



REPUBLIC OF KENYA

## SECTOR PLAN

FOR

# DROUGHT RISK MANAGEMENT AND ENDING DROUGHT EMERGENCIES

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## FOREWORD

Drought has for decades been the single most disastrous natural hazard in Kenya that has destroyed livelihoods and caused hunger, disease and even death. Of the US\$ 12.1 billion of drought-related damages and losses recorded in 2008-2011, US\$ 11.3 billion was attributed to lost income flows across all sectors of the economy. All this happened despite the fact that drought evolves slowly and need not become a disaster if adequate and appropriate mitigation and resilience measures are put in place. The Jubilee Government fully recognises that national development is not possible unless the threat posed by drought is contained.

It is with this background that the Government has committed itself to ending drought emergencies in Kenya by the year 2022. This commitment has clearly been spelt out in the Second Medium Term Plan (MTP 2013-2017) for the Kenya Vision 2030, launched by H.E. Hon. President Uhuru Kenyatta, CGH, on 3<sup>rd</sup> October 2013. In the Second MTP, Ending Drought Emergencies has been recognized as one of the key foundations to attaining the 10 per cent GDP growth target envisaged in the Vision 2030.

This Kenya Vision 2030 Sector Plan for Drought Risk Management and Ending Drought Emergencies has been developed through extensive consultations between state and non-state actors. It reflects two significant changes in our understanding of drought emergencies in Kenya. The first is that they have their roots in poverty and vulnerability, and in the fact that Kenya's drought-prone areas are also among those which have benefited least from investment in the past. The second is that drought emergencies are complex challenges which can only be managed by strong and competent institutions, able to draw on new streams of finance as well as the skills and resources of all actors.

The National Drought Management Authority under my Ministry will lead and coordinate our efforts towards the target we have set ourselves of ending drought emergencies by 2022. In so doing, we will also fulfil Kenya's obligations under the IGAD framework for drought resilience, where all Heads of State and Government in the region committed themselves to ending drought emergencies in the Horn of Africa. I welcome all those who share our belief that drought emergencies can end to work with us towards that noble goal.



**Anne Waiguru, O.G.W.**

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## **PREFACE**

Following the 2011 drought that led to unprecedented humanitarian crisis in Kenya and the entire Horn of Africa, the Government has taken bold steps towards ending drought emergencies in the country. In this respect, the National Drought Management Authority (NDMA) has been established as a specialized institution for drought management and for spearheading efforts towards ending drought emergencies in Kenya. The commitment has further been entrenched into the Second Medium Term Plan 2013-2017 for the Kenya Vision 2030 where ending drought emergencies is now one of the foundations to rapid and sustainable development in Kenya.

This Sector Plan recognizes that drought emergencies cannot cease unless the key foundations for development in the drought prone ASALs are not effectively addressed. Key among these are infrastructure; education; health; livelihoods; peace and security. It also gives emphasis on strengthening institutional and financing framework for drought management.

All these interventions cut across many sectors and hence the need for all relevant stakeholders to work hand in hand to ensure Kenya realizes the goal of ending drought emergencies by the year 2022. Emphasis will be laid on close working relationship among Government Ministries, County Governments; development partners; private sector; NGOs; CBOs; and Faith Based Organizations.

Finally, I would like to sincerely thank all who spared their valuable time to actively participate in preparation of this Plan. Highly appreciated is the role of: the Ministry of Devolution and Planning; Ministry of Interior and Coordination of National Government; Ministry of Education, Science and Technology; Ministry of Health; Ministry of Transport and Infrastructure; Ministry of Environment, Water and Natural Resource; Ministry of Agriculture, Livestock and Fisheries; Development Partners; ASAL-Based NGOs; Pastoralists' Parliamentary Group (PPG); and the National Drought Management Authority. I am confident that the Plan will play a key role in guiding the sector players towards ending drought emergencies in Kenya as envisaged in the Second MTP of Kenya Vision 2030.



**Eng. Peter O. Mangiti**

**Principal Secretary for Planning**

**Ministry of Devolution and Planning**

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## ACRONYMS

ACC	African Conservation Centre
AfDB	African Development Bank
ADR	Alternative Dispute Resolution
ASAL	Arid and Semi-Arid Lands
ASF	ASAL Stakeholder Forum
BMU	Beach Management Unit
CAP	Consolidated Appeal
CBAHW	Community-Based Animal Health Worker
CCA	Climate Change Adaptation
CCK	Communications Commission of Kenya
CDM	Clean Development Mechanism
CEWARN	Conflict Early Warning mechanism (IGAD)
CEWERU	Conflict Early Warning and Response Unit
CG	County Government
CHS	Community and Household Surveillance (WFP)
CLRC	Community Learning Resource Centre
CMDRR	Community Managed Drought Risk Reduction
COFI	Community Owned Finance Initiative
CPP	Country Programme Paper (EDE terminology)
CPRC	Chronic Poverty Research Centre
CSP	Conflict-Sensitive Programming
DCF	Drought Contingency Funds
DEDE	Drought and Ending Drought Emergencies (thematic group)
DI&RP	Department of Immigration and Registration of Persons
DPC	District Peace Committee
DRR	Drought Risk Reduction
DRSRS	Department of Resource Surveys and Remote Sensing

DSG (CSG)	District (County) Steering Group
EAC	East African Community
EDE	Ending Drought Emergencies
EFA	Education for All
EHRP	Emergency Humanitarian Response Programme
EMIS	Education Management Information System
EMMS	Essential Medicines and Medical Supplies
EWER	Early Warning and Early Response
FSA	Food Security Assessment
GAM	Global Acute Malnutrition
HEA	Household Economy Analysis
HINI	High Impact Nutrition Intervention
HMIS	Health Management Information System
HSSF	Health Sector Services Fund
ICC	Inter-Ministerial Coordination Committee
ICTs	Information and Communication Technologies
IDDRSI	IGAD Drought Disaster Resilience and Sustainability Initiative
IGAD	Inter-Governmental Authority on Development
ILRI	International Livestock Research Institute
ITK	Indigenous Technical Knowledge
KAA	Kenya Airports Authority
KfW	Kreditanstalt für Wiederaufbau
KDHS	Kenya Demographic and Health Survey
KEBS	Kenya Bureau of Standards
KEFRI	Kenya Forest Research Institute
KEMSA	Kenya Medical Supplies Agency
KENHA	Kenya National Highways Authority
KFS	Kenya Forest Service
KFSM	Kenya Food Security Meeting

KFSSG	Kenya Food Security Steering Group
KIRDI	Kenya Industrial Research and Development Institute
KLDC	Kenya Leather Development Council
KMD	Kenya Meteorological Department
KNFP	Kenya National Focal Point on Small Arms and Light Weapons
KRA	Kenya Revenue Authority
KRDP	Kenya Rural Development Programme
KWS	Kenya Wildlife Service
LAPSSET	Lamu Port South Sudan Ethiopia corridor
LCBS	Low Cost Boarding School
LEAS	Law Enforcement Agencies
LMB	Livestock Marketing Board
MDGs	Millennium Development Goals
MDNKOAL	Ministry of State for Development of Northern Kenya and other Arid Lands
MEMR	Ministry of Environment and Mineral Resources
MoA	Ministry of Agriculture
MoL	Ministry of Lands
MoLD	Ministry of Livestock Development
MoLG	Ministry of Local Government
MoSPAIS	Ministry of State for Provincial Administration and Internal Security
MPND&V2030	Ministry of State for Planning, National Development and Vision 2030
MTAP	Medium-Term ASAL Programme
MWI	Ministry of Water and Irrigation
NACONEK	National Council on Nomadic Education in Kenya
NCEWERS	National Conflict Early Warning and Early Response System
NG	National Government
NDMA	National Drought Management Authority
NEMA	National Environment Management Authority
NEP	North Eastern Province



NESSP	National Education Sector Support Programme
NIB	National Irrigation Board
NIMES	National Integrated Monitoring and Evaluation System
NKIF	Northern Kenya Investment Fund
NSC	National Steering Committee on Peace Building and Conflict Management
NEMA	National Environment Management Authority
NPS	National Police Service
NSNP	National Safety Net Programme
NWCPC	National Water Conservation and Pipeline Corporation
PES	Payments for Environmental Services
RECSA	Regional Centre on Small Arms in the Great Lakes Region, the Horn of Africa and Bordering States
SACCO	Savings and Credit Cooperative
SALW	Small Arms and Light Weapons
SRA	Strategic Result Area (EDE terminology)
TTC	Teacher Training College
UNISDR	UN International Strategy for Disaster Reduction
WFP	World Food Programme
WRMA	Water Resources Management Authority
WSB	Water Services Board



# 1 INTRODUCTION

Drought is one of the biggest threats to Kenya Vision 2030. It has dramatic consequences for the country, causing widespread suffering and loss among drought-prone communities. It also has a major impact on the economy; the 2008-2011 drought cost Kenya US\$ 12.1 billion in damages and losses combined and slowed GDP by an average of 2.8 per cent per annum.<sup>1</sup> Without urgent action, these impacts will worsen as climate change deepens. Better management of drought is therefore critical to national development.

Since droughts evolve slowly, their impacts can be monitored and reduced. Kenya will eliminate the worst of these impacts by pursuing two simultaneous strategies. First, on an ongoing basis, and regardless of prevailing drought conditions, it will take measures to strengthen people's resilience to drought. These measures will be the responsibility of all sectors, since drought vulnerability is the product of deeper inequalities in access to public goods and services. Second, it will improve the monitoring of, and response to, emerging drought conditions in ways that harness the efforts of all actors – communities, the government and its development partners – in an effective and efficient manner. This will be the responsibility of the new National Drought Management Authority (NDMA).

The content of this document builds on the Ending Drought Emergencies Kenya Country Programme Paper (CPP). It draws to a significant degree from existing strategies, both for individual sectors and for the ASALs, and particularly the Vision 2030 Development Strategy for Northern Kenya and other Arid Lands. With the exception of the activities implemented by the NDMA (described in section 3.2), everything else in this document is already contained within the relevant sector MTPs and does not, therefore, require additional finance. The purpose of re-presenting activities in this document is two-fold. First, to highlight the contribution that each sector is making to drought resilience, particularly those which in the past were not conventionally understood in such terms, such as infrastructure or education. Second, to provide in one document a comprehensive portfolio of resilience-related activities, in order to facilitate monitoring and evaluation of the commitments the Government has made to Kenyans under the IGAD framework and for ease of communication with interested development partners.

This Medium Term Plan will be operationalised through a number of common programme frameworks against which the contributions of all stakeholders will be aligned. The operational details of this Plan, including its monitoring and evaluation framework will be refined through those frameworks.

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<sup>1</sup> Republic of Kenya (2012) Post Disaster Needs Assessment, 2008-2011

## 2 SITUATION ANALYSIS

The programme to End Drought Emergencies emerged in the wake of the 2008-11 droughts in the Horn of Africa. At a summit of Heads of State and Government in Nairobi in September 2011, IGAD was directed to coordinate member states in implementing this initiative.<sup>2</sup> The Kenya country paper seeks to create 'a more conducive environment for building drought resilience'. It will do this primarily by investing in the critical foundations for development (such as infrastructure, security and human capital) and by strengthening the institutional and financing framework for drought risk management with the new National Drought Management Authority at its core. The Kenya paper commits the government to end drought emergencies within ten years.<sup>3</sup>

The concept of 'resilience' has become very topical, for three reasons: first, to avoid the human suffering and other costs of late response, highlighted for example in the Post Disaster Needs Assessment of the 2008-11 drought period. A second reason is the increase in climate variability and the need to prepare for the more substantial consequences of future climate change. Third, the concept of resilience appears to bridge the divide between the conventional spheres of 'relief' and 'development', offering a more holistic and helpful approach in situations of chronic food insecurity.

The EDE programme is in line with the Constitution, particularly the national values and principles of governance such as human dignity, social justice, and protection of the marginalised. Article 43 guarantees the right of all Kenyans to be free from hunger, one of the main causes of which is unmanaged drought. Significant parts of the programme will be implemented through the new devolved structures, particularly in peace and security, health, and sustainable livelihoods, and coordinated by the county offices of the National Drought Management Authority working in close partnership with County Planning Units.

### 1.1 Drought and its impacts in Kenya

Drought is the single most important natural hazard in Kenya. It shatters livelihoods and causes hunger, nutrition-related disease, and even death. Droughts may lead to a decline in food production, affect the migratory patterns of pastoralists, exacerbate resource-based conflict, and cause substantial loss of assets, triggering acute food insecurity among vulnerable households and placing a heavy strain on both the local and national economies. Of the US\$ 12.1 billion in drought-related damages and losses between 2008 and 2011, US\$ 11.3 billion was attributed to lost income flows across all sectors of the economy.<sup>4</sup>

The livestock sector was particularly badly hit during the last drought, accounting for 72% of total damages and losses.<sup>5</sup> But drought emergencies also have significant social impacts – on gender roles, on young people's prospects (when children are withdrawn from school, for example), on customary support systems, on the incidence of conflict, and on inequality (since the poorest have least capacity to recover, leading to a downward spiral of vulnerability).

While climate variability is a normal characteristic of dry land ecosystems, it will become more pronounced and unpredictable with climate change. The Intergovernmental Panel on Climate Change (IPCC) notes that climate change, if not tackled, will have a severe negative impact on global water supply, agricultural yields, marine ecosystems and the spread of vector-borne diseases. In recent years Kenya has experienced recurrent floods, which have caused widespread crop damage and livestock losses, and prolonged frequent droughts. Both phenomena are manifestations of a changing climate; both have significant social, economic and ecological implications, and both contribute to food insecurity, especially in the ASALs.

<sup>2</sup> IGAD Drought Disaster Resilience and Sustainability Initiative (IDDRSI) in the Horn of Africa

<sup>3</sup> The paper was tabled at Cabinet on 11th October 2012 and approved.

<sup>4</sup> Republic of Kenya (2012) Post Disaster Needs Assessment, 2008-2011

<sup>5</sup> *Ibid*

Between 1975 and 2011 there were at least ten serious droughts, three of them in the last seven years (2005-6, 2008-9 and 2010-11). The number of people affected by repeated drought emergencies appears to be rising. According to the inter-agency Kenya Food Security Steering Group (KFSSG) an estimated 4.5 million people were affected in 2011, 3.8 million in arid and semi-arid lands (ASALs) and 700,000 in non-ASAL areas. The extent to which the rise in these numbers is attributable to the deepening vulnerability of drought-affected populations, or to the growing severity of drought conditions, is a subject of debate.

Droughts are a national concern and affect the whole of Kenya, either directly or indirectly. As well as their direct impacts on the economy, they affect the linkages between different sub-economies, ecologies and communities. For example, there may be structural problems of over-production in one area which could compensate for under-production in another if infrastructure were improved. Similarly, poor management of water towers has extensive downstream consequences, while drought stress can exacerbate conflict over natural resources between neighbouring social groups.

However, the direct impacts of drought are most severe in the ASALs. Although average annual rainfall in some arid counties can be as low as 150 mm, the challenge is not so much the quantity of rainfall as its distribution, and the lack of measures to store and manage it effectively. More fundamentally, drought emergencies are a product of deeper vulnerabilities affecting people's livelihoods and well-being, the nature of which differs across the ASALs.

## **1.2 Sustainable livelihoods in the ASALs**

More than 80 per cent of Kenyan land is arid or semi-arid and has great and varied potential, for example in livestock production, tourism and cultural diversity, irrigated agriculture, mining and mineral extraction, solar and wind energy, rangeland plants and crops, and seasonal water run-off that is currently not being harnessed. However, little of this potential is currently realised, while any revenue that is generated does not necessarily benefit the region; only a small proportion of the earnings from tourism reaches local communities, for example.

