>37,875,000</b>
BCG/KUSP13/ 18/19	Connectivity (roads, non--motorized transport facilities, and	High Mast Lights	July 18	- June-19	30,000,000	0		30,000,000
		Walkways and	July	- June-	24,571,425		0	24,571,425



	street and security lights)	access roads	18	19					
		Road signs	July - 18	June- 19	2,000,000				2,000,000
<b>Sub total</b>									56,571,425
<b>BCG/KUSP7/18/19</b>	Urban socio--economic infrastructure . public spaces	Parking bay	July -18	June-19	11,615,075	0	0		11,615,075
<b>Sub total</b>									11,615,075
<b>TOTAL</b>									<b>106,061,500</b>

**3.2.2 Table 7: Proposed Implementation Schedule**

Project	Activities	TIME FRAME											
		Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Waste Management	Project preparation designs	■	■										
	Procurement			■	■								
	Project Implementation					■	■	■	■	■	■	■	■
Connectivity (roads, non--motorized transport facilities,	Project preparation designs	■	■										
	Procurement			■	■								
	Project Implementation					■	■	■	■	■	■	■	■
Urban socio--economic infrastructure . public spaces	Project preparation designs	■	■										
	Procurement			■	■								
	Project Implementation					■	■	■	■	■	■	■	■

### **3.3 Justification and Expected out comes**

#### **3.3.1 Connectivity (roads, non-motorized transport facilities, street and security lights)**

Bulanda market centers do not have security light especially at night and this prevents traders from doing business late at night. The darkness also promotes crime since criminals hide in dark places waiting to steal from non-suspecting residents. Upgrade of gravel road to bituminous standards will improve connectivity within the municipality.

#### **3.3.2 Storm water drainage**

Flooding of roads and buildings within the municipality due to poor and/or blocked drains causes havoc to the residents. It during these flooding that water borne diseases are on the rise.

#### **3.3.3 Design, costing and supervision of investment projects**

The municipal board will also set aside 5% of the UDG allocation, which amounts to 5,050,000 to be used for design, costing and supervision of investment.

### **3.4 Expected outcomes**

Upgrading of gravel roads to bitumen standards will promote accessibility of the municipality whilst the flood masts will enhance lighting of the streets at night and this will ensure there is adequate security in the streets. Traders will be able to conduct business till late into the night and that will mean they are able to improve their earning power and promote 24hrs economy.

Storm water drainage works will arrest incessant flooding of buildings, houses and roads hence prolonged design life of physical infrastructure while cutting cost on maintenance.

### **3.5 Implementation modalities**

The first responsible party for the planning of the use of the Urban Development Grant is the urban board with assistance from the urban manager and his/her staff, whilst taking into account inputs from stakeholders including citizens.

Open procurement process will be used to get access to the Urban Development Grant, as for the Urban Institutional Grant, both the County Government and the concerned municipality (municipal board) must meet certain stipulated minimum conditions. If all these are met, the Municipality will get access to 50 percent of the calculated allocation. The allocations for the

other 50% will be pro-rata based on a performance score for that municipality against ten performance standards. Support for urban governance structures through urban boards KUSP is meant to stimulate (through windows 2 and 3) and assist (through windows 1 and 2) County governments to put the above urban institutional arrangements in place, and to formalize this through a municipal charter that spells out what functions and decision-making mandates the County Government is delegating to its Urban board(s).

The Municipality will undertake project implementation in collaboration with line agencies including NEMA, Busia Water and Sewerage Company and other line Departments.

## Annex 1: Pre-feasibility studies for proposed project-Road Works

COUNTY	BUSIA	Urban Area	BUSIA MUNICIPALITY
Type of Project	CONNECTIVITY/URBAN ECONOMIC INFRASTRUCTURE		
Name of Project	CONSTRUCTION OF ROAD		
1	Confirm proposed infrastructure project is in conformity with the KUSP investment menu	√	Economic Infrastructure
2	Confirm that the proposed infrastructure project comes from an approved CIDP, a Town Plan, Municipal Investment Plan or IDeP	√	Municipal ADP
3	Physical location of proposed infrastructure project (indicate) Neighbourhood? Coordinates? .....	√	
4	Confirm land ownership and accessibility: that there are no encumbrances to title and access (Indicate the documentary evidence available)	√	County
5	Confirm its land-use feasibility (will it get planning permission), as part of a feasibility report	√	Prefeasibility study report available
6	Stage at which designs are (indicate) .....	×	Inception
7	Confirm the availability of engineering designs, Bills of Quantities (BOQs) and drawings, prepared by registered, engineering, quantity surveying and /or architectural professionals	×	Inception
8	Confirm design standards used (indicate) .....	×	Inception
9	Confirm whether designs take into account urban resilience, gender, disability and climate change considerations	×	At inception
10	Confirm proposed infrastructure project cost estimate (indicate) .....	×	Ksh. 57 million
11	Confirm alternative funding if available (indicate) .....	√	County will indicate clearly amount for alternative funding
12	Confirm review and endorsement of proposal by other county departments if applicable (indicate) .....	√	County to confirm
13	Confirm whether project is a new proposal or a partially implemented. If partially implemented, indicate reasons for stoppage (indicate) .....	√	New
14	Confirm operations and maintenance arrangements (indicate) .....	√	County to indicate
15	Confirm availability of Environmental and Social Impact Assessment documents/tools prepared by registered professional(s) and status of acquisition of National Environment Management Authority (NEMA) license for project. This to also include a Resettlement Action Plan (RAP) were applicable (indicate) .....	×	Process ongoing
16	Confirm availability of team/experts to	√	Team available under the CPCT