The ASALs are also characterised by harsh climatic conditions coupled with diminishing, and in places degraded, natural resources, water stress, and poorly coordinated management of water resources between the many actors involved. Competition over pasture and water can lead to conflict, which curtails pastoral mobility, undermines the productivity of pastoral and agro-pastoral livelihoods and makes chronic food insecurity more acute. Resource-based conflict also occurs between people and wildlife: 90 per cent of wildlife in Kenya is found in the ASALs, with 75 per cent residing outside the designated national reserves and game parks.

The defining feature of the ASALs is aridity, which ranges from 150-550 mm per year in arid areas to 550-850 mm in semi-arid. Certain parts of the ASALs have the lowest development indicators and the highest incidence of poverty in Kenya; poverty levels of more than 60 per cent for the general population are not unusual, and can be as high as 90 per cent. Livelihoods are undermined by unfavourable market conditions, inadequate infrastructure, limited access to services such as animal health, and a poorly developed financial sector. However, there are now opportunities within the financial sector to expand credit services and rural SACCOs, to promote financial literacy, and to use the taxation system to attract investment and expand the business sector.

The most vulnerable people in the ASALs have been dependent on relief assistance from the World Food Programme and the Government of Kenya for several decades. The government recognises that emergency food aid is needed to save lives in times of crisis. However, the focus will now be on building community resilience for sustainability, and improving the enabling environment in order to attract

investment and promote sustainable growth and development. Moreover, humanitarian assistance, when required, can be provided in ways that support the local economy, for example by substituting food with cash vouchers channelled through financial institutions.

Cash transfer safety net programmes are proving to be an important vehicle for addressing chronic vulnerability. By providing regular and predictable payments, particularly with the additional safeguard of electronic transfer, they protect some of the poorest households. The registration and payments infrastructure also provides a means to scale up in response to shocks, and to facilitate a wider range of investments that improve agricultural productivity, livelihood diversification, and outcomes in health, nutrition and education.

Land in arid and pastoral counties is predominantly managed on a communal basis, which facilitates nomadic pastoralism. However, this mode of production is threatened by factors such as land fragmentation, population pressure, urbanisation, large flagship projects, and encroachment into the ecosystem by invasive species such as *Ipomea* and *Mathenge* (*Prosopis juliflora*). Charcoal burning, quarrying, firewood cutting and sand harvesting degrade the environment still further.

In semi-arid counties, the viability of marginal agriculture is being progressively weakened by population growth, land pressure, and an over-dependence on rain-fed production and on crop and pasture varieties which are poorly adapted to drought conditions. Crop production is largely at subsistence levels. Little of the value of agricultural production is captured locally because there are few processing or marketing facilities. In arid counties, and some semi-arid counties, drought resilience is undermined by lack of adequate investment in the basic enablers or foundations of development which weakens adaptive capacity.

Most production systems in Kenya enjoy some level of state support in areas such as research, market access and services. However, despite contributing 12 per cent to national GDP,<sup>6</sup> and despite its important role in natural resource management and environmental stewardship, pastoralism, the dominant production system in the arid lands, receives little public subsidy; instead, it is currently inappropriately subsidised by repeated injections of emergency aid. Inadequate investment in the enabling environment for development has several consequences: it undermines the resilience of communities and production systems, it deters private sector investment, it leaves the significant potential of the ASALs untapped, and it results in major inequalities in human development. The three most critical foundations for drought resilience are security, infrastructure and human capital.

### 1.3 Peace and human security

- a. Drought and conflict are mutually reinforcing. The scarcity of water and pasture experienced during drought periods, and the inter-communal competition over natural resources that results, whether within the pastoral system, between pastoralists and farmers, or between people and wildlife, increases insecurity within Kenya and across its borders. These stresses are overlaid on other drivers of conflict, such as the subdivision and commercialisation of rangelands, or boundary disputes exacerbated by competitive politics or the discovery of new resources (such as oil in the Kerio Valley). At the same time, insecurity increases vulnerability to drought, by impeding migration, curtailing access to services and resources, destroying assets, and damaging inter-communal relations.
- b. The impact of conflict is exacerbated by the proliferation of small arms and their easy movement across borders. At least 500,000 weapons are thought to be in illegal hands.<sup>7</sup> Poorly harmonised

<sup>6</sup> Behnke, R. and Muthami, D. (2011) *The Contribution of Livestock to the Kenyan Economy*, FAO / IGAD

<sup>7</sup> Estimate by the Ministry of Internal Security

disarmament policies between governments in the region, and weak surveillance and control of long and porous borders, prevent successful management of this issue.

- c. Kenya has a well-established peace building and conflict management architecture, with a National Steering Committee (NSC), Provincial-level Committees, and close to 200 District Peace Committees (DPCs). The NSC has been hampered by inadequate government funding, while the DPCs lack capacity and resources and are not present in all areas that need them; nor are there comparable cross-border structures in place. Community peace agreements are not enforced, and the response to security emergencies is often late, inadequate and counter-productive.
- d. However, this architecture is being strengthened. Approval of the Peace Building and Conflict Management Policy will ensure a more appropriate level of funding. County Peace Secretariats, and County Conflict Early Warning and Response Centres, are being established to advise each Governor on peace issues, to take preventive action, and to act as a hub for information sharing. A National Action Plan is being developed to implement Kenya's contribution to CEWARN's recently endorsed strategy for 2012-19,<sup>8</sup> which extends jurisdiction of the CEWARN mechanism beyond the current cross-border conflict clusters to the country as a whole. At the continental level the African Union Policy Framework for Pastoralism provides a platform for Kenya to institutionalise a process to secure, protect and improve the lives, livelihoods and rights of pastoralist communities, for which peace is fundamental. The National Drought Management Authority (NDMA) will maintain its support to the NSC given the close links between conflict and drought vulnerability.

#### 1.4 Climate-proofed infrastructure

Kenya Vision 2030 states that Kenya will be a country that is firmly interconnected, where no part will any longer be called remote. This statement is highly significant for the north, where people consistently rank infrastructure as among their top three priorities. Poor infrastructure increases vulnerability to drought by reducing access to markets and basic services and by deterring the investment needed to expand and diversify the economy. Better infrastructure is key to opening up the region but it must be climate-proofed. This requires that current and future climate risks are factored into its design and implementation, given the cost, significance and anticipated life-span of infrastructure investments.

**There are four priority sectors.**

**Transport (particularly roads):** An area covering nearly 400,000 km<sup>2</sup> of land has less than 1,000km of tarmac, much of which is in disrepair. Key arterial routes linking Kenya to international markets in Ethiopia, South Sudan and Somalia are poorly maintained and periodically closed by flooding or other damage; feeder roads are few. There are several airstrips; Wajir airport is being upgraded and Isiolo airport is under construction. There is no rail network – a situation which will not change until the railway component of the LAPSET corridor is implemented – and no inland ports and waterways. The significance of the road infrastructure for drought resilience can be seen in the impact of the completed stretch of 136 km between Isiolo and Merille River, which is already expanding and stabilising markets.

**Energy:** The energy potential of the ASALs from both renewable and non-renewable sources is only starting to be tapped. Just one arid county, Isiolo, is served by the national grid. Population growth and urbanisation are increasing energy demand, with consequences to the environment; demand will increase still further as investment and the manufacturing sector expand. The work of the Rural Electrification Programme has had a positive impact: by June 2011 it had installed solar PV systems in 476 schools and health centres in the ASALs, with funds to connect a further 380 facilities by June 2013.<sup>9</sup>

<sup>8</sup> CEWARN – IGAD's Conflict Early Warning mechanism

<sup>9</sup> Republic of Kenya (2012) Vision 2030 Development Strategy for Northern Kenya and other Arid Lands

**Water and sanitation:** In 1992, renewable fresh water per capita in Kenya was 647 cubic meters. This is projected to fall to 235 cubic meters by 2025 if water resources are not properly harnessed.<sup>10</sup>Water quality remains a major issue in the utilisation of water. The condition of underground water has not been fully assessed. Sanitation infrastructure in most arid counties is absent or inadequate; installation of a modern sewage system in Wajir town is underway.

The region's large rivers could support the irrigated production of crops and fodder. However, the lessons from the many failed irrigation schemes of the past are yet to be learned. Moreover, the viability of large-scale irrigation requires prior and complementary investments in access roads, education and financial services. Catchment management is poor and water harvesting inadequate. Land reclamation activities are underway in seven ASAL counties (Turkana, Garissa, Baringo, West Pokot, Laikipia, Isiolo and Kitui), where 35,000 hectares have been reclaimed for agricultural production.

**ICTs:** Access to ICTs is comparatively poor, although the infrastructure for the fibre optic cable has now reached several locations in the north (Lokichoggio, Lodwar, Marsabit, Moyale, Mandera, Wajir, and Garissa). For the most part the region remains reliant on expensive satellite communication systems. Mobile telephone operators are expanding their networks but coverage is still limited to the major towns. ICT development will support the education, political participation and market integration of ASAL communities.

## 1.5 Human capital development

Educated and healthy people can draw on greater reserves of capital to withstand shocks such as drought. For example, families with children in employment are far less likely to suffer significant drought stress, while episodes of ill-health are the single biggest cause of people falling into poverty.<sup>11</sup>Sudden or prolonged sickness can trigger a downward spiral into destitution and loss.<sup>12</sup>However, many ASAL communities face major challenges in accessing basic education and primary health care due to complex structural and social reasons. As a result, the disparities in education and health outcomes between arid counties in particular and the rest of Kenya are so acute that major investment will be required if the Constitutional obligations under the Bill of Rights are to be met.

**Education:** In some arid counties female literacy is less than 10 per cent, against a national average of 69 per cent.<sup>13</sup> While primary net enrolment in North Eastern Province (NEP) has more than doubled since 2000, in 2009 it was still only 35.5%, against a national average of 92.9%. Estimates of Kenya's out-of-school children vary. Different sources range from 1.1 to 1.9 million children; close to 50 per cent of these are accounted for by just 12 ASAL counties.<sup>14</sup>Even urban-based children in arid counties are out of school, either because poverty forces them into work, or because of concerns about the quality and relevance of the education system.

Girls are further disadvantaged by cultural norms of early marriage and male preference: just 10 per cent of the girls in Wajir who enrolled in Standard 1 in 2003 were still in school by Standard 8 in 2010.<sup>15</sup> People with disabilities and minority groups also face obstacles in accessing education. Educational, research and technical training institutions across the arid lands are few and under-staffed in comparison with population size and need. Moreover, the education system has relied until recently almost solely on a system of fixed schools even for nomadic populations.<sup>16</sup> The creation of the National Council on Nomadic

<sup>10</sup> Republic of Kenya (1992) National Water Master Plan

<sup>11</sup> Narayan/Petes (2007) Moving out of Poverty, World Bank

<sup>12</sup> Ursula Grant (2005) Health and Poverty Linkages: Perspectives of the Chronically Poor, CPRC

<sup>13</sup> Republic of Kenya (2007) Kenya Integrated Household Budget Survey, 2005-06

<sup>14</sup> Current EMIS figures are less than one million, but are not based on the 2009 census figures. Watkins, K. and Alemayehu, W. (2012) Financing for a Fairer, More Prosperous Kenya: A Review of the Public Spending Challenges and options for Selected Arid and Semi-Arid Counties, Brookings Institution Working Paper 6.

<sup>15</sup> Ibid

<sup>16</sup> The Ministry of Education now operates 87 mobile schools.



Education in Kenya (NACONEK), and the approval of a Policy Framework for Nomadic Education, open the way to more responsive service delivery models, such as mobile and distance learning.

Health and nutrition: As well as Kenyans' individual rights to basic services as stipulated in the Constitution, the country needs a healthy and productive labour force to achieve the Millennium Development Goals (MDGs) and the goals of Vision 2030. This means that children born today must be properly nourished and cared for. Sadly, many children are affected by growth faltering (poor growth) and iodine, iron and Vitamin A deficiencies. Several factors contribute to the poor nutrition situation in Kenya, including inadequate investment in food production and nutrition, climate-related stress, rising food prices, changing lifestyles, and poverty. A child in an arid county is more than twice as likely as the average Kenyan child to live in a household that has insufficient income to cover their basic nutritional requirements.<sup>17</sup> The result is that among under-fives in North Eastern Province, acute malnutrition is estimated at 19.5 per cent, stunting at 17.7 per cent and underweight prevalence at 24.5 per cent.<sup>18</sup> There is an important link between nutrition and education. Children affected by malnutrition in their early years are less likely to enter school at an appropriate age and to reach secondary school. There is also evidence that malnutrition in early childhood can affect cognitive development.<sup>19</sup> Micronutrient deficiencies in Kenya are widespread: nationally, Vitamin A deficiency is 76 per cent and iron deficiency anaemia among children is 73 per cent.<sup>20</sup>

A significant proportion of people in arid areas have limited access to quality health care. Staffing levels in the region are 50 per cent below WHO recommended staffing norms. The current vacancy rate in 10 counties of Northern Kenya, assessed against establishment figures, is 79 per cent.<sup>21</sup> Child mortality rates are high, at 80 per cent per 1000 live births in NEP.<sup>22</sup> Maternal mortality rates are also high at 488 per 100,000 live births respectively (nationally).<sup>23</sup> Only 17 per cent of children in NEP are delivered in health facilities and 31.6 per cent receive delivery assistance from skilled personnel. Less than half (48.3 per cent) of children in arid counties receive all their recommended vaccinations, against an average of 77 per cent for Kenya as a whole.<sup>24</sup> People's health and nutritional status is further affected by a scarcity of potable water, which compromises sanitation and hygiene, and by chronic food insecurity.

## 1.6 Gender and social analysis

Drought vulnerability is also influenced by social systems and by cultural values and practices, since these determine access to, ownership of, and control over resources and the benefits accruing from those resources. In pastoral communities, as in other parts of the country, the roles, responsibilities and activities of women and men are distinct yet inter-dependent. Men dominate the public sphere, in areas of leadership, decision-making and politics. Women and men may have equal access to productive resources (such as land, water, livestock and wildlife), but control over these resources, and their benefits, is vested in men.

For example, in most ethnic groups, women, girls and boys receive livestock in the form of gifts and inheritance, but men control the same. Women own and control the benefits from animal products (such as milk, ghee, hides and skins), but decisions over land, water and other natural resources are taken by men, whether in male-dominated councils of elders or in group ranches. There is a tension between men's dominance over resources and women's roles as custodians of family welfare, which can have a major impact on food security.

<sup>17</sup> UNICEF (2011) *Northern Kenya Social Policy Data Summary*

<sup>18</sup> Republic of Kenya (2010) *Kenya Demographic and Health Survey, 2008-09*

<sup>19</sup> Watkins and Alemayehu, 2012

<sup>20</sup> Ministry of Health (1999) *National Micronutrient Survey*

<sup>21</sup> Capacity Kenya (2012) *Human Resources for Health (HRH) Assessment of Northern Kenya*

<sup>22</sup> Republic of Kenya (2010) *Kenya Demographic and Health Survey, 2008-09*

<sup>23</sup> *Ibid*

<sup>24</sup> UNICEF (2011) *Northern Kenya Social Policy Data Summary*

Women's subordinate position in society disadvantages them further, for example from participating in development decision-making. Rarely do women or youth occupy management positions in institutions such as water committees, for example, unless an external agency requires this. They also have limited access to information, education and training; female literacy in some arid counties is less than 10 per cent. Certain customary practices and beliefs also damage women's welfare and well-being, including early marriage, wife inheritance, property inheritance, and female genital mutilation.

Many young people are also vulnerable and voiceless. There are few jobs for those who complete the school system, but also little possibility of returning to pastoralism from which the education system has distanced them. Some provide labour to herd-owning families while others seek a living within the informal sector in towns, where the lack of opportunity in education and employment destroys their chances of fulfilling their potential. With few economic options, lack of political power, and limited experience and confidence in the public sphere, women and young people are greatly disadvantaged.

### **1.7 Drought preparedness and response**

Despite recent improvements to early warning and contingency planning systems, drought management in Kenya has continued to take a reactive, crisis management approach rather than an anticipatory and preventive risk management approach. Late response leads to an over-reliance on emergency food aid, which may deepen dependency, disrupt socio-economic activities and undermine existing marketing systems, thereby weakening rather than strengthening resilience. Failure to act promptly and appropriately on early warning information, coupled with a lack of contingency finance, contributes significantly to drought emergencies.

A contingency planning system is in place but still lacks set-aside contingency finance. Contingency plans are submitted through the District (County) Steering Groups but often without the evidence-based scenarios that are likely to convince partners to fund them. Another concern is the weak link between emergency operations and interventions designed to support recovery and development.

### **1.8 Coordination**

Drought management is a cross-cutting issue that requires collaborative action by a range of public and private sector agencies at national, county and community levels. There are many actors implementing and coordinating drought management initiatives, resulting in duplication, confusion, lack of synergy, and poor accountability. This degree of complexity calls for policy, institutional and legal frameworks capable of aligning initiatives to the government's development plans and harmonising approaches and strategies in different areas.

The existing government coordination structures include the Kenya Food Security Meeting (KFSSM) and the Kenya Food Security Steering Group (KFSSG) at the national level, and the district/county steering groups at the county level (DSG/CSG). Under the former Arid Lands Resource Management Project (ALRMP) these structures operated informally; they relied on the goodwill of individual players, which made it difficult to enforce resolutions. This situation will change now that the National Drought Management Authority has been established with a statutory underpinning to coordinate and harmonise multi-stakeholder responses to drought.

A new institutional framework for the coordination of ASAL development has recently been put in place with the passing of Sessional Paper No. 8 of 2012 on the National Policy for the Sustainable Development of Northern Kenya and other Arid Lands (discussed in section 4).

### 3 EMERGING ISSUES AND CHALLENGES

**ASAL livelihoods and lifestyles are changing in several ways.** Drought management strategies and investments must therefore respond to these trends.

- **Urbanisation:** Rapid population growth, caused by both higher fertility and in-migration, is increasing the proportion of the settled population and consequently the demand for jobs. In-migration from non-ASAL areas is a particular feature of the southern rangelands, given their proximity to Nairobi, and of development 'hot-spots', such as Isiolo. Those who leave the pastoral system as a result of drought or conflict, and who may be unable or unwilling to return to a pastoral way of life, also swell the settled population. The pastoral system can anyway absorb only a finite number of people, since its productivity depends on an appropriate balance between population and environment. Urban livelihoods are largely based on the informal sector, which is dominated by women. As well as the main centres, unviable settlements are mushrooming, driven by political competition, and threatening both the environment and the viability of pastoralism. The number of settlements in Wajir, for example, increased from 4 in 1940, to 45 in 1996, to 71 in 2002 – an increase of more than 50 per cent in five years.<sup>25</sup>
- **Pastoral transformation:** The pastoral system itself is changing, as it always has. Processes of commercialisation and individualisation are widening the gap between wealthier and poorer households. In some places paid wage labour is starting to replace the labour previously provided by family members. Pastoralism is also changing as a result of processes affecting the rangelands, including the disruption of traditional seasonal transhumance patterns, the expansion of community conservancies, the unchecked influx of people and livestock, and the spread of invasive species. Both the movement of livestock (through their interaction with wildlife) and their concentration contribute to the spread of livestock disease, which disease control systems are not strong enough to contain.
- **New investment,** for example in roads and marketing infrastructure, is beginning to drive an expansion of private sector activity in the region. There is considerable potential for investment in processing and marketing facilities, for both livestock and horticultural products, which would retain value addition within the region. LAPSET, and its associated investments (such as the Isiolo resort city), is a major new development with significant implications for the region. It will create new economic opportunities and strengthen integration, but if not well designed and managed it will also generate significant risks, such as displacement and barriers to mobility and natural resources. The new-found wealth from oil and other natural resources also brings both benefits and risks.

All these trends present both challenges and opportunities. The challenges include job creation and skill development for the growing urban population, particularly for young people; appropriate forms of social protection for the most vulnerable, whether they remain within the pastoral system or pursue a life in town; and new social dynamics which can, for example, increase the risk from factors such as HIV/AIDS, and which also change gender roles. Women often experience greater cultural and economic independence in urban settings, which may be both liberating and oppressing in equal measure. The opportunities presented by these trends include new sources of income and increased demand for services that are tailored to the particular needs of the region (such as *sharia*-compliant or livestock-focused services);<sup>26</sup> the potential for proper town planning and development; and easier service provision to settled populations. A noteworthy recent development is that both government and non-state actors are making much greater use of cash in their programmes, reflecting the growing strength of local markets and integration of rural and town-based economies.

<sup>25</sup> Walker, R. and Omar, H. (2002) *Pastoralists Under Pressure: The Politics of Sedentarisation and Marginalisation in Wajir District, Northeast Kenya*, Oxfam GB  
<sup>26</sup> One example is the Community Owned Finance Initiative (COFI) launched in 2012, the first *sharia*-compliant SACCO in Kenya.

**Reinforcement of pastoralism.** Livelihoods are clearly evolving, but pastoralism remains the dominant production system in the ASALs and underpins its regional economy. In some counties it provides employment and food security to more than 70 per cent of households. It also makes an important contribution to natural resource management and sound stewardship of the natural environment. There have always been strong social and economic ties between mobile and settled populations; these are being cemented still further as the diversification of urban livelihoods is tending to focus on value addition within the livestock sector. However, pastoralism has never been afforded the policy and institutional support which will allow it to flourish to the full, despite evidence of pastoralists' adaptability both to climate variability and emerging economic opportunities. The African Union's Policy Framework for Pastoralism in Africa requires domesticating in the Kenyan context and measures taken to support mobility, a key drought management strategy.<sup>27</sup> Further, the harmonisation of policies that facilitate cross-border mobility in the Horn of Africa region will enhance climate resilience, peace and stability, and intra-regional trade. This process should be guided by a mapping of cross-border migration patterns.

**New architecture for peace.** Insecurity in the ASALs, both domestically and cross-border, remains a fundamental barrier to resilience. The new national peace structures, including the National Peace Council and its Secretariat,<sup>28</sup> the County Peace Secretariats and the County Conflict Early Warning and Response Centres, as well as the draft Policy on Small Arms and Light Weapons, once approved, present a major opportunity to improve peace and security within Kenya, to strengthen the management of cross-border risks and relationships (such as the illegitimate movement of small arms and the legitimate movement of livestock for trade and food security), and to harmonise policies and approaches across the region. The formal establishment of these structures through a Legal Notice is imminent.

**New ASAL-focused institutions, networks and forums, including the National Drought Management Authority.** With the gazetting of the NDMA in November 2011, Kenya now has a permanent and specialised institution tasked with providing leadership on drought management, coordinating the work of all stakeholders implementing drought risk management activities, and ensuring delivery of the EDE strategy. The capacity of the NDMA will be strengthened to deliver this mandate.

A variety of other institutions have recently been established to focus on the distinct challenges and opportunities of the ASALs. As well as the peace structures mentioned above, the National Council on Nomadic Education in Kenya (NACONEK), established under the Basic Education Act of 2012, will lead implementation of the Policy Framework for Nomadic Education in Kenya. The Livestock Marketing Board, gazetted in 2011, will facilitate livestock marketing both domestically and in the region/internationally. The ASAL Secretariat is a permanent and specialised institution that will champion and coordinate development in the ASALs so that their distinct challenges and opportunities are appropriately and equitably addressed in national policy, programming and resource allocation.

There are several hundred civil society organisations working in the ASALs on a range of issues, which could make a significant contribution to the EDE through their technical expertise and reach on the ground. However, their work is often poorly coordinated, with little harmonisation or synergy within the sector. The ASAL institutional framework provides forums for both state and non-state actors to plan, execute, monitor and coordinate interventions more effectively in the ASALs. The ASAL Stakeholder Forum, for example, held its inaugural meeting in July 2012 and provides a platform at both national and county levels for dialogue between government, UN agencies, development partners, NGOs, the private sector and ASAL citizens. Other regional and national forums have recently been created that bring partners and donors together. These include the ASAL Alliance,<sup>29</sup> the Kenya Humanitarian Forum, the Agriculture

<sup>27</sup> African Union (2010) *Policy Framework for Pastoralism in Africa: Securing, Protecting and Improving the Lives, Livelihoods and Rights of Pastoralist Communities*, Department of Rural Economy and Agriculture.

<sup>28</sup> Currently the National Steering Committee on Peace Building and Conflict Management

<sup>29</sup> A group of international NGOs working in the ASALs with an interest in resilience

and Rural Development Forum and UNISDR, among others. While these networks expand opportunities for learning and exchange, they also complicate the task of overall coordination.

**Financing for drought management.** There are several different but related challenges. First, the most appropriate time to invest in resilience is when conditions are good; however, substantial finance is generally triggered by crisis rather than by normality. Second, the allocation of budgets, whether from government or its development partners, remains weighted towards either emergency response or conventional livelihoods activities; financing for the critical foundations (such as roads, education and peace), which will ensure that the other activities achieve their maximum impact, is comparatively lower. Third, decision-making on early warning information is slow and cumbersome, such that funds are released too late in the drought cycle to mitigate its impacts. Fourth, the significant resources which government does make available<sup>30</sup> are channelled through each sector's normal financing and procurement channels, which are not nimble enough to support timely action. In order to address these challenges, the government is establishing the National Drought Contingency Fund (NDCF). This will allow the pooling of resources from different donors, both state and non-state, and ensure a more timely, harmonised and efficient response to emerging drought conditions.

**Information for drought response.** Investment decisions must be guided by a serious and trusted drought information system, linked to the contingency financing mechanism, which all actors draw on to guide their response. This information system should provide accurate warning as droughts evolve and use evidence-based triggers to prompt appropriate and timely response at different stages of the drought cycle. Kenya has had a drought early warning system for more than two decades. This system is now being reviewed to improve its technical quality, to accommodate the new focus on resilience and drought risk management, to address the emerging challenges of climate change, and to exploit the potential offered by new technologies and information-sharing mechanisms.

**Social protection.** Plans are underway to bring together five major cash transfer programmes in Kenya within a National Safety Net Programme (NSNP), thus progressively harmonising the government's response.<sup>31</sup> One of these five programmes is the Hunger Safety Net Programme which targets the most vulnerable households in four arid counties and which will in future be implemented under the oversight of the NDMA. The NDMA is already exploring the complementarities of social protection, climate change adaptation, and drought risk reduction (for example, the use of cash transfers through the HSNP infrastructure to mitigate drought stress). The HSNP is also conducting a comprehensive registration of households in its four counties of operation which will be accessible to the county governments and other actors in order to guide programmes other than social protection, including the scale-up of cash transfers during drought periods.

**Regional and global linkages.** Drought is a regional phenomenon and requires collaborative action to facilitate and promote cross-border mobility, trade and security. The IGAD EDE initiative is starting to provide this kind of inter-governmental coordination, although it is not yet adequately embedded in national processes, and its regional component is insufficiently driven by national priorities. At the global level, critical weaknesses continue to be the delay in the flow of adaptation finance to developing countries and the inability of international financing systems to respond, to any substantial degree, in the absence of crisis. Global trends such as food and fuel prices also have a major impact on drought vulnerability, as witnessed in 2011.

<sup>30</sup> More than Kshs. 10bn in 2011, for example

<sup>31</sup> The five programmes are the Cash Transfer for Orphans and Vulnerable Children, the Hunger Safety Net Programme, the Older Persons Cash Transfer, Persons with Severe Disability and the Urban Food Subsidy Programme

## 4 PROGRAMMES AND PROJECTS FOR 2013/14 – 2017/18

Programmes and projects to build drought resilience and end drought emergencies fall into two categories: those which will be implemented under the leadership of the new National Drought Management Authority, and those which will be implemented through other sectors:

- The programmes and projects listed in section 3.1 below are consistent with, and included in, the relevant sector plans. They are presented here partly for emphasis, partly to demonstrate how the sectors will contribute to drought resilience and the achievement of EDE, and partly to provide in one document a comprehensive portfolio of resilience-related activities.
- The programmes and projects listed in section 3.2 are those which fall under the NDMA's mandate and which are not otherwise being implemented by the sectors.

The programmes and projects outlined in this section are consistent with the Strategic Response Areas (SRAs) used in the IGAD framework. In Kenya, SRAs 2 and 6 fall under the mandate of the National Drought Management Authority and are therefore combined in a single matrix which is in line with the NDMA's strategic plan.

### 4. Projects to be implemented through the sectors

**4.1.1 Peace and human security (SRA 1):** implemented by the National Steering Committee in the Ministry of State for Provincial Administration and Internal Security and by County Governments, supported by the NDMA.

#### Flagship projects for 2013-2017

- **Establish a forensic laboratory** conforming to international standards.<sup>32</sup>
- **Adopt and implement the Draft Policy on Small Arms and Light Weapons.**

#### Other interventions

- **Strengthen peace and security infrastructure in the counties and across both internal and international borders**, and by engaging all relevant actors, including traditional institutions, through the following activities:
  - Establish and operationalise 23 County Peace Secretariats and 23 County Early Warning and Response Hubs to support drought and conflict mitigation, as envisaged under the National Peace Building and Conflict Management Policy.
  - Establish a rapid response / contingency fund to narrow the gap between early warning of conflict and response.
  - Implement peace dividend projects in 23 conflict-prone ASAL counties.
  - Build the presence and capacity of the police service and law enforcement agencies (LEAS) to provide security in ASAL counties, deter inter-clan conflict, and build trust between LEAS and the public.
  - Strengthen the monitoring capacity of the response apparatus in ASALs through increased

<sup>32</sup> Sector flagship, to increase the successful prosecution of cases



deployment & training and the provision of better equipment.

- Strengthen border management capacity at Liboi, Hulugo, Moyale, Mandera, El Wak, Kotulo, Wajir Bor and Lokichoggio, including training of LEAS and installation of modern screening equipment.
- Mainstream conflict-sensitive programming (CSP) in development planning.
- Operationalise the alternative dispute resolution (ADR) framework in the Constitution of Kenya 2010.

#### 4.1.2 Infrastructure (SRA 2): implemented by various MDAs

##### Flagship projects for 2013-2017

- **Construct, upgrade or rehabilitate 2,209km of priority roads** to enhance connectivity and market access (KeNHA).<sup>33</sup>
- **Construct 20 solar-powered ICT centres** (Maarifa Centres) (Ministry i/c ICT).
- **Expand mobile phone coverage** by fully operationalising the Universal Fund (CCK).
- **Harness the region's energy potential** through the construction of various wind farms (Ministry i/c Energy).<sup>34</sup>
- **Construct and/or rehabilitate nine water supply systems** and ensure quality management of water systems in well-established permanent settlements in the arid region (Ministry i/c Water).
- **Construct nine waste water treatment plants and nine solid waste management projects** in well-established permanent settlements in the arid region (Ministry i/c Water and Sanitation).
- **Map groundwater** in Turkana and Marsabit (underway), Isiolo (by WRMA),<sup>35</sup> and four other counties selected on the basis of their water stress indices, to assess groundwater potential and its distribution and guide the sustainable development of this resource.
- **Construct multi-purpose dams:** one large and 11 medium-sized.<sup>36</sup>

#### 4.1.3 Education (SRA 3): implemented by the Ministry in charge of Education

##### Flagship projects for 2013-2017

- **Establish and operationalise the National Council on Nomadic Education in Kenya (NACONEK).** The Council is provided for within the Basic Education Act, 2012. It will be established through a Gazette Notice, and once operationalised will implement the Policy Framework on Nomadic Education which was launched in 2010. NACONEK's objective is to promote access, retention and quality education for all nomadic communities. Its operationalisation is key to realising MDG and Education for All (EFA) goals.<sup>37</sup>
- **Recruit and deploy more teachers to schools in arid and pastoral counties.**<sup>38</sup> The sector will

<sup>33</sup> These roads are: Kitale-Marich Pass-Nadapal (534km), Malindi-Bura-Madogo (331km), Rumuruti-Maralal (120km), Modogashe-Wajir-Elwak (346km), Isiolo-Garbatulla-Modogashe (195km), Garissa-Daadab-Liboi (209km), Marsabit-North Horr-Loiyangalani (274km), Nginyang-Lokori-Lokichar (200km).