	supervise/ execute environment and social management (indicate) .....		
17	Confirm whether there are social issues surrounding the project (indicate) .....	√	Resettlement of existing traders
18	Confirm availability of a grievance handling mechanism or arrangements for handling/resolving social issues if any (indicate) .....	√	Municipal Social Development team and Sub-county administrators
19	Confirm process undertaken for citizen engagement and participation for the proposed infrastructure project (indicate) .....	√	Citizen Fora held
20	Confirm expected number of beneficiaries of the proposed infrastructure project including gender dimension (indicate) .....	√	Estimated 300 Artisan , 500 traders
21	Confirm economic justification for the proposed infrastructure project (indicate) .....	√	Opening up of the area economically Increase in revenue through taxes..
22	Confirm how county will ensure there will be value for money for the proposed infrastructure project (indicate) .....	√	To confirm through M&E
23	Establish the capacity of the organisation to administer the procurement process (indicate) .....	√	County Procurement Dept in place and able to administer the procurement process needed under the programme
24	Confirm the level of knowledge and awareness by County Program Coordinating Team of Bank's Financial Management, Procurement and Anti-corruption guidelines regarding the program (indicate) .....	×	Capacity building needed
25	Establish the capacity of the organisation to supervise the implementation of the project (indicate) .....	√	Relevant Municipal Staff have been deployed by the County HR. Consultant Engineer procured to provide supervision support
26	Check current practices for constructing infrastructure in urban areas in the county (indicate) .....	√	Approvals, supervision done through set standards. County/Municipality working with line

## Annex 2: Pre-feasibility studies for proposed project-Solid Waste Management

COUNTY	BUSIA	Urban Area	BUSIA MUNICIPALITY
Type of Project	CONNECTIVITY/URBAN ECONOMIC INFRASTRUCTURE		
Name of Project	INSTALLATION OF BINS AND TRACTORS, SKIPS AND SKIP LOADERS		
1	Confirm proposed infrastructure project is in conformity with the KUSP investment menu	√	Economic Infrastructure
2	Confirm that the proposed infrastructure project comes from an approved CIDP, a Town Plan, Municipal Investment Plan or IDeP	√	Municipal ADP
3	Physical location of proposed infrastructure project (indicate) Neighbourhood? Coordinates? .....	√	See attached coordinates for various bins locations
4	Confirm land ownership and accessibility: that there are no encumbrances to title and access (Indicate the documentary evidence available)	√	County
5	Confirm its land-use feasibility (will it get planning permission), as part of a feasibility report	√	Prefeasibility study report available
6	Stage at which designs are (indicate) .....	×	Inception
7	Confirm the availability of engineering designs, Bills of Quantities (BOQs) and drawings, prepared by registered, engineering, quantity surveying and /or architectural professionals	×	Inception
8	Confirm design standards used (indicate) .....	×	Inception
9	Confirm whether designs take into account urban resilience, gender, disability and climate change considerations	×	At inception
10	Confirm proposed infrastructure project cost estimate (indicate) .....	×	Ksh. 57 million
11	Confirm alternative funding if available (indicate) .....	√	County will indicate clearly amount for alternative funding
12	Confirm review and endorsement of proposal by other county departments if applicable (indicate) .....	√	County to confirm
13	Confirm whether project is a new proposal or a partially implemented. If partially implemented, indicate reasons for stoppage (indicate) .....	√	New
14	Confirm operations and maintenance arrangements (indicate) .....	√	County to indicate
15	Confirm availability of Environmental and Social Impact Assessment documents/tools prepared by registered professional(s) and status of acquisition of National Environment Management Authority (NEMA) license for project. This to also include a Resettlement Action Plan (RAP) were applicable (indicate) .....	×	Process ongoing
16	Confirm availability of team/experts to	√	Team available under the CPCT

	supervise/ execute environment and social management (indicate) .....		
17	Confirm whether there are social issues surrounding the project (indicate) .....	√	Resettlement of existing traders
18	Confirm availability of a grievance handling mechanism or arrangements for handling/resolving social issues if any (indicate) .....	√	Municipal Social Development team and Sub-county administrators
19	Confirm process undertaken for citizen engagement and participation for the proposed infrastructure project (indicate) .....	√	Citizen Fora held
20	Confirm expected number of beneficiaries of the proposed infrastructure project including gender dimension (indicate) .....	√	Estimated 300 Artisan , 500 traders
21	Confirm economic justification for the proposed infrastructure project (indicate) .....	√	Opening up of the area economically Increase in revenue through taxes..
22	Confirm how county will ensure there will be value for money for the proposed infrastructure project (indicate) .....	√	To confirm through M&E
23	Establish the capacity of the organisation to administer the procurement process (indicate) .....	√	County Procurement Dept in place and able to administer the procurement process needed under the programme
24	Confirm the level of knowledge and awareness by County Program Coordinating Team of Bank's Financial Management, Procurement and Anti-corruption guidelines regarding the program (indicate) .....	×	Capacity building needed
25	Establish the capacity of the organisation to supervise the implementation of the project (indicate) .....	√	Relevant Municipal Staff have been deployed by the County HR. Consultant Engineer procured to provide supervision support
26	Check current practices for constructing infrastructure in urban areas in the county (indicate) .....	√	Approvals, supervision done through set standards. County/Municipality working with line