<sup>34</sup> These are in Marsabit, Isiolo, Turkana, Wajir, Mandera, Ngong Hills & Lamu.

<sup>35</sup> This mapping is connected with the development of Isiolo resort city, and scenarios for the availability of water under climate change.

<sup>36</sup> The final number of dams will be determined by a technical assessment of the topography and environmental conditions of the county and therefore the appropriateness of dam construction.

<sup>37</sup> Many of the interventions listed in this section are included in the implementation plan to operationalise the Policy Framework on Nomadic Education.

<sup>38</sup> The phrase 'arid and pastoral counties' is used to refer to counties where educational indicators are significantly below the national average, and where educational access, equity and quality is made more complex by factors such as mobility, distance, cultural attitudes and poor infrastructure. These are Turkana,

recruit more teachers to address the acute shortage and improve the pupil/teacher ratio at primary level to the desirable ratio of 1:25, and at secondary to 1:40.

- **Establish one computer laboratory in each ASAL primary school.** The sector will mainstream information technology in schools in order to achieve Vision 2030's goal of anchoring economic development on solid foundations to drive economic development. A computer laboratory will be established in each primary school in the ASALs in order to equip students with modern ICT skills.
- **Construct/rehabilitate 50 low-cost boarding schools (LCBS) in arid and pastoral counties.** The sector will construct, rehabilitate and equip a total of 50 low-cost boarding primary schools in 14 arid and pastoral counties to improve access to quality basic education and address the challenges of distance and mobility. This will increase enrolment rates and ensure equity in education. The programme will supplement the national infrastructure programme under the National Education Sector Support Programme (NESSP).

#### **Other interventions**

- **Construct 15 feeder schools** in each of 14 arid and pastoral counties, to reduce distance to school, rationalise the use of resources and improve completion and transition rates.
- **Equip 600 low-cost boarding schools** in 14 arid and pastoral counties, to improve access to quality basic education and improve school retention rates.
- **Designate and upgrade three Teacher Training Colleges as Centres for Nomadic Education,** to provide teachers in nomadic communities with tailor-made professional support.
- **Improve 100 existing mobile schools** with logistics and teaching equipment.
- **Develop a costed implementation strategy for the adult literacy policy framework** to guide its implementation in 14 arid and pastoral counties.
- **Construct 90 alternative basic education/non-formal education secondary centres** in arid and pastoral counties to provide secondary education opportunities for adult learners.
- **Construct and equip 70 Community Learning Resource Centres (CLRCs)** in arid and pastoral counties to increase enrolment, literacy and numeracy of adults.
- **Establish one vocational training centre in 14 arid and pastoral counties** so that both school leavers and school drop-outs can acquire vocational skills, helping them access a wider range of livelihood possibilities or pursue pathways to other educational opportunities.
- **Maintain scholarship places for girls' education in arid and pastoral counties,** administered through the Northern Kenya Education Trust, to improve access, equity and retention of girls in schools and tertiary institutions.
- **Construct and equip one middle-level college in 6 ASAL counties,** to increase skilled manpower for the region, and **increase the bursary allocation for learners in middle-level technical colleges** in the ASALs in order to improve retention, completion and transition rates.

#### **4.1.4 Health (SRA 4): implemented by the Ministry in charge of Health**

##### **Flagship projects for 2013 – 2017**

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*West Pokot, Baringo (East), Samburu, Isiolo, Marsabit, Mandera, Wajir, Garissa, Tana River, Lamu, Kajiado, Narok and Laikipia.*



### **Construction of facilities and provision of equipment:**

- **Refurbish and strengthen County KEMSA depots to ensure that no health facilities experience stock-out of essential medicines and medical supplies (EMMS).** KEMSA will also be strengthened to procure and distribute supplementary and therapeutic feeds that comply with food safety standards.
- **Equip all health facilities with basic medical equipment.** Basic medical equipment are essential for diagnostic and treatment services, hence the need to assess and equip all health facilities on the basis of need.
- **Build institutional capacity of Levels 2 and 3 (dispensaries and health centres) to provide functional referral services.** Poor infrastructure, long distances to health facilities and lack of transport continue to hamper the provision of timely and quality health care in the ASALs. In order to improve the referral system and ultimately avert deaths, the provision of ambulances and skilled personnel in Levels 2 and 3 is necessary.
- **Construct/rehabilitate food storage facilities that are secure and comply with food safety requirements.** The high caseloads of severe and moderate acute malnutrition in ASAL areas require the implementation of supplementary and therapeutic feeding programmes. In turn these require the pre-positioning of the necessary nutrition commodities which were not initially planned for by the health facilities. This programme must be properly planned and implemented on a sustained basis given the challenges posed by climatic conditions, poor infrastructure and pipeline breaks.
- **Strengthen outreach and mobile clinics among nomadic communities.** Provision of health care services among pastoral communities is challenging given their remoteness and mobility. To enhance health care delivery in remote and hard-to-reach areas, outreach and mobile clinics will be supported with logistics, health personnel and drugs.
- **Provide housing for health workers in rural facilities.** Most rural health facilities lack basic shelter for the health staff deployed. The provision of housing is a form of motivation and will enhance the provision of timely and quality health care.
- **Scale up of the High Impact Nutrition Intervention (HINI) in ASAL counties.** Following the launch of the Scale up Nutrition (SUN) movement and the National Nutrition Action Plan, 2012, the capacities of ASAL counties will be enhanced to develop and operationalise specific county action plans. Among other things, these plans will explore the link between nutrition and learning outcomes, as well as strategies to scale up HINI components in response to shocks.
- **Establish & expand innovative information technologies to strengthen health information systems and coordination mechanisms in ASAL counties.** This will strengthen health and nutrition referral systems and improve patient care, e.g. through remote diagnosis.
- **Enhance direct transfer of the Health Sector Services Fund (HSSF) to all health facilities in the ASALs** to support operational and maintenance costs. The aim is to improve the delivery of quality essential services in an equitable and efficient manner.
- **Roll out implementation of the Community Health Strategy and ensure that community health workers are adequately remunerated.** The goal of the strategy is to build the capacity of households to demand for services from health providers by understanding their rights to equitable and quality health care.
- **Develop and implement a motivational package to address retention challenges in arid and**

**pastoral counties** in line with the Second National Human Resources for Health Strategic Plan, so that the counties can recruit and deploy health and nutrition personnel in sufficient numbers.

#### **Other intervention**

- Support students, especially girls, from arid and pastoral counties to enter public health training institutions.<sup>39</sup>

**4.1.5 Livelihoods (SRA 5):** implemented by various MDAs and non-state actors. Many of the activities implemented by the NDMA and its partners under section 3.2 will also strengthen dryland livelihoods.

#### **Flagship projects for 2013-2017**

- **Complete and fully operationalise the abattoirs in Isiolo, Wajir and Lokichoggio**, with a clear management model in place for each, in partnership with the private sector.
- **Produce/preserve 3000 hectares of fodder** in Turkana, Marsabit and Garissa counties, i.e. in the Karamoja, Moyale and Somali peace clusters.
- **Increase the production and marketing of drought-tolerant crops in semi-arid areas**, by promoting dryland crop production technologies such as conservation tillage, appropriate drought-tolerant and early maturing seeds, and fertility management.
- **Establish and operationalise the Northern Kenya Investment Fund (NKIF)**, to expand entrepreneurship and employment opportunities in the region. Job creation is a priority for the growing urban populations in the ASALs, whose well-being is significantly affected by factors such as food and fuel prices. The Fund is in the final stages of design and will be a private sector facility, supported by the ASAL Secretariat, that facilitates investment with both social and economic returns.
- **Establish a national livestock insurance scheme** and introduce an acceptable livestock evaluation system that would make it easier for pastoralists to access credit.

#### **Other interventions**

##### ***Livestock:***

- **Operationalise the New Livestock Marketing Board**, including development of a strategic plan, in order to give strategic direction to livestock marketing in Kenya and strengthen the legal and policy framework. The strategic plan will emphasise the role of the private sector and be informed by the lessons learned in this area, by both government and non-governmental agencies.
- **Reclaim & rehabilitate livestock holding grounds** in Isiolo, Samburu & Kajiado and ensure that these are appropriately developed and managed, in collaboration with the private sector.
- **Develop & expand livestock markets** by constructing / expanding those in 12 locations.
- **Establish and/or rehabilitate meat processing factories** in Wajir, Isiolo & Turkana.
- **Strengthen disease control & surveillance systems** along stock routes & trade markets.
- **Improve range rehabilitation**, fodder production and conservation.
- **Take measures to support adaptation to climate change**, including up-scaling of livestock

<sup>39</sup> The budget for this is under the education sector.

insurance, operationalisation of the Livestock Enterprise Fund, and subsidised livestock inputs.

- **Promote apiculture** and emerging livestock.

#### ***Agriculture, fisheries and other livelihoods:***

- **Promote conservation agriculture** in semi-arid areas.
- **Expand agricultural market access, marketing, value addition & agro-processing**, especially in semi-arid counties.
- **Support fish farming** along Lake Turkana, Turkwel Gorge, Tana River and Lamu, through support to fishing cooperatives, producer organisations and beach management units.
- **Promote ecotourism, apiculture, gums & resins and aloe vera production** and protect indigenous technical knowledge.

#### ***Environment, water and sanitation:***

- **Monitor dryland conditions**, including wildlife & livestock populations and their distribution and other ecological parameters.
- **Develop land use / land cover assessments** for spatial planning.
- **Support climate change adaptation & mitigation**, for example through the green economy road map and the introduction of CDM schemes.
- **Implement catchment management strategies**, and expand rainwater harvesting and the construction of sand dams, to increase the availability of sustainable water resources.
- **Implement the trans-boundary waters policy and management strategy**, to ensure effective management of shared water resources.
- **Expand water harvesting for irrigation**, in areas where it is environmentally and socially appropriate to do so.
- **Strengthen forest management and income from tree-growing in drylands**, through participatory forest management and the promotion of commercial growing of appropriate species.

## **4.2 Projects implemented under the leadership of the NDMA (SRA 2 & 5)**

### **Flagship projects for 2013-2017**

- **The National Drought Contingency Fund:** the NDCF will be a multi-donor basket fund that allows contributions from both the government and its development partners and has the capacity to disburse funds to drought-affected areas in a flexible, effective and efficient way. It will thus reduce the response and turn-around period. The Fund will ensure that implementation of contingency plans is fast-tracked to respond to emerging drought stress. The contingency planning process will also be computerised.
- **Integrated Drought Early Warning System:** this will integrate the different early warning systems used by various stakeholders and, through state-of-the-art technologies, provide accurate drought early warning information to all actors and provide triggers for response.

- **Integrated knowledge management system.** This will be a platform where data, information and learning on drought and ending drought emergencies will be collected, collated and disseminated (involving, for example, websites, databases on actors and beneficiaries, MIS, stakeholder mapping, contingency fund tracking system, and so on).
- **Hunger Safety Net Programme:** the NDMA will take on institutional responsibility for implementation of the HSNP. It will also work with the county governments to develop registration databases, building on the comprehensive registration carried out by the HSNP in Mandera, Wajir, Marsabit and Turkana. As part of its responsibilities under the National Safety Net Programme, the NDMA will oversee the scale-up of social protection programmes such as HSNP within the national drought management system, as well as the use of other social protection measures (such as vouchers) to protect the most food insecure and vulnerable households.

### Other interventions

- **Reduce drought vulnerability and enhance adaptation to climate change.**

The NDMA will mainstream drought risk reduction (DRR), climate change adaptation (CCA), social protection (SP) and EDE in planning and budgeting processes at all levels, and invest in strategic activities that reduce drought risks, enhance drought preparedness and strengthen adaptation to climate change. It will also implement strategic DRR projects, carry out DRR campaigns, mobilise resources for the EDE, and facilitate and invest in local adaptation plans.

- **Provide drought and climate information to facilitate concerted action by relevant stakeholders.**

The NDMA will strengthen, manage and operate the national drought early warning system, and strengthen the sharing and use of climate information at county and community levels. This will include the roll-out of an enhanced early warning system and the regular production of county drought bulletins. The NDMA will also consolidate process and disseminate all drought-related information from multiple sources, and coordinate and participate in national and county food security assessments.

- **Protect the livelihoods of vulnerable households during drought.**

The major lesson of drought risk management in Kenya and elsewhere is that early warning systems are of little benefit unless there is also the capability and will for early reaction. Specific activities can be carried out at different stages of the drought cycle which, in combination with the preparations made by communities, can significantly reduce the impact of drought. A key tool in this regard will be the NDCF.

The NDMA will facilitate systems of drought contingency planning and financing in response to drought risk, and invest in strategic activities that strengthen preparedness and response. It will promote early mitigation efforts that reduce the time that elapses between the warning of drought stress and the start of response. Drought mitigation activities will take a livelihoods perspective and be well-linked with long-term development strategies. Regular impact assessments will evaluate the extent to which the loss of assets by households during drought crises is being reduced.

- **Ensure coordinated action by government and other stakeholders.**

The NDMA will strengthen the coordination and linkages of long-term investment in resilience through the EDE framework. It will review and institutionalise the coordination mechanisms for implementing the EDE MTP, and work with relevant parts of government and other stakeholders to align their programmes and projects to the EDE framework. The NDMA will also coordinate the planning, design

and implementation of drought preparedness, mitigation, emergency response and recovery activities, and work closely with the climate change institutional framework, contributing its particular expertise in drought-related climate risks.

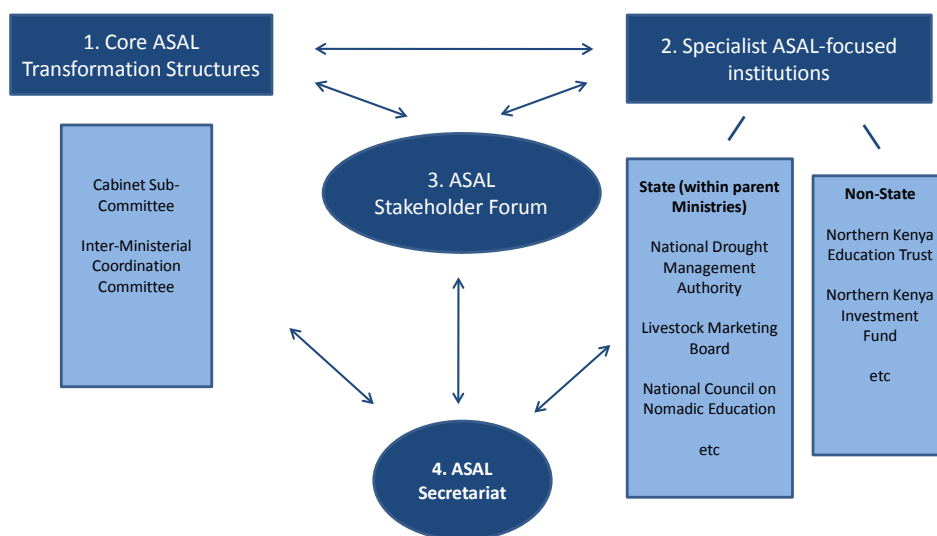
- **Develop and apply knowledge management approaches that generate evidence for decision-making and practice.**
- The NDMA will ensure that effective monitoring, evaluation, reporting and citizen-feedback processes guide drought actions, and that these actions are also in line with shared standards and guidelines across the sector. It will work with stakeholders to document, disseminate and promote best practices in drought risk reduction, climate change adaptation and social protection, and ensure adherence to agreed standards, guidelines and procedures in drought risk management.
- The NDMA will use knowledge and evidence to undertake and support legal, institutional and policy reforms, which will include enactment of the NDMA Bill, the development of a policy and legal framework that domesticates the African Union Policy Framework on Pastoralism in Africa, and support for the operations of the ASAL Stakeholder Forum. It will also identify, commission, supervise and execute relevant research and facilitate the uptake of new approaches and technologies.

## 5 POLICY, LEGAL AND INSTITUTIONAL REFORMS

While it is recognised that drought is a national concern, and that its impacts extend beyond the ASALs, the National Policy for the Sustainable Development of Northern Kenya and other Arid Lands (the ‘ASAL Policy’) provides for the institutional arrangements which will ensure effective management of drought risks in Kenya – principally the National Drought Management Authority and the National Drought and Disaster Contingency Fund, supported by the ASAL Secretariat.<sup>40</sup> The NDMA and its contingency fund are also provided for within the NDMA Bill, currently before Parliament.

The ASAL Policy was passed by Cabinet in October 2012 and its Sessional Paper approved by Parliament in December 2012. It reinforces Constitutional provisions on inequality and marginalisation, emphasises the region’s contribution to national development, and commits the government to adopt flexible approaches to service delivery and governance in pastoralist areas. It also establishes an institutional framework for multi-sectoral and multi-stakeholder ASAL development (Figure 1).

**Figure 1: Institutional framework for ASAL development**



The ASAL institutional framework has four components:

- i) **Cabinet oversight**, through a Cabinet Sub-Committee and Inter-Ministerial Committee.
- ii) **Specialist institutions** in a range of areas relevant to the ASALs, including drought (the National Drought Management Authority), education (the National Council on Nomadic Education in Kenya), and livestock (the Livestock Marketing Board).

<sup>40</sup> Sessional Paper No. 8 of 2012

- iii) **Stakeholder engagement** through the ASAL Stakeholder Forum, whose inaugural meeting was held in July 2012.
- iv) **An ASAL Secretariat** to service these structures and ensure policy coherence and coordination of ASAL development.

The Constitution guarantees rights to several of the provisions in this document. For example, Article 53 (1b & 1c) guarantees free and compulsory basic education to all children, and equitable and affordable health & nutrition. Article 43 guarantees rights to the highest attainable standards of health, reasonable sanitation, freedom from hunger, clean and safe water, social security and education.

Other policy documents relevant to the EDE strategy include the National Disaster Management Policy (which recognizes and validates the role of communities in disaster management), the National Food and Nutrition Security Policy, the National Land Policy, the National Livestock Policy, the National Social Protection Policy, the Policy Framework on Nomadic Education in Kenya, and the Vision 2030 Development Strategy for Northern Kenya and other Arid Lands.

The following policy, legal and institutional reforms are proposed in this document.

1. **Policy and legal framework for pastoralism.** In 2010 the African Union adopted a Policy Framework for Pastoralism in Africa, which seeks to secure, protect and improve the lives, livelihoods and rights of pastoralist communities and their contribution to national economies. The ASAL Policy also commits government to recognise pastoralism, through legislation, as a legitimate form of productive land use and development on the same basis as farming, and to incorporate the value of dryland goods and services within national economic planning.<sup>41</sup> The NDMA will work with the ASAL Secretariat in leading the formulation of a policy and legal framework for pastoralism in Kenya which secures pastoral mobility and security, and which facilitates and recognises the cross-border trade and movement of livestock.
2. **Policy on Small Arms and Light Weapons (SALW).** This policy is currently in draft. Once approved and operationalised, it will reduce the proliferation of illegal weapons.
3. **NDMA Bill.** This private members' bill is currently before Parliament. Once approved it will strengthen the legal basis for the drought management architecture in Kenya. The NDMA will support its enactment.
4. **Institutional reforms:** the creation and/or full operationalisation of the ASAL Secretariat, the National Council on Nomadic Education in Kenya, the National Land Commission, and the National Drought and Disaster Contingency Fund are still pending. Once in place, these institutions will make important contributions to drought resilience in Kenya. The NDMA will coordinate effectively with all other ASAL institutions under the oversight of the ASAL coordination structures.

<sup>41</sup> *Sessional Paper No. 8 of 2012, Article 5.3.7*

## 5 MONITORING, EVALUATION AND COORDINATION

### Monitoring and evaluation framework

The EDE country programme paper commits the Government of Kenya to end drought emergencies within ten years. Underpinning this goal is an assumption that drought-prone communities will become more resilient to shocks if two conditions are met (Figure 2):

- First, that there is accelerated investment in the foundations for development – principally infrastructure, security and human capital, in line with Vision 2030. This investment will also enhance the efficiency and impact of all activities in the region that support growth and sustainable livelihoods. It is being delivered primarily through the sectors, and is represented by the programmes and projects summarised in section 3.1. These programmes are those, in the judgment of the thematic group, most likely to improve resilience.
- Second, that the institutional and financing framework for drought management is strengthened, by establishing permanent and specialist institutions, by making contingency finance available, and by improving synergy and coordination between the various state and non-state actors involved. This is the responsibility of the National Drought Management Authority and will be delivered through the actions described in section 3.2.

#### Figure 2: Assumptions underpinning EDE in Kenya

Since all the programmes and projects in sections 3.1 and 3.2 are also captured in their respective sector plans and budgets, and in the NDMA's strategic plan, they will be monitored by the institutions responsible for those plans in the normal way, and within the framework of the National Integrated Monitoring and Evaluation System (NIMES).

Over and above this, the role of the Drought and Ending Drought Emergencies (DEDE) thematic group in monitoring and evaluation is two-fold:

1. To determine whether or not the goal of ending drought emergencies within ten years has been met. This requires some definition of what is meant by 'ending drought emergencies' and the indicators to confirm that this has been achieved.
2. To monitor whether or not the sectors and the NDMA are fulfilling the commitments agreed in this MTP. This will be done by monitoring delivery of the outputs under each SRA in regular meetings of the DEDE thematic group. Progress will be captured in an annual progress report, produced in collaboration with development partners, and submitted for approval to the ASAL Inter-Ministerial Committee before subsequent submission to the Ministry of Devolution and Planning (as part of normal MTP reporting processes).

A monitoring and evaluation framework is in development (Annex 1), which uses a logframe-type structure and contains:

- A purpose statement (i.e. of ending drought emergencies within ten years), against which the government will be held accountable.
- Five outcome statements.
- The agreed outputs that the sectors and the NDMA will deliver. The sectors and the NDMA are responsible for delivering these outputs and reporting on the same to the DEDE coordination structures.



- At purpose and outcome level there are indicators to show progress towards the ten-year goal, both at 2017 (for this MTP) and at 2022.

### **Coordination**

Coordination of Kenya's Ending Drought Emergencies strategy is the responsibility of the NDMA, working in partnership with all relevant sectors and supported by the ASAL Secretariat. An early task of the NDMA will be to review the existing drought coordination structures (i.e. KFSM/KFSSG and the DSGs/CSGs) from several perspectives:

- a) To bring them into line with the new structures of governance – both the devolved structures and the re-organisation of the national government after March 2013.
- b) To re-orient their focus towards resilience, since KFSM/KFSSG has conventionally been most active during periods of drought crisis. It is seen as an emergency response institution, not as one planning for long-term resilience.
- c) To take account of the various drought and disaster risk management networks and forums that have recently evolved.

The review of the coordination structures will clarify the relationship between KFSSG and the DEDE thematic group, since their membership is very similar (i.e. the NDMA, relevant line ministries, and development partners). For the time being it is proposed that KFSSG continue to meet monthly (for example, to review the monthly drought status), but that every third meeting be dedicated to monitoring progress against this MTP. The monitoring and evaluation framework in Annex 1 will be the principal reference point for these reviews.

The DEDE thematic group/KFSSG is ultimately accountable to the new ASAL Cabinet structures, through the ASAL Inter-Ministerial Coordination Committee. It will prepare an annual progress report, in accordance with MTP requirements, for submission to the Ministry of Devolution and Planning as part of national MTP reporting processes. It will also produce a shorter quarterly report for the use of all stakeholders and the ASAL ICC.

## 6 CAPACITY DEVELOPMENT AND TRANSITIONAL REQUIREMENTS

The EDE initiative is being introduced in Kenya at a time of significant change in governance and in the institutional arrangements for its delivery. Implementation of devolved governance will be lengthy and complex, particularly in arid and pastoral counties where institutional capacity is comparatively low and where the operating environment is more challenging.

The NDMA is responsible for ensuring that the drought management system is appropriately aligned with, and embedded in, the new institutions of governance. In each county it has two key responsibilities: first, to help the county structures mainstream drought risk reduction and climate adaptation into development planning and resource allocation. In this regard it will work closely with the Ministry of Devolution and Planning and build on initiatives already underway, for example under the EU-supported Kenya Rural Development Programme (KRDP), the DFID-supported climate adaptation pilot in Isiolo, and the Danida-supported Medium-Term ASAL Programme (MTAP).

The NDMA's second responsibility is to ensure effective coordination of drought management in each county that engages all stakeholders, both state and non-state. These two responsibilities will be taken forward by the NDMA's county teams, whose technical capacity is being enhanced, including through partnerships with specialist NGOs. A capacity building strategy for the NDMA as a whole will be developed.

The county governments will spearhead local development based on constitutional principles of citizen participation, transparency and accountability. The NDMA will support initiatives that formalize the role of communities, and the institutions that represent them, in development planning and disaster risk reduction. It is critical, for example, that planning in ASAL counties takes adequate account of factors such as mobility, clan dynamics, social structures, population distribution, and climate variability and climate change. The new ASAL Stakeholder Forum at county level will be a key partner in building effective citizen participation.

The NDMA is a permanent institution in government and as such is well-placed to provide continuity at a time of widespread institutional change. An important part of its work for the next two years will involve training county leaders, technical officers and civil society organisations in the new early warning and contingency planning systems and building their understanding of, and engagement with, the EDE initiative. The desired outcome is that county development plans contribute to the goals of EDE, and that county governments are able to hold the national government to account for delivery of the commitments set out in this strategy. The NDMA will support, as appropriate, needs assessments of the county governments' capacities to deliver on their development agenda.<sup>42</sup>

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42 MTAP has reviewed capacity development needs in 12 arid and pastoral counties.

## BUDGET

Table 2 is a summary budget: full details are in a separate matrix. With the exception of the Kshs. 31,877 million required for the NDMA, all other allocations are already contained with the respective sector MTPs; they do not represent 'new' money.

Table 2: Summary five-year budget <sup>43</sup>

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43 The budgets have been calculated by the relevant sectors (the NSC/Ministry of Interior and Coordination of National Government; Ministry of Transport and Infrastructure/KeNHA; Ministry of Education, Science and Technology; Ministry of Health; Ministry of Agriculture, Livestock and Fisheries; Ministry of Environment, Water & Natural Resource; and are consistent with their sector MTPs and budgets.

Priority	Budget (Kshs. m)					Total
	2013/14	2014/15	2015/16	2016/17	2017/18	
Peace and security	3,978	2,762	2,033	1,580	1,220	11,573
Infrastructure	56,989	139,343	108,864	68,320	54,025	427,541
Education	11,189	11,749	12,090	4,891	5,258	45,177
Health	13,620	12,170	11,900	11,540	11,490	60,720
Livelihoods						60,902
NDMA	7,275	5,560	8,472	7,136	6,535	34,978
<b>TOTAL</b>	<b>104,612</b>	<b>183,659</b>	<b>155,954</b>	<b>106,165</b>	<b>90,501</b>	<b>640,891</b>

**IMPLEMENTATION MATRIX**  
**PEACE AND HUMAN SECURITY**

Goal:	Peace and human security											
Strategic objectives, 2013-2018:	1. To strengthen the peace & security infrastructure at county and cross-border levels											
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>1. Establish &amp; operationalise 23 county peace secretariats</b>	To enhance the capacity of local peace structures to respond effectively to conflict	23 county peace secretariats established & operationalised; Quarterly county & cross-border peace & security forums held	No. of county peace secretariats established;	Ministry of Interior and Coordination of National Government, NSC, County Govts, NDMA	July 2013 - June 2018	GoK, DPs, IGAD-CE-WARN	76	122	44	50	50	342
<b>2. Establish &amp; operationalise 23 county early warning &amp; response hubs (EWER) to support drought &amp; conflict mitigation</b>	To ensure timely response to conflict & drought effects	23 county EWER hubs established & operational	Submission of regular reports	Ministry of Interior and Coordination of National Government, CEWERU, CE-WARN, County Govts, NDMA	July 2013 - June 2018	GoK, DPs, IGAD-CE-WARN	50	70	30	20	25	195
<b>3. Establish a rapid response contingency fund</b>	To narrow the gap between early warning of conflict and response	Rapid response contingency fund established	Time lapse between early warning & response	Ministry of Interior and Coordination of National Government, NSC, County Govts, NDMA	July 2013 - June 2018	GoK, DPs, IGAD-CE-WARN	200	200	200	200	200	1,000

Goal:		Peace and human security										
Strategic objectives, 2013-2018:		1. To strengthen the peace & security infrastructure at county and cross-border levels										
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>4. Implemented peace dividend projects in 23 ASAL counties</b>	To address the underlying causes of conflict & enhance peace levels	Peace dividend projects implemented	No. of peace dividend projects implemented; No. of conflicts reported	Ministry of Interior and Coordination of National Government ity, NSC, CE-WARN, County Gov'ts, NDMIA	July 2013 - June 2018	GoK, DPs, IGAD-CE-WARN	100	105	110	116	122	553
<b>5. Build the capacity of the police service &amp; law enforcement agencies to provide security in ASAL counties</b>	To enhance law enforcement & build trust between LEAS & the public	Trained & equipped LEAS; At least 500 vehicles & communication equipment purchased; Housing and terms & conditions of service for police service improved; Mechanism established to integrate retirees / ex-servicemen into society;	No. of vehicles & communication equipment provided; No. of houses built/ rehabilitated; No. of ex-servicemen reintegrated; No. of illicit arms collected destroyed; No. of established & well-equipped police posts;									

Goal:	Peace and human security											
Strategic objectives, 2013-2018:	1. To strengthen the peace & security infrastructure at county and cross-border levels											
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
		Periodic community-driven disarmament programmes; conducted;	No. of public campaign programmes;		July 2013 - June 2018	GoK, DPs	2,000	1,000	1,000	500	100	4,600
		Police population ratio increased from current 1:600 to the UN recommended 1:450;	No. of reformed & reintegrated warriors									
		Public awareness programmes conducted to build trust between police & communities										



Goal:		Peace and human security										
Strategic objectives, 2013-2018:		1. To strengthen the peace & security infrastructure at county and cross-border levels										
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>6. Strengthen monitoring capacity of response apparatus in ASALS through increased deployment &amp; training and provision of better equipment for efficient &amp; accountable interventions</b>	To build the capacity of forensic investigation through provision of appropriate equipment & specialized training. To enhance deployment of staff	Forensic laboratory established & operationalised; Public order fences speedily & effectively prosecuted	No. of labs established; No. of officers deployed / trained; No./level of equipment installed/ provided	Ministry of Interior and Coordination of National Government, NSC, NPS, CE-WARN, County Govts, NDMA	July 2013 - June 2018	GoK, DPs, IGAD-CE-WARN	381	191	171	154	139	1,036
			No. of cases successfully prosecuted									
			No. of well-trained & equipped officers deployed									

<b>Goal:</b>		<b>Peace and human security</b>										
<b>Strategic objectives, 2013-2018:</b>		1. To strengthen the peace & security infrastructure at county and cross-border levels										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>7. Strengthen the capacity of border management at Liboi, Huiyale, Moyale, Mandera, El Wak, Kotulo, Wajir Bor &amp; Lokichoggio</b>	To enhance border management & control of small arms, light weapons & contraband goods	Enhanced capacity of LEAS in identified border points Screening equipment installed at identified border points Influx of small arms, aliens & contraband goods reduced	No. of law enforcers trained No. of border points equipped with modern functional screening equipment No. of confiscated contraband goods & illegal arms & aliens intercepted	Ministry of Interior and Coordination of National Government, NSC, D&RP, NPS, KRA, CE-WARN, County Govts, NDMA	July 2013 - June 2018	GoK, DPs	1,000	500	200	210	200	2,110
<b>8. Mainstream conflict-sensitive programming in development planning</b>	To promote participatory approaches in project planning, implementation & monitoring	Line ministries, county officials & development actors trained on conflict-sensitive programming	No. of sensitization forums on CSP No. of CSP-compliant plans	Ministry of Interior and Coordination of National Government, NSC, MP-ND&V2030, County Govts, NDMA	July 2013 - June 2018	GoK, DPs	6	6	7	7	7	33



## INFRASTRUCTURE

Goal	Climate-proofed infrastructure																	
Strategic objectives, 2013-2018:	1.	2.	3.	4.	Expected outputs	Objectives	Projects	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL	
	To integrate the ASAL areas with other parts of the country	To enhance connectivity	To harness the energy & food potential of ASAL areas	To improve access to clean & safe water	2,209 km of roads constructed, upgraded & rehabilitated	To enhance regional connectivity & market access for ASALs	1. Construct priority roads	No. of kms constructed, upgraded & rehabilitated	Kenya National Highways Authority	July 2013 - June 2018	GoK, DPs	18,400	36,500	54,100	57,100	42,800	208,900	
					20 solar-powered ICT centres (Maarifa centres) constructed	To enhance regional connectivity	2. Develop & expand ICT infrastructure	No. of ICT centres constructed;	Ministry of ICT, CCK	July 2013 - June 2016	GoK, DPs	40	40	40	40	40	200	
						To harness energy potential of ASAL regions	3. Harness renewable & non-renewable energy	No. of masts (boosters)										
					Wind farms constructed in 7 places <sup>1</sup>	To harness energy potential of ASAL regions		No. of wind farms in 7 places constructed;	Ministry of Energy and Petroleum / PPP	July 2013 - June 2018	GoK, DPs	29,644	91,738	43,611	0	0	164,993	
								Amount of power generated from wind farms.										

<b>4. Construct, rehabilitate &amp; expand water supply infrastructure</b>	To provide adequate & safe water to all well-established permanent settlements in the region	9 water supply systems constructed and/or rehabilitated in well-established permanent settlements in the arid region	No. of water supply systems completed	Ministry Environ, Water & Natural Resources	July 2013 - June 2018	GoK, DPs	2,000	4,000	4,000	4,000	4,000	18,000
<b>5. Construct sewage &amp; solid waste disposal infrastructure</b>	To improve health & sanitation in urban areas	9 waste water treatment plants and 9 solid waste management projects constructed in arid counties	No. of plants & projects constructed	Ministry Environ, Water & Natural Resources	July 2013 - June 2018	GoK, DPs	600	600	600	600	600	3,000
<b>6. Groundwater mapping in Turkana, Marsabit, Isiolo and four other counties selected on the basis of their water stress indices</b>	To assess groundwater potential & its distribution for sustainable development of the resource	Groundwater development plans completed	Map of groundwater potential;	Ministry Environ, Water & Natural Resources, Ministry of Agric. Livestock and Fisheries & WRMA	July 2013 - June 2018	GoK, DPs	350	200	125	60	25	760
<b>7. Construct multi-purpose dams</b>	To increase water availability	One large dam & 11 medium-sized dams constructed in ASALS	No. of dams completed	Ministry Environ, Water & Natural Resources, NWCP	July 2013 - June 2018	GoK, DPs	5,975	6,245	6,368	6,500	6,600	31,688
<b>TOTAL:</b>							<b>57,009</b>	<b>139,323</b>	<b>108,844</b>	<b>68,300</b>	<b>54,065</b>	<b>427,541</b>

## HUMAN CAPITAL DEVELOPMENT: EDUCATION

### Goal:

#### Human capital development: education

- Strategic objectives, 2013-2018:**
- To ensure equitable access to education for all children in arid & pastoral areas, including the disadvantaged & vulnerable groups
  - To facilitate the integration of emerging technologies & other alternative interventions in the provision of education in nomadic areas
  - To expand the number of research & training institutions that are responsive to the needs of ASAL communities
  - To enhance basic literacy levels for communities in arid & pastoral areas
  - To increase the number of appropriately trained professionals for ASAL counties in the education sector
  - To protect & promote the rights of people with special needs in the ASALs

Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
1. Establish & operationalise the National Council on Nomadic Education in Kenya (NACONEK) to implement the Policy Framework on Nomadic Education	To facilitate implementation of the Policy Framework on Nomadic Education in order to improve access, equity & quality of basic education & training among nomadic communities	NACONEK established & operational and policy framework implemented	Kenya Gazette Notice; NACONEK board members & Secretary appointed;	Ministry of Education	July 2013 - June 2018	GoK, DPs	203	213	224	235	247	1,122
2. Construct 15 feeder schools in each of 14 arid & pastoral counties	To improve access & reduce distance to schools	Total of 1380 classrooms built	No. of classrooms built	Regional NACONEK offices established	July 2013 - June 2018	GoK, DPs	202	222	244	342	478	1,487

3. Equip 600 low-cost boarding schools in 14 arid & pastoral counties	To improve the quality of education	600 LCBS equipped	No. of LCBSs equipped	Ministry of Education	J a n 2014 - June 2016	180 GoK, DPs	189	0	0	369
4. Construct / rehabilitate 10 low-cost boarding schools in 14 arid & pastoral counties	To improve access to quality basic education	140 LCBSs constructed / rehabilitated	No. of LCBSs constructed / rehabilitated	Ministry of Education	O c t 2013 - June 2017	200 GoK, DPs	210	221	232	243 1,105
5. a. Recruit more teachers for schools in arid & pastoral counties (primary)	To improve the quality of education	Teacher/pupil ratio of 1:25 attained in all target counties	Reduction in teacher/pupil ratio	Ministry of Education	J a n 2014 - June 2018	4 GoK, DPs	5	5	5	25
b. Recruit more teachers for schools in arid & pastoral counties (secondary)		Curriculum Based Establishment of 1:40 attained in all target counties				8	9	9	10	47
6. Designate & upgrade 3 TTCs as Centres for Nomadic Education	To improve the quality of education	Centres for Nomadic Education provide tailor-made modules for teachers in nomadic communities	No. of nomadic teachers accessing professional support	Ministry of Education	J a n 2014 - June 2018	20 GoK, DPs	20	20	20	100
7. Improve 100 existing mobile schools (logistics & teaching equipment)	To improve access to education at lower primary	100 mobile schools functioning effectively	No. of mobile schools functioning effectively	Ministry of Education	J a n 2014 - June 2018	20 GoK, DPs (Kshs. 550,000 per school)	20	15	0	55

<p><b>8. Develop curriculum support materials tailored to the needs of non-medic communities</b></p>	<p>To improve the quality &amp; relevance of the curriculum</p>	<p>Curriculum support materials produced and available</p>	<p>No. of materials produced</p>	<p>Ministry of Education</p>	<p>J a n 2014 - June 2018</p>	<p>50</p>	<p>50</p>	<p>0</p>	<p>0</p>	<p>100</p>
<p><b>9. Establish one computer laboratory in each ASAL primary school</b></p>	<p>To improve computer literacy in all ASAL primary schools</p>	<p>A computer laboratory in all schools</p>	<p>No. of computer laboratories established</p>	<p>Ministry of Education &amp; partners</p>	<p>J u l y 2013 - June 2018</p>	<p>3,092</p>	<p>3,247</p>	<p>3,409</p>	<p>3,579</p>	<p>3,758</p>
<p><b>10. Construct &amp; equip one middle-level college in 6 ASAL counties</b></p>	<p>To expand access &amp; quality of education</p>	<p>One middle-level college constructed in each target county</p>	<p>No. of middle-level colleges constructed</p>	<p>Ministry of Education</p>	<p>J u l y 2013 - June 2016</p>	<p>6,800</p>	<p>7,140</p>	<p>7,497</p>	<p>0</p>	<p>21,437</p>
<p><b>11. Increase the bursary allocation for learners in middle-level technical colleges in ASALs</b></p>	<p>To improve access &amp; transition to middle-level colleges</p>	<p>Bursary allocation increased</p>	<p>No. of beneficiaries reached</p>	<p>Ministry of Education</p>	<p>J u l y 2013 - June 2018</p>	<p>6</p>	<p>6</p>	<p>6</p>	<p>7</p>	<p>30</p>
<p><b>12. Increase the bursary scholarship for girls' education in arid &amp; pastoral counties</b></p>	<p>To improve completion, transition rates &amp; equity of education for girls</p>	<p>Increase in number of female beneficiaries</p>	<p>No. of female beneficiaries</p>	<p>Ministry of Education &amp; partners</p>	<p>J u l y 2013 - June 2018</p>	<p>9</p>	<p>9</p>	<p>10</p>	<p>11</p>	<p>50</p>



<b>13. Develop a costed implementation strategy for the adult literacy policy<sup>2</sup></b>	To guide implementation of the policy framework	Costed adult literacy implementation strategy developed and endorsed	Level of progress in developing implementation strategy	Ministry of Education	J U L Y 2013 - June 2014	GoK	5	0	0	0	0	5
<b>14. Establish 500 adult &amp; continuing education ACE centres in ASALS</b>	To improve adult literacy & numeracy skills in ASALS	Number of centres established	Availability of ACEs in ASALS	Ministry of Education & partners	J U L Y 2013 - June 2018	GoK, DPs	240	252	265	278	292	1,326
<b>15. Establish one vocational training centres in 14 ASAL counties</b>	To provide vocational skills to school leavers & give dropouts an opportunity for further training	Number of centres established	Availability of vocational centres in ASALS	Ministry of Education and the Technical & Vocational Education Training Authority (TIVETA) and partners	J U L Y 2013 - June 2018	GoK, DPs	150	158	165	174	187	833
<b>TOTAL- EDUCATION</b>							<b>11,189</b>	<b>11,749</b>	<b>12,090</b>	<b>4,891</b>	<b>5,258</b>	<b>45,177</b>

## HUMAN CAPITAL DEVELOPMENT: HEALTH

Goal		Human capital development: health										
Strategic objectives, 2013-2018:		2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL					
	1. To facilitate the integration of emerging technologies & other alternative interventions in the provision of health services in nomadic areas											
	2. To increase the number of appropriately trained professionals for ASAL counties in the health sector											
	3. To provide equitable access to quality health services											
	4. To adapt community-based health systems for remote & nomadic populations											
	5. To protect & promote the rights of people with special needs in the ASALS											
	6. To promote public-private partnerships in the implementation of programmes											
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
1. All health facilities adequately stocked with EMMS	To increase availability of EMMS in all health facilities	Supply all health facilities with essential medicines and medical supplies (EMMS) on a sustainable basis	No. of health facilities experiencing no stock-outs	Ministry of Health	July 2013 - June 2018	GoK, DPs	1,000	500	500	500	500	3,000
2. All health facilities equipped	To equip health facilities with necessary equipment	Procure & distribute equipment to all health facilities in the ASALS on a needs basis	No. of health facilities equipped	Ministry of Health	July 2013 - June 2018	GoK, DPs	4,200	4,200	4,000	4,000	4,000	20,400

<b>3. All ASAL countries have functional KEMSA depots</b>	To increase access & efficiency of distribution of medical supplies to health facilities	Refurbish & strengthen KEMSA county depots	No. of counties with functional KEMSA depots	Ministry of Health	July 2013 - June 2018	GoK, DPs	600	150	150	150	150	1,200	1,350	1,350	1,400	1,350	1,350	150	1,200
<b>4. Supplementary &amp; therapeutic feeds available in health facilities</b>	To improve the supply chain management of nutrition commodities	Procure & distribute supplementary & therapeutic feeds through KEMSA	No. of health facilities experiencing no stock-outs	Ministry of Health	July 2013 - June 2018	GoK, DPs	1,200	1,350	1,350	1,350	1,350	1,200	1,350	1,350	1,400	1,350	1,350	150	6,650
<b>5. Functional referral system in place in all counties</b>	To improve referral services in ASALs	Build institutional capacity of Levels 2 & 3 health facilities	No. of counties with functional referral system	Ministry of Health	July 2013 - June 2018	GoK, DPs	2,800	2,600	2,600	2,600	2,600	2,800	2,600	2,600	2,600	2,300	2,300	2,300	12,600
<b>6. Construct / rehabilitate food storage facilities</b>	To increase the security & safety of food commodities	All health facilities have a food storage facility complying with food safety requirements	No. of health facilities with a food storage facility	Ministry of Health	July 2013 - June 2018	GoK, DPs	135	100	100	100	100	135	100	100	100	100	100	100	535
<b>7. Functional outreach &amp; mobile clinics in arid counties</b>	To increase access to health care services	Strengthen outreach & mobile clinics among nomadic communities	No. of outreach & mobile clinics available	Ministry of Health	July 2013 - June 2018	GoK, DPs	200	150	150	150	150	200	150	150	150	150	150	50	500
<b>8. All health facilities have adequate housing facilities</b>	To motivate & retain health workers	Provide housing for health workers in rural facilities	No. of health facilities with adequate housing facilities	Ministry of Health	July 2013 - June 2018	GoK, DPs	165	130	130	130	130	165	130	130	130	130	130	100	595

<p><b>9. HINI implemented in 80% of health facilities in all ASAL counties</b></p>	<p>To increase uptake of nutrition services;</p>	<p>Scale up the High Impact Nutrition Intervention (HINI) in ASAL counties;</p>	<p>No. of health facilities implementing HINI package</p>	<p>Ministry of Health;</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs;</p>	<p>120</p>	<p>140</p>	<p>150</p>	<p>140</p>	<p>140</p>	<p>690</p>
<p><b>10. Community Health Strategy implemented in all ASAL counties</b></p>	<p>To increase the number of community health units</p>	<p>Roll out implementation of the Community Health Strategy &amp; ensure that community workers are adequately remunerated</p>	<p>No. of community health units established</p>	<p>Ministry of Health</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs</p>	<p>900</p>	<p>650</p>	<p>650</p>	<p>650</p>	<p>650</p>	<p>3,500</p>
<p><b>11. Health facilities supported by the Health Sector Services Fund</b></p>	<p>To support operational &amp; maintenance costs of health facilities</p>	<p>Enhance direct transfer of the Health Sector Services Fund to all health facilities in the ASALs</p>	<p>No. of facilities receiving financial support from HSSF</p>	<p>Ministry of Health</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs</p>	<p>1,200</p>	<p>1,000</p>	<p>1,000</p>	<p>1,000</p>	<p>1,000</p>	<p>5,200</p>

<b>12. Motivation package to address retention challenges in arid &amp; pastoral counties developed &amp; applied;</b>	To address retention challenges in arid & pastoral counties	Develop & implement a motivational package to address retention challenges in arid & pastoral counties	No. of officers benefiting from the retention package; No. of positions vacant	Ministry of Health	July 2013 - June 2018	GoK, DPs	500	500	500	500	500	2,500
<b>13. Health Information Systems &amp; coordination mechanisms in place in ASAL counties</b>	To improve the quality of health services by strengthening referral systems & improving patient care, for example through remote diagnosis	Decision-making informed by quality, timely data	Timeliness of data informing decision-making	Ministry of Health	July 2013 - June 2018	GoK, DPs	600	700	700	700	650	3,350
<b>TOTAL: HEALTH</b>							<b>13,620</b>	<b>12,170</b>	<b>11,900</b>	<b>11,540</b>	<b>11,490</b>	<b>60,720</b>

## SUSTAINABLE LIVELIHOODS

Goal:		Sustainable livelihoods										
Strategic objectives, 2013-2018:		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>LIVESTOCK</b>												
1. Live-stock Marketing Board operationalised	To promote livestock marketing both nationally & internationally	Strategic direction for livestock production & marketing	Board created and operationalised	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018	GoK	105	110	116	122	129	582
2. Live-stock holding grounds rehabilitated <sup>3</sup>	To improve the quality of livestock products for better market access	Livestock holding grounds repossessed by GoK; Livestock holding grounds rehabilitated & well-equipped;	No. of holding grounds repossessed & registered; No. of functional holding grounds;	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018		513	521	544	73	76	1,727
		Livestock sale yards constructed	No. of sale yards constructed									

<b>Goal:</b>		<b>Sustainable livelihoods</b>										
<b>Strategic objectives, 2013-2018:</b>		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>3. Livestock markets constructed / expanded in 12 countries<sup>4</sup></b>	To enhance regional & cross-border trade	12 fully functional & accessible markets constructed / expanded	No. of operational markets	Ministry of Agric, Livestock and Fisheries and Ministry of EAC Affairs, Commerce and Tourism	July 2013 - June 2018		2,942	2,815	2,887	2,964	3,038	<b>14,646</b>

<b>Goal:</b>		<b>Sustainable livelihoods</b>										
<b>Strategic objectives, 2013-2018:</b>		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame of funds</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>4. Functional abattoirs in Wajir, Isiolo &amp; Turkana operational with management model in place for each, in partnership with private sector</b>	To enhance livestock production, marketing & value addition of products  To improve production & marketing of meat products & enhance cross-border trade	Functional abattoirs in the target counties;  Management model developed for each abattoir in partnership with the private sector  Meat processing factories established / rehabilitated	No. of functional abattoirs;  No of meat processing factories established within the abattoirs  No. of functional meat processing factories;  Volume of meat products processed	Ministry of Agric, Livestock and Fisheries		GoK, PPP	450	750	1,100	1,200	1,000	4,500



<b>Goal:</b>		<b>Sustainable livelihoods</b>										
<b>Strategic objectives, 2013-2018:</b>		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>5. Disease control &amp; surveillance system along stock routes &amp; trade markets</b>	To control disease outbreaks and enhance cross-border / international trade;  To build the capacity of CBAHWs & paravets for mobile pastoralists	Livestock disease surveillance programmes in place;  Capacity of x no. CBAHWs & paravets in all ASAL countries increased	% reduction in incidence of livestock diseases;  No. of CBAHWs & paravets trained	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018		1,115	1,660	2,592	2,719	2,835	10,921

Goal:		Sustainable livelihoods										
Strategic objectives, 2013-2018:		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
6. Range rehabilitation, fodder production & conservation	To ensure continuous availability of livestock feed	Land reclaimed from <i>prosopis</i> ; Pastures re-seeded with improved seeds; Pastures harvested & conserved; Storage structures for fodder constructed; County grazing management committees institutionalise	Ha of land reclaimed from <i>prosopis</i> Area under improved pasture; Amount of fodder harvested & conserved; No. of storage structures constructed; No. of functional county grazing management committees	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018		499	548	576	605	635	2,863

<b>Goal:</b>		<b>Sustainable livelihoods</b>										
<b>Strategic objectives, 2013-2018:</b>		<b>1. To strengthen livelihood adaptive capacities and improve community resilience to drought</b>										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>7. Adaptation to climate change</b>	To address the effects of climate change	Weather-based livestock insurance up-scaled;	No. of farmers buying insurance;	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018		590	591	593	595	597	<b>2,966</b>
		Livestock Enterprise Fund operationalised;	Level of disbursement from Fund;									
		Livestock breeds characterized	No. of breeds characterized;									
		Subsidised livestock input accessed	No. of farmers accessing subsidised quality livestock inputs									

Sustainable livelihoods												
1. To strengthen livelihood adaptive capacities and improve community resilience to drought												
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
8. Set up multi-plication / bulking centres for apiculture and emerging live-stock	To exploit the potential of bee-keeping & emerging livestock	Farmers trained in bee-keeping & equipment purchased; Emerging live-stock introduced	No. of farmers trained; No. of trainings conducted; Amount of equipment purchased No. of bulking / multiplications centres established	Ministry of Agric, Livestock and Fisheries, KWS	14	14	14	14	18	14	14	74
<b>TOTAL: LIVESTOCK</b>												
<b>AGRICULTURE, FISHERIES &amp; OTHER LIVELIHOODS</b>												
1. Conservation agriculture	To increase agricultural productivity in semi-arid areas through adoption of appropriate technologies	Farmers in semi-arid areas trained in conservation agriculture; Area under conservation agriculture increased	No. of farmers trained / No. of trainings conducted; Size of area under conservation	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018	MoA	362	379	386	389	401	1,917

Goal:		Sustainable livelihoods										
Strategic objectives, 2013-2018:		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>2. Production &amp; marketing of drought-tolerant &amp; early-maturing crops</b>	To promote production & marketing of drought-tolerant crops in semi-arid areas	Production of drought-tolerant & early-maturing crops increased;	Area under improved drought tolerant crops increased;	NG/CG (GoK / PPP)	July 2013 - June 2018	MoA	100	100	100	100	100	500
<b>3. Agricultural product development &amp; marketing systems enhanced</b>	To enhance Agricultural market access, marketing, value addition & agro-processing	Producers & market associations formed & linked to markets;	No. of producer & market associations formed;	Ministry of Agric, Livestock and Fisheries, Ministry of EAC Affairs, Commerce and Tourism, KIRDI	July 2013 - June 2018	GoK, PPP	50	50	50	50	50	250
		New local & foreign market opportunities created;	No. of market opportunities created;									
		Value chains developed	No. of new products developed;									
		Agro-processing technology increased	No. of agro-processing technologies adopted									

**Goal:****Sustainable livelihoods**

1. To strengthen livelihood adaptive capacities and improve community resilience to drought

**Strategic objectives, 2013-2018:**

Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>4. Support fish farming along Lake Turkana &amp; Turkwel Gorge, River Tana, &amp; Lamu</b>	To improve community food security & income through fish farming & marketing	Lake Turkana Fishermen Cooperative Society factory at Kalokol rehabilitated & operationalised; Local fishing producer organisations & groups functional; Knowledge & capacity of Beach Management Units & fishermen societies improved	Factory functional; increased quantity of fish landings; No. of operational organisations & groups functional; No. of BMUs & societies trained & functional	Ministry of Agric, Livestock and Fisheries	July 2013 - June 2018		1,466	990	0	0	0	2,456

**Goal:****Sustainable livelihoods****Strategic objectives, 2013-2018:**

1. To strengthen livelihood adaptive capacities and improve community resilience to drought

Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>5. Promote ecotourism, gums &amp; resins &amp; aloe production as alternative sources of livelihoods</b>	To increase alternative sources of income and protect indigenous technical knowledge (ITK)	Increased benefit to communities from wildlife; Production, consumption & marketing of honey, gums & resins and aloe vera in ASALS increased	Policy & legal framework in place Quantity of products produced & sold No. of households adopting ITK skills	Ministry of EAC Affairs, Commerce and Tourism	July 2013 - June 2018	560	560	560	560	560	560	<b>2,800</b>
<b>6. Establish &amp; operationalise the Northern Kenya Investment Fund (NKIF)</b>	To promote entrepreneurship and employment in the region by facilitating private sector investment	Northern Kenya Investment Fund operational	No. of businesses benefiting from the Fund	ASAL Secretariat	July 2013 - June 2015	2	2	0	0	0	0	<b>4</b>
<b>TOTAL: AGRICULTURE, FISHERIES &amp; OTHER LIVELIHOODS</b>							<b>2,540</b>	<b>2,081</b>	<b>1,096</b>	<b>1,099</b>	<b>1,111</b>	<b>7,927</b>

**ENVIRONMENT, WATER & SANITATION**

**Goal:**

**Sustainable livelihoods**

**Strategic objectives, 2013-2018:** 1. To strengthen livelihood adaptive capacities and improve community resilience to drought

Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>1. Monitoring &amp; assessment of dry-lands</b>	To provide data on wildlife & livestock populations & their spatial/temporal distribution together with ecological parameters (vegetation types, surface water, agricultural activities & settlements)	Wildlife & livestock population statistics, trends & spatial distribution provided	Availability & accessibility of wildlife & livestock population statistics, & spatial distribution	Ministry of Environ, Water and Natural Resources, DRSRS	July 2013 - June 2018	GoK	30	31	33	35	37	166
<b>2. Land use / land cover assessment</b>	To promote rational & sustainable resource use; to improve economic gains, ecological services & good conservation practices	Land use / land cover developed for spatial plans, including the five water towers; Land degradation, vegetation cover & urban areas mapped	No. of land use / land cover assessments completed; No. of reports published; No. of data users;	Ministry of Environ, Water and Natural Resources, DRSRS, KFS	July 2013 - June 2018	GoK	34	35	37	37	19	162



**Goal:**

**Sustainable livelihoods**

**Strategic objectives, 2013-2018:** 1. To strengthen livelihood adaptive capacities and improve community resilience to drought

Projects	Objectives	Expected outputs	Output indicators	Implementing agency	Time frame	Source of funds	2013/14	2014/15	2015/16	2016/17	2017/18	TOTAL
<b>3. Climate change adaptation &amp; mitigation</b>	To develop climate adaptation projects;	Climate change adaptation projects developed;	No. of projects developed;	Ministry of Environment, Water and Natural Resources;	July 2013 - June 2018	GoK, DPs	115	105	75	57	57	409
	To develop a road map for a green economy & emerging initiatives in order to promote the transition to a low-carbon, climate-resilient development pathway	National Green Economy Strategy developed; CDM schemes established	Resource efficiency factors for key sectors identified & operationalised; Participation in other climate change-related programmes (e.g. REDD+)									
<b>4. Water Catchment Management strategies</b>	To mitigate the effects of climate change	Water availability for multiple uses increased	No. of sub-catchment management plans established	Natural Resources, CCS, KFS	July 2013 - June 2018	GoK, DPs	50	50	50	50	50	250
	To increase availability of sustainable water resources through effective management & protection of water sources	Water availability for multiple uses increased	No. of sub-catchment management plans established	Ministry of Environment, Water and Natural Resources, WMFA	July 2013 - June 2018	GoK, DPs	50	50	50	50	50	250

<b>Goal:</b>		<b>Sustainable livelihoods</b>										
<b>Strategic objectives, 2013-2018:</b>		1. To strengthen livelihood adaptive capacities and improve community resilience to drought										
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
<b>5. Alternative sources of water</b>	To increase availability of sustainable water resources in rural & ASAL areas through rainwater harvesting & construction of sand dams	Reliable water availability in rural & ASAL areas	No. of rainwater structures & sand dams constructed	Ministry of Environ, Water and Natural Resources, WRMA	July 2013 - June 2018	GoK, DPs	70	70	70	70	70	<b>350</b>
<b>6. Transboundary water management strategy</b>	To ensure sustainable management & development of shared water resources	Shared water resources jointly managed & developed	Transboundary waters policy & implementation strategy in place; No. of cooperative frameworks & development plans for shared water resources in place	Ministry of Environ, Water and Natural Resources	July 2013 - June 2018	GoK, DPs	10	10	8	8	5	<b>41</b>

<b>Sustainable livelihoods</b>												
<b>Goal:</b>												
<b>Strategic objectives, 2013-2018:</b>												
<b>Projects</b>	<b>Objectives</b>	<b>Expected outputs</b>	<b>Output indicators</b>	<b>Implementing agency</b>	<b>Time frame</b>	<b>Source of funds</b>	<b>2013/14</b>	<b>2014/15</b>	<b>2015/16</b>	<b>2016/17</b>	<b>2017/18</b>	<b>TOTAL</b>
1. To strengthen livelihood adaptive capacities and improve community resilience to drought												
<b>7. Irrigation projects</b>	To construct five water harvesting & storage dams at Perkerra, Kerio, & Korairrigation schemes	Water supply for irrigation sustained	Water storage capacity of 134m cubic metres	NIB	July 2013 - June 2018	GoK	1,084	1,084	1,500	1,750	1,000	<b>6,418</b>
	To develop Rahole Canal & Garissa irrigation scheme	5,000 hectares of land irrigated; 54km canal	Amount of productive land under irrigation				600	800	500	500	500	<b>2,900</b>
<b>8. Dryland forestry</b>	To promote commercial tree growing on dryland farms	Area under commercial tree growing increased	No. of hectares	KFS	July 2013 - June 2018	GoK, DPs, PPP	300	300	300	300	300	<b>1,500</b>
<b>9. Participatory forest management</b>	To involve stakeholders & communities in joint forest management	Forest establishment & management improved	No. of hectares	KFS, PPP, community	July 2013 - June 2018	GoK, community, PPP	500	500	500	500	500	<b>2,500</b>
<b>TOTAL: ENVIRONMENT, WATER &amp; SANITATION</b>							<b>2,793</b>	<b>2,985</b>	<b>3,073</b>	<b>3,307</b>	<b>2,538</b>	<b>14,696</b>
<b>TOTAL: LIVELIHOODS</b>							<b>11,561</b>	<b>12,075</b>	<b>12,595</b>	<b>12,698</b>	<b>11,973</b>	<b>60,902</b>



<b>2. Develop guidelines that help implement DRR/CCA/SP-related activities</b>	Document & disseminate best practices in DRR, CCA & SP and develop national standards, guidelines and procedures	National standards, guidelines and procedures developed and adopted; Best practices documented and disseminated	Level of use; No. of reports	NDMA	July 2013 - June 2018	GoK, DPs	32	22	13	13	14	94
<b>3. Identify organizations / institutions dealing with DRR, CCA and SP and establish collaboration agreements</b>	To ensure coordinated action by government and other stakeholders	Inventory of organizations/institutions taken; Collaborative agreements developed	Institutions database; No of agreements developed & implemented	NDMA	July 2013 - June 2018	GoK, DPs	3	4	4	4	4	19
<b>4. Develop county information database</b>	To develop a registry to target beneficiary households	Registry developed in the target countries	No. of counties with registry developed	NDMA, DFID, WB, WFP, Ministry of Labour, Social Security and Services	July 2013 - June 2018	GoK, DPs	5	4	2	2	2	15

<p><b>5. Support the implementation of safety net programmes that are on-budget and scaled up as part of the national disaster risk management system</b></p>	<p>To protect &amp; support chronically insecure households</p>	<ul style="list-style-type: none"> <li>Households safeguarded from drought and hunger</li> </ul>	<p>Level of GoK funding for HSNP in MTEF; System for scale up of transfers during drought periods in place</p>	<p>NDMA, DFID, WB, WFP</p>	<p>July 2013 - June 2018</p>	<p>GoK, DFID, AusAID, WFP, WB</p>	<p>3,226</p>	<p>3,684</p>	<p>4,154</p>	<p>4,496</p>	<p>4,600</p>	<p><b>20,160</b></p>
<p><b>6. Invest in strategic activities that reduce drought risks, enhance drought preparedness and strengthen adaptation to climate change</b></p>	<p>To promote drought preparedness at community level</p>	<p>Projects implemented to reduce drought risks and its impact on households</p>	<ul style="list-style-type: none"> <li>No. of projects</li> <li>Type of projects</li> </ul>	<p>NDMA, line ministries, NGOs, CBOs</p>	<p>July 2013 - June 2018</p>	<p>KRDP, KDPR, WFP, UNDP</p>	<p>60</p>	<p>90</p>	<p>100</p>	<p>120</p>	<p>125</p>	<p><b>495</b></p>

7. Carry out drought risk reduction and adaptation to climate change campaigns	To enhance awareness of DRR and CCA at all levels	Campaigns carried out in 23 highly vulnerable to drought	No. of campaigns carried out	NDMA	July 2013 - June 2018	GoK, DPs	46	23	3,906	4,350	4,711	4,823	21,265	114
<b>TOTAL: PROMOTION OF DRR, CCA, SP</b>														
<b>Programme 2: To provide drought and climate information to facilitate concerted actions by relevant stakeholders</b>														
<b>1. Establish food security and drought information platform</b>	To develop an integrated food security information system and a harmonised data gathering & processing mechanism;	Open-access database of food security information in place	Platform established	NDMA, UN agencies, line ministries, INGOs, NGOs, CBOs	July 2013 - June 2018	GoK, DPs	7	8	1	1	1	1	18	
<b>2. Develop a national integrated early warning system</b>	To ensure that early warning information is objective & relevant to provide early response	Methodology for improved early warning data collection & analysis developed	Revised EWS developed & rolled out in 23 counties highly vulnerable to drought	NDMA, ILRI, WFP, FAO	July 2013 - June 2018	GoK, DPs	34	29	3	0	3		69	
<b>3. Produce county drought early warning bulletins</b>	To provide drought-related information for decision-making	Monthly bulletins produced in 23 counties	No. of bulletins published & disseminated	NDMA, county governments	July 2013 - June 2018	GoK, DPs	70	73	75	78	80		376	

<p><b>4. Carry out county &amp; national food security assessments</b></p>	<p>To assess the food security situation in drought-affected areas</p>	<p>4 national assessments annually, and 4 assessments annually in 23 counties highly vulnerable to drought</p>	<p>No. of assessments conducted</p>	<p>NDMA, WFP, FAO, line ministries</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs</p>	<p>19</p>	<p>20</p>	<p>21</p>	<p>22</p>	<p>23</p>	<p>105</p>
<p><b>5. Develop a communication strategy</b></p>	<p>Enhance corporate image and communication</p>	<p>Different communication channels identified &amp; used</p>	<p>Communication strategy developed</p>	<p>NDMA, county governments</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs</p>	<p>2</p>	<p>0</p>	<p>0</p>	<p>0</p>	<p>0</p>	<p>2</p>
<p><b>6. Develop drought vulnerability baseline and review baseline information for livelihoods</b></p>	<p>To provide indicators for assessment of drought impacts</p>	<p>One database and vulnerability maps developed;</p>	<p>Baseline data; Updated database</p>	<p>NDMA, ECHO, FAO, WFP</p>	<p>July 2013 - June 2015</p>	<p>GoK, KRDP-EU</p>	<p>11</p>	<p>9</p>	<p>0</p>	<p>0</p>	<p>0</p>	<p>20</p>



<p><b>7. Identify, commission, supervise and execute relevant research</b></p>	<p>To promote appropriate technologies for drylands; To strengthen innovation in dryland food security &amp; development</p>	<p>Appropriate technologies identified; GIS-based mapping &amp; inventory of resources (incl. migratory corridors) completed; Database of research developed &amp; results disseminated</p>	<p>No. of research initiatives supported</p>	<p>NDMA, ASAL Secretariat, KARI, KEFRI, public universities</p>	<p>July 2013 - June 2018</p>	<p>56</p>	<p>60</p>	<p>60</p>	<p>61</p>	<p>67</p>	<p>304</p>
<p><b>8. Undertake and support legal, institutional &amp; policy reforms across the sectors</b></p>	<p>Develop a policy &amp; legal framework for pastoralism which domesticates the AU Framework;</p>	<p>Policy &amp; legal framework for pastoralism formulated</p>	<p>Policies published &amp; disseminated</p>	<p>ASAL Secretariat, NDMA, line ministries</p>	<p>July 2013 - June 2018</p>	<p>37</p>	<p>34</p>	<p>33</p>	<p>30</p>	<p>33</p>	<p>167</p>
<p><b>TOTAL: Knowledge management</b></p>											
<p><b>Programme 3:</b></p>											
<p><b>1. Carry out strategic drought preparedness activities</b></p>	<p>To prepare the ground for drought early response</p>	<p>Preparedness activities &amp; projects carried out</p>	<p>No. of projects; Level of investment in preparedness activities</p>	<p>NDMA, line ministries, county governments</p>	<p>July 2013 - June 2018</p>	<p>200</p>	<p>210</p>	<p>220</p>	<p>230</p>	<p>250</p>	<p>1,110</p>
<p><b>To protect the livelihoods of vulnerable households during drought</b></p>											
<p><b>TOTAL: Knowledge management</b></p>											
<p><b>Programme 3:</b></p>											
<p><b>1. Carry out strategic drought preparedness activities</b></p>											
<p>To prepare the ground for drought early response</p>											
<p>Preparedness activities &amp; projects carried out</p>											
<p>No. of projects; Level of investment in preparedness activities</p>											
<p>NDMA, line ministries, county governments</p>											
<p>July 2013 - June 2018</p>											
<p>200</p>											
<p>210</p>											
<p>220</p>											
<p>230</p>											
<p>250</p>											
<p>1,110</p>											

<p><b>2. Support establishment of National Drought Contingency Fund (NDCF)</b></p> <p>To disburse drought contingency funds for timely drought mitigation, relief &amp; early recovery</p>	<p>NDCF established and operationalised</p>	<p>Legal instrument; Institution operationalised;</p>	<p>Treasury, NDMA</p>	<p>July 2013 - June 2018</p>	<p>GoK, KRDP-EU</p>	<p>1,242</p>	<p>1,022</p>	<p>1,523</p>	<p>1,824</p>	<p>1,125</p>	<p>6,736</p>
<p><b>3. Roll-out computerized contingency planning system and prepare drought contingency plans</b></p> <p>To produce high-quality, standardized drought contingency plans</p>	<p>Computerised system rolled out in 23 counties highly vulnerable to drought</p>	<p>No. of counties where system is rolled out</p>	<p>NDMA, county planning units</p>	<p>July 2013 - June 2018</p>	<p>GoK, KRDP-EU</p>	<p>1</p>	<p>1</p>	<p>1</p>	<p>1</p>	<p>1</p>	<p>3</p>
<p><b>4. Provide contingency funds (DCF) and carry out response activities</b></p> <p>To mitigate the impacts of drought crises</p>	<p>NDCF acquires &amp; disburses funds</p>	<p>Amount of funds sourced &amp; disbursed</p>	<p>NDMA, NDCF, Treasury, DPs</p>	<p>July 2013 - June 2018</p>	<p>GoK, EU, WB</p>	<p>2,000</p>	<p>0</p>	<p>2,000</p>	<p>0</p>	<p>0</p>	<p>4,000</p>
<p><b>5. Roll-out of MIS for DCF disbursement &amp; reporting</b></p> <p>To enhance accountability &amp; transparency in the use of DCF</p>	<p>MIS rolled out &amp; operational in 23 counties highly vulnerable to drought</p>	<p>No. of counties where system is rolled out</p>	<p>NDMA, county governments</p>	<p>July 2013 - June 2015</p>	<p>GoK, KRDP-EU</p>	<p>5</p>	<p>10</p>	<p>5</p>	<p>0</p>	<p>0</p>	<p>20</p>



<p><b>2. Review &amp; institutionalize coordination mechanisms for implementation of EDE MTP</b></p>	<p>To coordinate stakeholders involved in the implementation of EDE</p>	<p>Coordination structures at community, county &amp; national levels institutionalized</p>	<p>EDE structures institutionalized &amp; operationalised at all levels.</p>	<p>NDMA, Min of Devolution &amp; Planning, line ministries, DPs</p>	<p>July 2013 - June 2018</p>	<p>GoK, DPs</p>	<p>19</p>	<p>15</p>	<p>17</p>	<p>18</p>	<p><b>88</b></p>
<p><b>3. Analysis and mapping of relevant stakeholders</b></p>	<p>To avoid duplication of activities, foster synergies, and identify gaps</p>	<p>Web-based platform of mapping information generated</p>	<p>Platform established</p>	<p>ASF, NDMA, ASAL Secretariat, UN agencies at all partners</p>	<p>July 2013 - June 2014</p>	<p>GoK, ASF, DPs</p>	<p>7</p>	<p>1</p>	<p>1</p>	<p><b>13</b></p>	
<p><b>4. Support the operations of the ASAL Stakeholder Forum</b></p>	<p>To develop &amp; apply mechanisms which ensure the accountability of stakeholders</p>	<p>Accountability framework &amp; associated communication plan elaborated</p>	<p>Framework &amp; plan in place</p>	<p>ASF, ASAL Secretariat, NDMA, county governments;</p>	<p>July 2013 - June 2018</p>	<p>GoK, KRDP-EU</p>	<p>10</p>	<p>11</p>	<p>12</p>	<p><b>54</b></p>	
<p><b>5. Coordinate planning, design &amp; implementation of drought preparedness, mitigation, emergency response &amp; recovery activities</b></p>	<p>To ensure synergy between interventions by different stakeholders</p>	<p>Revised coordination structures fully operational</p>	<p>• % of CSOs, donors &amp; GoK working within coordination structures • No of forums organized • Forum reports</p>	<p>NDMA, KFSM, CSOs, community DRR structures</p>	<p>July 2013 - June 2018</p>	<p>GoK, KRDP-EU</p>	<p>15</p>	<p>15</p>	<p>15</p>	<p><b>75</b></p>	

<b>6. Support planning &amp; implementation of projects financed by bilateral &amp; multilateral institutions;</b>	To ensure targeted implementation of EDE projects in the country	Targeted & consultative projects implemented	No., type & location of projects implemented	NDMA, KRDP- EU, MTAP-Danida, DFID & Cordaid, HSNP- DFID & AusAID, KACCAL-UNDP, FAP-FAO	July 2013 - June 2018	GoK	39	100	100	100	41	<b>380</b>
<b>7. Guide and administer calls for proposals by bilateral &amp; multilateral institutions</b>	To ensure harmonised drought resilience interventions across the country	Harmonised interventions carried out	No, type & location of projects implemented	NDMA, KRDP- EU, ASP-DFID	July 2013 - June 2018	GoK, DPs	2	2	2	2	2	<b>10</b>
<b>TOTAL: Coordination</b>							<b>95</b>	<b>149</b>	<b>144</b>	<b>146</b>	<b>89</b>	<b>623</b>
<b>TOTAL</b>							<b>7,275</b>	<b>5,560</b>	<b>8,472</b>	<b>7,136</b>	<b>6,535</b>	<b>34,978</b>

## ANNEX 1: MONITORING AND EVALUATION FRAMEWORK

GOAL STATEMENT								
A secure, just and prosperous region where people achieve their full potential and enjoy a high quality of life. <sup>5</sup>								
PURPOSE STATEMENT	PURPOSE INDICATORS	UNIT	BASELINE YEAR	BASELINE VALUE	MTP TARGET (2017/18)	MTP TARGET (2022)	ASSUMPTIONS	DATA SOURCE
Communities in drought-prone areas are more resilient to drought and other effects of climate change, and the worst impacts of drought are contained.	Number of people requiring food assistance as a result of drought emergencies	No	2011	tbc	Reduced by 40%	Reduced by 80%	Drought resilience investments made as planned	KFSSG food security assessments
	Rates of acute malnutrition during drought periods in each of the 23 most drought-affected counties	%	2011	tbc	<15% GAM	< 10% GAM	Drought resilience investments made as planned	Nutrition surveys
	Rates of stunting in each of the 23 most drought-affected counties.	%	2008	31%	25%	20%		KDHS
Livestock asset depletion compared with previous drought episodes	Kenya manages drought episodes without recourse to international emergency appeals	No	2011	Kshs. 1 65bn damages & losses	By 50%	By 80%	Livestock marketing investments made	PDNA
							NDCF fully operational and replenished	Gok & UN appeal documents
							ARC fully operational and functioning	

## POSSIBLE OUTCOME INDICATORS AND TARGETS

OUTCOME STATEMENT	OUTCOME INDICATORS	MTP TARGET <i>(in development)</i>
1. Peaceful and secure ASALS for sustainable development	Reduction in violent conflicts in all regions within ASALS	Reduce by 60% per year
	Improvement in police/population ratio to UN standards	1:450
	Reduction in illicit small arms in all regions within ASALS	Reduce by 40% per year
2. Enhanced enabling environment for growth and development in ASALS	Proportion of priority roads within the target regions that are paved and maintained	tbc
	Proportion of the population within the region accessing ICT	tbc
	Rate of connection of social infrastructure, community infrastructure, small businesses & households (both grid & off-grid)	tbc
	Proportion of households with access to safe water for consumption	tbc
3.1 Increased access, equity and quality of education services in arid & pastoral counties	NER at all levels, disaggregated by gender	tbc
	Transition rate from primary to secondary, disaggregated by gender	tbc
	Teacher/pupil ratio	1:25 (prim); 1:40 (sec)
	KCPE mean score, disaggregated by gender	tbc
	Quality grade at KCSE (C+ and above), disaggregated by gender	tbc
3.2 Increased access, equity and quality of health & nutrition services in ASALS	<i>Proportion of schools offering comprehensive range of subjects/facilities [to be added later]</i>	
	Proportion of fully immunized children	tbc
	Proportion of mothers delivering with skilled provider <i>And/or:</i> Maternal mortality rate	tbc
	Under-five mortality rate	tbc
	Coverage of integrated management of acute malnutrition (IMAM) programme	50%
	Vacancy rate in health facilities	tbc
4. ASAL livelihoods strengthened in a sustainable manner	Growth is inclusive & sustainable, incorporating productive capacities that strengthen livelihoods for the poor and vulnerable	tbc
	Early recovery and rapid return to sustainable development pathways are achieved post-drought	tbc
	Long-term investments in safety-nets provide sustained protection of household assets	tbc

OUTCOME STATEMENT	OUTCOME INDICATORS	MTP TARGET <i>(in development)</i>
5. Institutional and financing framework for drought management strengthened	Response time for drought contingency fund	20 days
	Ratio of funds allocated by GoK & DPs to the foundations for development, compared with drought emergencies	tbc
	Ratio of CSOs in each of the 23 most drought-affected counties and at national level working within agreed drought coordination structures and acting within the framework of jointly agreed development & contingency plans	75% (for information sharing) tbc (for action)
	Number of national and county plans and budgets that mainstream drought risk reduction, climate change adaptation, social protection & EDE commitments.	tbc

**(Footnotes)**

1 Isiolo (50MW), Marsabit (150MW), Lake Turkana (300MW), Wajir, Mandera, Ngong Hills, & various sites in Lamu (350MW)

2 in 14 ASAL countries (9 arid & 5 semi-arid)

3 in Isiolo, Samburu & Kajiado

4 in Mandera, Wajir, Lodwar, Lokori, Lokichoggio, Ortum, Murrigat, Marsabit, North Horr, Moyale, Isiolo & Hola

5 Vision statement for the Vision 2030 Development Strategy for Northern Kenya and other Arid Lands (from which the EDE is drawn).